# UNRAVELING THE FINGERPRINTS OF $\mathrm{NO_X}$ USING STABLE ISOTOPES: IMPLICATIONS FOR $\mathrm{NO_X}$ SOURCE PARTITIONING AND OXIDATION CHEMISTRY

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I dedicate this work to my friends and family who have always been there for me and offered unconditional love and support. Thank you so much.

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# PREFACE

This dissertation is original, independent work by the author, Wendell Walters.

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#### ABSTRACT

Walters, Wendell W. Ph.D., Purdue University, December 2016. Unraveling the Fingerprints of  $NO_x$  using Stable Isotopes: Implications for  $NO_x$  Source Partitioning and Oxidation Chemistry. Major Professor: Greg Michalski.

The nitrogen (N) and oxygen (O) stable isotope composition ( $\delta^{15}$ N &  $\delta^{18}$ O) of nitrogen oxides (NO<sub>x</sub>) maybe a useful tool for constraining NO<sub>x</sub> emission sources as well as for understanding the atmospheric oxidation pathways responsible for its removal if various NO<sub>x</sub> sources and sink processes exhibit characteristic isotopic compositions ("fingerprints"). However, this requires (1) an accurate and complete inventory of  $\delta^{15}N(NO_x)$  values from major emission sources, (2) an assessment of the kinetic and equilibrium isotope effects that can impact  $\delta^{15}N$  and  $\delta^{18}O$  values of  $NO_x$ , (3) and test these assumptions by conducting accurate in situ  $\delta^{15}N$  and  $\delta^{18}O$  measurements of atmospheric  $NO_x$ . To this end, I have characterized the  $\delta^{15}N(NO_x)$  signatures from various fossil-fuel NO<sub>x</sub> sources, including buses, trucks, lawn equipment, natural gasfired boilers, and airplanes. These  $\delta^{15}N(NO_x)$  source characterization studies along with prior studies indicate that soil emission (nitrification/denitrification), "thermal" NO<sub>x</sub> produced from fossil-fuel combustion, and "source" NO<sub>x</sub> produced from coal-fired power plants have relative distinctive values. In addition, both my experimental and theoretical investigations on the isotope effects associated with NO<sub>x</sub> oxidation indicate that isotopes effects via equilibrium isotope exchange and kinetic isotope effects occurring during NO<sub>x</sub> oxidation reactions may influence the  $\delta^{15}N$  and  $\delta^{18}O$  values of atmospheric nitrate. Using these calculated isotope effects, I developed a simple model for the production of atmospheric nitrate through its three major pathways that include (1)  $NO_2 + \bullet OH \rightarrow HNO_3$ , (2)  $N_2O_5 + surface \rightarrow 2HNO_3$ , and (3)  $NO_3 + O_5 +$  $R \to \bullet R$ . This model indicated that these pathways result in distinctive  $\delta^{18}O - \delta^{15}N$  relationships that tend to match reported literature values. Finally, in order to evaluate the influences of  $NO_x$  emission sources and isotope effects on the isotope composition of  $NO_2$ , which serves as precursor molecule to atmospheric nitrate, ambient  $NO_2$  was collected and analyzed for  $^{15}N$  and  $^{18}O$ . These results suggest that  $\delta^{18}O$  of  $NO_2$  has a distinctive diurnal profile reflecting the photochemical cycling of  $NO_x$  while  $\delta^{15}N$  of  $NO_2$  tends to track with  $NO_x$  sources with small but significant isotope effects altering daytime  $\delta^{15}N(NO_2)$  by approximately 2-4‰. Overall, this research has refined the "fingerprints" of atmospheric  $NO_x$  and will be useful for future studies aimed at understanding regional and spatial distributions in  $NO_x$  emission budgets and tracing  $NO_x$  oxidation chemistry.

## 1. INTRODUCTION

#### 1.1 Nitrogen Oxides

Nitrogen oxides (NO<sub>x</sub> = nitric oxide (NO) + nitrogen dioxide (NO<sub>2</sub>)) are important trace gases and a form of reactive nitrogen (Nr) that influences biogeochemical processes in the atmosphere, terrestrial ecosystems, and freshwater and marine aquatic ecosystems [1–4]. In the atmosphere, NO<sub>x</sub> is a key driver of tropospheric chemistry through its influence on the concentrations of the oxidants in the atmosphere, including ozone (O<sub>3</sub>) and the hydroxyl radical ( $\bullet$ OH) [5]. Both O<sub>3</sub> and  $\bullet$ OH are referred as the "detergents" of the atmosphere due to the role they play in removing many atmospheric pollutants. NO<sub>x</sub> influences the concentrations of O<sub>3</sub> and  $\bullet$ OH through its photochemically cycling during the daytime, known as the Leighton Cycle [6,7]:

$$NO + O_3 \to NO_2 + O_2 \tag{1.1}$$

$$NO_2 + h\nu \to NO + O(^3P) \tag{1.2}$$

$$O(^{3}P) + O_{2} + M \to NO + O_{3} + M$$
 (1.3)

In this reaction sequence, emitted NO is oxidized by  $O_3$  forming  $NO_2$ , which may photolyze back to NO and  $O(^3P)$ . The liberated  $O(^3P)$  atom regenerates  $O_3$  through its reaction with molecular  $O_2$ . In this reaction sequence,  $O_3$  is conserved; for every  $O_3$  molecule used in the oxidation of NO (R1) another is regenerated during the photolyze of  $NO_2$  and subsequent reaction between  $O(^3P)$  and  $O_2$ . Thus at photochemical steady state  $[O_3] = [NO]$  and ozone concentrations are less than total  $NO_x$ . Oxidation of NO by peroxy radicals [8], however, provides a pathway for the build-up of tropospheric  $O_3$ :

$$\bullet H(or \bullet R) + O_2 \to HOO \bullet (ROO \bullet$$
 (1.4)

$$NO + HOO \bullet (orROO \bullet) \to NO_2 + \bullet OH$$
 (1.5)

In 1.4-1.5 the oxidation of NO proceeds without the consumption of an  $O_3$  molecule, but  $O_3$  may be generated from the subsequent photolyze of  $NO_2$  formed from 1.5. This is important because tropospheric  $O_3$  is a greenhouse gas, an oxidizing pollutant, and influences the lifetimes of other greenhouse gases [9]. Termination of this photochemical cycle occurs when  $NO_2$  is oxidized by  $\bullet$ OH to form nitric acid (HNO<sub>3</sub>) [10]:

$$NO_2 + \bullet OH + M \to HNO_3 + M$$
 (1.6)

This  $HNO_3$  can react with gaseous ammonia to form particulate ammonium nitrate or it can react on existing particles increasing their size (aerosol condensation).

Understanding emission sources and transformation process of  $NO_x$  is important due to its important implications for human health and the environment. For example, higher concentrations of Nr (in the form of  $NO_x$  or  $HNO_3$ ) in the atmosphere can lead to an increase in air-pollution based respiratory illnesses due to increases in  $O_3$  and particulate matter [4,11]. Additionally,  $HNO_3$  may be deposited to the ecosystem via wet and/or dry deposition, leading to the input of a form of Nr in ecosystems [4]. This has numerous consequences including the degradation of drinking water, soil acidification, lacustrine and estuarine eutrophication, and biodiversity changes in terrestrial ecosystems [4] (Fig. 1.1). Thus, due to the numerous humanhealth and environmental consequences of  $NO_x$  emission, it is important to understand the N cycling related to  $NO_x$  including its emission sources and the removal processes responsible for its eventual deposition to the biosphere.

Emission sources of  $NO_x$  are of both natural and anthropogenic origins [4, 12, 13]. Natural sources of  $NO_x$  include lightning, soil nitrification/denitrification, and wildfires [4, 13]. Anthropogenic sources of  $NO_x$  include fossil-fuel combustion related to transportation and energy generation, industrial processes, fertilization induced soil nitrification/denitrification, fires, and waste [4, 13]. Since the industrial revolution, the anthropogenic emission budget of  $NO_x$  has surpassed the natural emission budget [4,13]. The increase in the anthropogenic  $NO_x$  emission budget is expected to continue

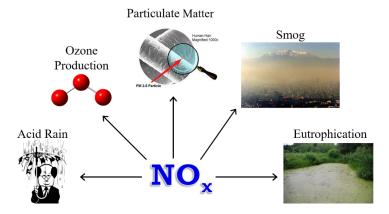


Fig. 1.1.:  $NO_x$  chemistry results in numerous health and environmental impacts that include acid rain, eutrophication, particulate matter formation, smog production, and ozone production.

to increase in the future reaching an estimated 71.8 Tg Nyr<sup>-1</sup> compared to  $36.2 \text{ TgNyr}^{-1}$  in 1993 [4]. While there have been significant improvements made to reduce  $NO_x$  emissions from stationary and mobile sources, further progress is needed to reduce the health and ecosystem impacts related to  $NO_x$  emissions [11]. However, there are still large uncertainties in the emission inventories of  $NO_x$  that are estimated to be as high as 30% in industrialized regions of North America, Europe, and Japan [4]. In other regions, this uncertainty is as high as 50% [4].

The  $NO_x$  emission budget in the United States, indicates that mobile sources are the largest emission source of  $NO_x$  [14] (Fig. 1.2). However, within this sector there are large uncertainties in the relative contributions of gasoline and diesel vehicles [14]. Additionally, there are large uncertainties and overlapping  $NO_x$  emission budget estimates for energy generation, industrial processes, and soil emissions [14]. In order to estimate the relative importance of various  $NO_x$  sources in local/regional nitrogen studies, source identification and apportionment of  $NO_x$  and their oxidized products are required. This would help evaluate  $NO_x$  emission reduction technologies and help guide future  $NO_x$  reduction regulations to mitigate the impacts of Nr inputs in ecosys-

tems. In addition to  $NO_x$  source uncertainties, there are large uncertainties of the chemistry of  $NO_x$  oxidation related to the distribution between the oxidized forms of  $NO_x$  that includes atmospheric nitrate and organic N forms [4]. Thus, uncertainties in the emission budget and the oxidation branching ratios of  $NO_x$  remain. Concentration measurements alone, however, cannot partition or quantify the influence of  $NO_x$  emission sources and oxidation reactions, indicating a need for a new tool to help achieve these goals.

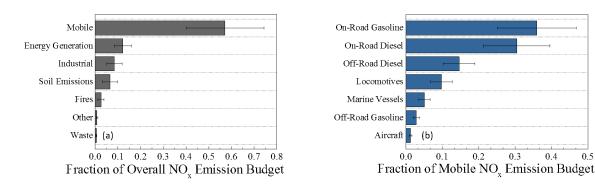


Fig. 1.2.: (a) Estimated  $NO_x$  emission budget in the United States and (b)  $NO_x$  mobile sector emission break-down.

#### 1.2 Stable Isotopes

Analysis of the stable isotope composition of trace gases has become an established, useful tool for quantifying emission sources, [15], because various trace gas sources and sink processes often exhibit characteristic isotopic compositions ("finger-prints") [16]. Variations in stable isotope compositions are typically reported in delta  $(\delta)$  notation:

$$\delta(\%_0) = 1000 \left( \frac{R_{\text{sample}}}{R_{\text{standard}}} - 1 \right) \tag{1.7}$$

Where R refers to the ratio of the rare (heavy) isotope to the common (light) isotope in a sample relative to an international isotopic standard. Since these variations are typically small, they are reported in units of parts per thousand or per mil (%). Previous research in this field have used this technique to evaluate contributions of various sources and sink processes to numerous trace gases including methane (CH<sub>4</sub>) [17, 18], carbon dioxide (CO<sub>2</sub>) [19, 20] carbon monoxide (CO) [21, 22], and nitrous oxide (N<sub>2</sub>O) [23, 24]. These studies have resulted in significant findings such as observable changes in the carbon isotopic composition of atmospheric CO<sub>2</sub> (Fig. 1.3) is caused by anthropogenic activities [25, 26], providing significant evidence that the excess CO<sub>2</sub> in the modern atmosphere is derived from fossil-fuel combustion. Implementing this stable isotope technique to NO<sub>x</sub> and its oxidation products may provide to be a useful tool for partitioning NO<sub>x</sub> emission sources and for evaluating the oxidation pathways responsible for the removal of NO<sub>x</sub> from the atmosphere [27–30].

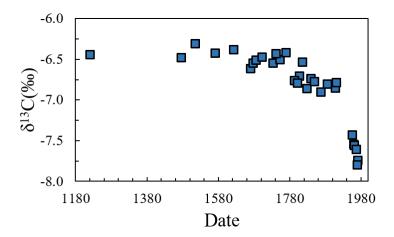


Fig. 1.3.: The carbon isotopic composition relative to Pee Dee Belemnite of  $CO_2$  in ice core trapped air has decreased in the past two centuries. This provides significant support that the source of the atmospheric increase in  $CO_2$  derives from fossil-fuel combustion emissions that have characteristically low  $\delta^{13}C$  values of approximately -25%. Figure recreated from ref. [26].

## 1.3 $\delta^{15}$ N

Once emitted into the atmosphere,  $NO_x$  may be oxidized to atmospheric nitrate (HNO<sub>3</sub> + particulate nitrate (p-NO<sub>3</sub><sup>-</sup>)) and subsequently removed via wet or dry deposition [4]. Since the N atom in atmospheric nitrate derives from  $NO_x$ , the analysis of  $\delta^{15}N$  of atmospheric nitrate has been suggested a useful tracer for  $NO_x$  emission sources [27, 28, 31–34]. This would be useful since generally it is much easier to collect atmospheric nitrate dissolved in precipitation or on aerosol filters than it is to collect in situ  $NO_x$  since it is a reactive gas that exists at low concentrations in the atmosphere (5-25 ppb<sub>v</sub>). The drawback of using  $\delta^{15}N$  of atmospheric nitrate for  $NO_x$  source partitioning, however, is  $\delta^{15}N$  of  $NO_x$  must be conserved as  $NO_x$  is oxidized to atmospheric nitrate. Thus, in order to implement  $\delta^{15}N$  for  $NO_x$  source partitioning requires (1) a complete and accurate  $NO_x$  emission  $\delta^{15}N$  inventory and (2) an understanding of the isotope effects (kinetic, equilibrium, photolytic) that might alter  $\delta^{15}N$  as  $NO_x$  is oxidized to atmospheric nitrate.

## 1.3.1 $\delta^{15}$ N(NO<sub>x</sub>)

Prior  $\delta^{15}$ N measurements of NO<sub>x</sub> emission sources are limited, but do indicate that NO<sub>x</sub> sources may have a wide range of  $\delta^{15}$ N values that overlaps with major NO<sub>x</sub> emission sources (Fig. 1.4). Previous measurements of NO<sub>x</sub> from vehicles estimate a wide range of  $\delta^{15}$ N values that range from -13 to 3% [31,33,35]. Recent  $\delta^{15}$ N(NO<sub>x</sub>) studies from vehicles have based on  $\delta^{15}$ N in roadside plant material [36,37], tree rings [38], and roadside NO<sub>2</sub> [37,39] have indicated that vehicle  $\delta^{15}$ N(NO<sub>x</sub>) may be as high as 17%. However, conclusions reached by these studies should be treated cautiously since they did not measure the  $\delta^{15}$ N of NO<sub>x</sub> directly, rather secondary products formed from that NO<sub>x</sub>, which may be subject to kinetic and/or equilibrium isotope effects that alter  $\delta^{15}$ N values. Power plant  $\delta^{15}$ N(NO<sub>x</sub>) emissions studies have focused entirely on coal-fired boilers and indicate a  $\delta^{15}$ N range of 6 to 25.6% [35,40]. The relatively large range in  $\delta^{15}$ N from coal-fired power plants has been suggested

to be the result of selective catalytic reduction of  $NO_x$  that alters  $\delta^{15}N$  as  $NO_x$  is reduced [40]. Soil  $NO_x$  <sup>15</sup>N values have been measured to range from -48.6 to -19.9% [39, 41, 42], which are the lowest  $\delta^{15}N$  of the major  $NO_x$  emission sources and are the result of denitrification/nitrification kinetically favoring the light (<sup>14</sup>N) N isotope resulting in  $NO_x$  depleted in <sup>15</sup>N.  $NO_x$  produced by lightning <sup>15</sup>N has been estimated to range between 0 to 2% based on laboratory generated sparks [43]. Wildfire's  $\delta^{15}N(NO_x)$  has been estimated to be 13% based on a Greenland ice core study [44], although this value is highly uncertain. In total these measurements of  $\delta^{15}N-NO_x$  cover the main  $NO_x$  emission sources, but there are numerous approximations and uncertainties in the  $\delta^{15}N(NO_x)$  emission inventory. Thus, further characterization of  $\delta^{15}N(NO_x)$  is required to minimize the uncertainty in partitioning  $NO_x$  source contributions.

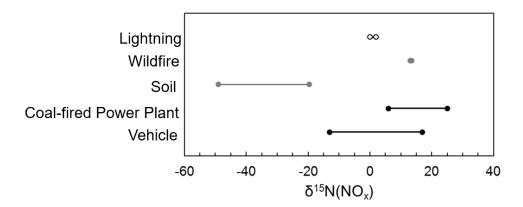


Fig. 1.4.: Range of previously reported  $\delta^{15}N$  values for various  $NO_x$  sources. Natural sources are indicated in white, anthropogenic sources are indicated in black, and a mixture of natural and anthropogenic sources are indicated in gray.

Due to the difficulty in collecting in situ  $NO_x$ , only a few  $\delta^{15}N$  measurements of in situ  $NO_x$  are available. Collection of total  $NO_x$  has been accomplished using an active sampling technique that either scrubs in situ  $NO_x$  and converts it into  $NO_3^-$  [45] or preferentially binds  $NO_2$  [36, 46] as  $NO_2^-$  using denuder tubes. Alternatively,  $NO_2$  can be collected using passive diffusion samplers [37,39,47] that binds  $NO_2$  and total

 $NO_x$  as  $NO_2^{-1}$ . The reported  $\delta^{15}N$  values from these in situ  $NO_x$  or  $NO_2$  studies are shown in Fig. 1.5. A wide  $\delta^{15}N$  range of  $NO_x$  (-13.2 to -0.6%) and  $NO_2$  (-24.3 to 17.0%) has been reported that spans the same range as the  $\delta^{15}N$  measured in  $NO_x$  emission sources. It is unclear whether the large variations in  $\delta^{15}N$  of in situ  $NO_x$  is driven by the large range of  $\delta^{15}N$  from  $NO_x$  emission sources, influences from in situ  $NO_x$  or  $NO_2$  collection devices, or influences from isotope effects occurring during  $NO_x$  cycling. Except for ref. [39] and ref. [36], most of the  $\delta^{15}N$  values of  $NO_x$  and  $NO_2$  tend to be negative (Fig. 1.5). Additionally,  $\delta^{15}N(NO_2)$  tends to be larger than  $\delta^{15}N(NO_x)$ . The data may suggest that  $\delta^{15}N$  of  $NO_2$  may be impacted by  $\delta^{15}N$  of  $NO_x$  emission sources as well as isotope effects that may preferentially partition  $\delta^{15}N$  between  $\delta^{15}N$  and  $\delta^{15}N$  of  $\delta^{15}N$  o

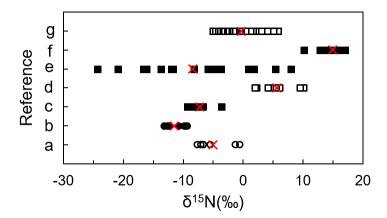


Fig. 1.5.: Measured  $\delta^{15}N(\%_0)$  of in situ  $NO_x$  (circle) and  $NO_2$  (square). Active sampling techniques are indicated by open points and passive sampling techniques are indicated by closed points. Average  $d^{15}N$  is indicated by the red "X". References: a [45], b [47], c [47], d [36], e [37], f [39], and g [46].

## 1.3.2 $\delta^{15}N(NO_3^{-1})$

Numerous studies have characterized the  $\delta^{15}N$  of atmospheric nitrate [27, 28, 31, 48–50]. Fig. 1.6 displays the measured  $\delta^{15}N(NO_3^-)$  for several studies [28, 49–52]. In general, it is observed that  $\delta^{15}N(NO_3^-)$  has large variations that range from -19.9 to 11.4‰. Are these large variations related to changing importance of various  $NO_x$  sources with varying  $\delta^{15}N$  values or are it due to possible isotope effects as  $NO_x$  is oxidized into atmospheric nitrate? On average,  $\delta^{15}N(NO_3^-)$  tends to be positive (Fig. 1.6), which may provide support that  $\delta^{15}N$  might increase as  $NO_x$  oxidizes to  $NO_3^-$  since on average in situ  $NO_x$  <sup>15</sup>N values tend to be negative. However, due to the large reported variations in  $\delta^{15}N$  of both in situ  $NO_x$  and atmospheric nitrate, the controls on  $\delta^{15}N$  (i.e. source, chemistry, or both) cannot be explicitly determined. Thus, both in situ  $NO_x$  measurements and an isotopic mechanism that may explain how  $\delta^{15}N$  is altered during the oxidation of  $NO_x$  to atmospheric nitrate are needed.

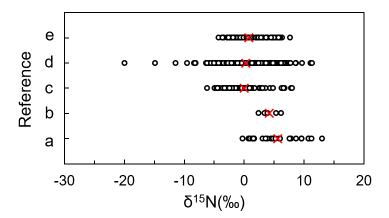


Fig. 1.6.: Measured  $\delta^{15}N(\%_0)$  of atmospheric nitrate (circles). Average  $\delta^{15}N$  is indicated by the red "X". References: a [28], b [51], c [52], d [49], and e [50].

In mid-latitude studies, generally a seasonal cycle is observed in  $\delta^{15}N(NO_3^-)$  (Fig. 1.7) [28,31,50]. In each dataset, the similar seasonal  $\delta^{15}N(NO_3^-)$  trends are observed

in which  $\delta^{15}$ N is highest during the winter and lowest during the summer. This trend has been suggested to be the result of changing importance of various NO<sub>x</sub> emission sources [27,28,50]. During the summer, higher NO<sub>x</sub> contributions from soil emissions may drive  $\delta^{15}$ N values down, while higher contributions from energy generating units such as coal-fired power plants during the winter may drive  $\delta^{15}N$  values up. However, NO<sub>x</sub> emission is regionally dependent, and not every location will likely have influences from soil emission or coal-fired power plants. Another factor that may influence  $\delta^{15}N(NO_3^-)$  that needs to be considered is a seasonal shift in  $NO_x$  oxidation chemistry. During the summer, atmospheric NO<sub>3</sub> is mostly produced through the reaction of NO<sub>2</sub> with photochemically produced •OH. However, during the winter, atmospheric  $\mathrm{NO_{3}^{\text{-}}}$  is mostly produced through the thermal equilibrium between  $\mathrm{NO_{2}},$  nitrate radical  $(NO_3)$ , and dinitrogen pentoxide  $(N_2O_5)$ , and subsequent  $N_2O_5$  hydrolysis of a wetted aerosol. The shift in  $NO_x$  oxidation may play a role in the observed  $\delta^{15}N$  of atmospheric nitrate; however, the impact these two distinctive oxidation pathways on  $\delta^{15}N$  needs to be determined in order to understand how oxidation chemistry may influence  $\delta^{15}N$  values.

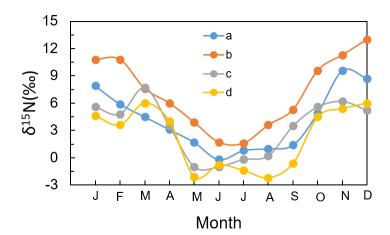


Fig. 1.7.: Seasonal profile of  $\delta^{15}N(\%)$  of atmospheric nitrate (circles). References: a [28], b [28], c [50], and d [50].

## 1.4 $\delta^{18}$ O

The oxygen (O) stable isotope composition of  $NO_x$  ( $\delta^{18}O$ ) and atmospheric nitrate may provide information about  $NO_x$  photochemical cycling and an evaluation of oxidation pathways responsible for the conversion of  $NO_x$  to atmospheric nitrate [30, 53–56]. This is because once  $NO_x$  is emitted, it cycles its O atoms with atmospheric oxidants. These atmospheric oxidants, including  $O_3$ ,  $\bullet OH$ , and  $RO_2$ , have distinctive  $\delta^{18}O$  values that can help elucidate which atmospheric oxidants reacted with  $NO_x$  (Fig. 1.8). Atmospheric  $O_3$  has characteristic elevated  $\delta^{18}O$  values that have been measured to range 95 to  $130\%_0$  [48,57,58]. Photochemically produced  $\bullet OH$  is suspected to achieve O isotopic equilibrium with  $H_2O$  [59]. For mid-latitudes,  $\delta^{18}O$  of tropospheric water vapor is estimated to range between -25 to  $0\%_0$  [30]. Isotopic equilibrium exchange would result in the lowering of  $\bullet OH$  relative to  $H_2O$  by  $44\%_0$ .  $RO_2$ (or  $HO_2$ ) molecules are formed when radical species combine with atmospheric  $O_2$ . Since O is derived from molecular  $O_2$ ,  $ROO_{\bullet}$  is hypothesized to have a  $\delta^{18}O$  reflected air  $O_2$  [30] of approximately  $23\%_0$  [60]

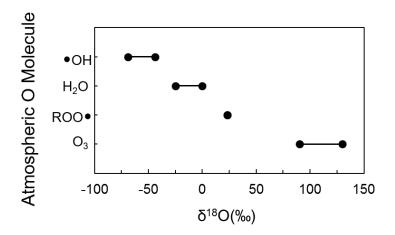


Fig. 1.8.:  $\delta^{18}O(\%_0)$  ranges of various atmospheric O molecules that includes  $O_3$ ,  $ROO_{\bullet}$ ,  $H_2O$ , and  $\bullet OH$ .

## 1.4.1 $\delta^{18}O(NO_x)$

The photochemical cycling of NO<sub>x</sub> is rapid, and is predicted to erase any original  $\delta^{18} O(NO_x)$  signatures from  $NO_x$  emission sources [30, 53, 55, 56]. A recent NO-O<sub>2</sub>-O<sub>3</sub>-NO<sub>2</sub> photochemical cycle experimental found that O isotopic equilibrium is achieved between NO<sub>x</sub> and O<sub>3</sub> resulting in NO<sub>x</sub> with a high  $\delta^{18}$ O of 117±5% relative to VSMOW, reflecting the influence from O<sub>3</sub> oxidation [55]. Previous O isotopic measurements have been performed using Ogawa passive diffusion collectors and indicate lower than expected  $\delta^{18}$ O values for atmospheric NO<sub>x</sub> [39, 47]. At an urban location,  $\delta^{18}$ O of NO<sub>2</sub> was found to be  $50.5\pm3.2\%$  and  $47.4\pm1.2\%$  during the summer and winter, respectively, which is significantly lower than the model predictions considering that in an urban environment NO is suspected to be primarily oxidized by  $O_3$  [47].  $NO_2$  collected inside and outside of a tunnel indicate  $\delta^{18}O$  of -12.6±3.1% and -2.0 $\pm$ 5.0%0 and again reflect lower than expected  $\delta^{18}$ O values. The apparent disagreement between  $\delta^{18}$ O of in situ NO<sub>x</sub> and model predictions may be due to influences from the capture and conversion of  $\mathrm{NO_x}$  to nitrite ( $\mathrm{NO_2}^-$ ) that may alter  $\delta^{18}\mathrm{O}$ . In addition to collection influences,  $\delta^{18}O$  may be influenced by NO oxidation by ROO $\bullet$ with a significantly lower  $\delta^{18}$ O than O<sub>3</sub>. Also, while the photochemical cycling of  $NO_x$  during the daytime may erase any original  $\delta^{18}O(NO_x)$  signature, the  $\delta^{18}O$  of nighttime  $\mathrm{NO}_{\mathrm{x}}$  may have a source component as  $\mathrm{NO}_{\mathrm{x}}$  photochemical cycling ceases. Thus, there are still numerous uncertainties in the  $\delta^{18}$ O of in situ NO<sub>x</sub> that currently limits our  $\delta^{18}$ O models of NO<sub>x</sub> chemistry.

# 1.4.2 $\delta^{18}O(NO_3^{-})$

Atmospheric nitrate  $\delta^{18}$ O measurements indicate elevated values with a strong seasonal cycle [27,28,49,52,61–63] (Fig. 1.9). The  $\delta^{18}$ O values of atmospheric nitrate are suspected to reflect the  $\delta^{18}$ O of the oxidants responsible for the oxidation of NO<sub>x</sub>. Thus, the observed seasonal change in  $\delta^{18}$ O reflects the change NO<sub>x</sub> oxidation pathways that favors atmospheric nitrate production by the reaction of NO<sub>2</sub> with

 $\bullet$ OH during the summer and formation of  $N_2O_5$  and its subsequent hydrolysis on a wetted aerosol surface during the winter:

$$NO_2 + O_3 \to NO_3 \tag{1.8}$$

$$NO_2 + NO_3 \leftrightarrow N_2O_5 \tag{1.9}$$

$$N_2O_5 + surface \rightarrow 2HNO_3$$
 (1.10)

Applying O isotopic mass-balance indicates that the  $NO_2 + \bullet OH$  (1.4) nitrate pathway has a lower contribution from O<sub>3</sub> than the N<sub>2</sub>O<sub>5</sub> hydrolysis nitrate formation pathway (1.10) (2/3 vs 5/6). Additionally,  $NO_2 + \bullet OH$  (1.4) nitrate formation pathway has a relatively high O atom contribution from •OH (1/3) that is suspected to have an extremely low  $\delta^{18}O$  (Fig. 1.8). These differences result in atmospheric nitrate with lower  $\delta^{18}$ O values during the summertime due to higher relative contribution from the (1.4) pathway and higher  $\delta^{18}$ O values during the wintertime due to higher relative contributions from the (1.10) pathway. In addition to O mass balance considerations, isotopic fractionation processes such as kinetic, equilibrium, or photolytic isotope effects may induce significant  $\delta^{18}$ O variations in atmospheric nitrate. These are important to understand in order to refine the model predictions of  $\delta^{18}$ O of atmospheric nitrate, which could be used to more accurately predict the branching path ratio of NO<sub>x</sub> oxidation [53]. Additionally, these fractionation processes may also influence the  $\delta^{15}N$  of atmospheric nitrate and may explain the seasonal cycle also observed in  $\delta^{15}$ N of atmospheric nitrate (Fig. 1.7). Thus,  $\delta^{18}$ O and  $\delta^{15}$ N of atmospheric nitrate may be related, reflecting to some degree the fractionation processes responsible for the formation of atmospheric nitrate in addition to isotopic mass-balance considerations. However, few studies have evaluated the impacts of  $NO_x$  oxidation fractionation processes on  $\delta^{18}{\rm O}$  and their implications for possible  $\delta^{18}{\rm O}$ - $\delta^{15}{\rm N}$  relationships of the atmospheric nitrate end product.

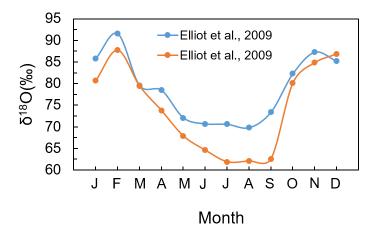


Fig. 1.9.: Seasonal cycle in  $\delta^{18}O(\%_0)$  of atmospheric nitrate. Highest  $\delta^{18}O$  values are observed during the winter due to a higher contribution from the N<sub>2</sub>O<sub>5</sub> hydrolysis nitrate formation pathway, while lowest values are observed during the summer due to the higher contribution from the NO<sub>2</sub> + •OH nitrate formation pathway.

## 1.5 Research Objectives

In order to address the numerous raised uncertainties in the  $\delta^{15}N$  and  $\delta^{18}O$  of  $NO_x$  and atmospheric nitrate, this dissertation has the following research objectives:

- 1. Further characterize and improve upon previous measurement of the  $\delta^{15}N$  signatures from various  $NO_x$  emission sources. Particularly, the large range in previous vehicle  $\delta^{15}N$  needs to be addressed since vehicles represent the largest source of  $NO_x$  emission in the United States. Additionally, the  $\delta^{15}N$  signatures from many important sources such as diesel trucks and buses, natural gas fired-boilers, and airplanes have yet to be characterized and will be the subject of the reported research.
- 2. Evaluate possible equilibrium isotope effects associated with  $NO_x$  oxidation chemistry. This may have important implications for the  $\delta^{15}N$  and  $\delta^{18}O$  of  $NO_x$  and its oxidation products. If significant, these effects may indicate that  $\delta^{18}O$ - $\delta^{15}N$  relationships in atmospheric nitrate.

- 3. Evaluate the isotope effects associated with the partitioning of  $^{15}$ N and  $^{18}$ O between NO and NO<sub>2</sub>. This includes the equilibrium isotope exchange reaction and the oxidation of NO by O<sub>3</sub>.
- 4. Develop a method that can be used to collected in situ  $NO_2$  for  $\delta^{15}N$  and  $\delta^{18}O$  analysis. Using this method,  $\delta^{15}N$  and  $\delta^{18}O$  of in situ  $NO_2$  will be measured to understand the source and chemistry influences on the isotope composition of atmospheric  $NO_x$ .

#### 1.6 Outline

This dissertation is composed of 9 chapters, including this introduction and is organized as the following:

- Chapter 2: Nitrogen stable isotope composition  $\delta^{15}$ N of vehicle-emitted NO<sub>x</sub>. (Published by Walters, W. W.; Goodwin, S. R. and Michalski, G. in *Environ. Sci. Technol.* **2015**, 49(4), 2278-2285.)
- Chapter 3: Nitrogen isotope composition of thermally produced NO<sub>x</sub> from various fossil-fuel combustion sources. (Published by Walters, W. W.; Tharp, B. H.; Fang, H. and Michalski, G. in *Environ. Sci. Technol.* 2015, 49(19), 11363-11371.)
- Chapter 4: Theoretical calculation of nitrogen isotope equilibrium exchange fractionation factors for various NO<sub>y</sub> molecules. (Published by Walters, W.W. and Michalski, G. in *Geochim. Cosmochim. Acta* 2015, 164, 284-297.)
- Chapter 5: Nitrogen isotope exchange between NO and NO<sub>2</sub> and its implications for  $\delta^{15}$ N variations in tropospheric NO<sub>x</sub> and atmospheric nitrate. (Published by Walters, W. W.; Simonini, D.S. and Michalski, G. in *Geophys. Res. Lett.***2016**, 43(1), 440-448.)
- Chapter 6: Theoretical calculation of oxygen isotope fractionation factors involving  $NO_y$  molecules, OH, and  $H_2O$  and its implications for isotope variations

in atmospheric nitrate. (Published by Walters, W. W. and Michalski. G. in *Geochim. Cosmochim. Acta* **2016**, 191, 89-101.)

- Chapter 7: Ab initio study of nitrogen and position-specific oxygen kinetic isotope effects in the NO + O<sub>3</sub> reaction. (Published by Walters, W. W. and Michalski, G. in J. Chem. Phys. (accepted with revisions))
- Chapter 8: Summertime diurnal variations in the isotopic composition of atmospheric nitrogen dioxide at a small Midwestern city.
- Chapter 9: Conclusions.

# 2. NITROGEN STABLE ISOTOPE COMPOSITION ( $\delta^{15}N$ ) OF VEHICLE-EMITTED NO<sub>X</sub>

The following chapter is a reprint from a published article (Walters, W. W.; Goodwin, S. R.; Michalski, G. Nitrogen stable isotope composition ( $\delta^{15}$ N) of vehicle-emitted NO<sub>x</sub>. Environ. Sci. Techn. **2015** 49(4) 2278-2285).

#### 2.1 Introduction

Nitrogen oxides ( $NO_x = NO + NO_2$ ) are important trace gases that affect atmospheric chemistry, air quality, and climate [9].  $NO_x$  plays a key role in the troposphere by acting to control the concentrations of ozone ( $O_3$ ) and the hydroxyl radical ( $\bullet$ OH) as well as forming nitrate ( $NO_3^-$ ) aerosols [5]. The reactions between  $NO_x$  and hydrocarbons (HC) are major sources of tropospheric  $O_3$ , which is a greenhouse gas, an oxidizing pollutant, and influences lifetimes of other greenhouse gases [2,64]. Ultimately,  $NO_x$  is oxidized to nitric acid (HNO<sub>3</sub>) and deposited as acid rain leading to degradation of drinking water, soil acidification, lacustrine and estuarine eutrophication, and biodiversity changes in terrestrial ecosystems [4].

Sources of  $NO_x$  are both natural and anthropogenic, but there are uncertainties in the relative importance of these sources [4,12,13]. Natural sources of  $NO_x$  include lightning, soil nitrification/denitrification, and wildfires [4,13]. Anthropogenic sources of  $NO_x$  include fossil fuel and biofuel combustion, mainly emitted from power plants, transport (vehicles, ships, and aircrafts) and industry [12,13]. Since the industrial revolution, anthropogenic emissions of  $NO_x$  have surpassed natural  $NO_x$  emissions [4,12]. While significant improvements have been made to reduce  $NO_x$  emissions from stationary and mobile sources, further progress is needed to reduce the health and ecosystems impacts associated with  $NO_x$  emissions; however, the uncertainty of

total  $NO_x$  emissions is relatively high, with an estimated uncertainty on the order of 30% to 50% [4]. In order to estimate the relative importance of various  $NO_x$  sources, and to assess the effectiveness of  $NO_x$  reduction technologies, a way of partitioning  $NO_x$  sources based on nitrogen (N) deposition studies is required.

Once emitted in the atmosphere, NO<sub>x</sub> is primarily oxidized to HNO<sub>3</sub> and subsequently removed from the atmosphere via wet or dry deposition. Therefore, analysis of the N stable isotopes of atmospheric derived nitrate ( $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup>) could be used as a regional indicator for partitioning NO<sub>x</sub> sources, which would help identify the contribution of various NO<sub>x</sub> sources to local/regional N deposition, as well as help evaluate the effectiveness of NO<sub>x</sub> emission reductions. Previous works have observed spatial variations in  $\delta^{15}$ N-NO<sub>3</sub> in wet and dry deposition that correlated with the  $\delta^{15}$ N-NO<sub>x</sub> of the surrounding areas stationary  $NO_x$  emission sources, suggesting  $\delta^{15}N-NO_3$  are linked to NO<sub>x</sub> sources [27, 28]. However, the impact of kinetic and equilibrium isotopic fractionation of  $\mathrm{NO_x}$  sink processes (chemical reactions and photolysis) on  $\delta^{15}\mathrm{N}$ during the conversion of NO<sub>x</sub> to NO<sub>3</sub> must also be considered but few fractionation factors for these sinks processes have been determined. Previous measurements of  $\delta^{15}$ N-NO<sub>x</sub> of various NO<sub>x</sub> sources are limited, but indicate that NO<sub>x</sub> sources may have a wide  $\delta^{15}$ N range [31, 33, 35–37, 39–41, 44, 65]. Although these prior measurements of  $\delta^{15}$ N-NO<sub>x</sub> allow for an approximation of relative source contributions, further characterization of  $\delta^{15}$ N-NO<sub>x</sub> is required to minimize uncertainty in partitioning NO<sub>x</sub> source contributions, and to understand the impacts of NO<sub>x</sub> oxidation to HNO<sub>3</sub> on  $\delta^{15}$ N values. Further, it is possible that technology developments could influence the  $\delta^{15}$ N-NO<sub>x</sub> values of various NO<sub>x</sub> sources; thus, preventing the application of previous  $\delta^{15} \text{N-NO}_{x}$  measurements to all regions.

In this study, the  $\delta^{15}$ N-NO<sub>x</sub> values of vehicle exhaust, the main source of anthropogenic emitted NO<sub>x</sub>, were measured. The source of N in vehicle exhaust is air with  $\delta^{15}$ N = 0%; thus, it was previously assumed that NO<sub>x</sub> formed by the oxidation of air would also have  $\delta^{15}$ N near 0% [32,46]. However, previous measurements of  $\delta^{15}$ N-NO<sub>x</sub> emitted directly from vehicle exhaust, indicate a rather large range of  $\delta^{15}$ N-NO<sub>x</sub>

from -13 to 3‰ [31,33,35]. Initial studies reported  $\delta^{15}$ N-NO<sub>x</sub> values of 3.7‰ and -1.8‰, supporting the assumption that  $\delta^{15}$ N-NO<sub>x</sub> emitted from vehicle exhaust is close to 0‰ [31,33]. However, a subsequent study reported a much wider range of  $\delta^{15}$ N-NO<sub>x</sub> values from -13 to -2‰, suggesting that  $\delta^{15}$ N-NO<sub>x</sub> from vehicle exhaust may not be as close to the previously assumed value of 0‰ [35]. Recent studies of  $\delta^{15}$ N-NO<sub>x</sub> from vehicle exhaust have measured the  $\delta^{15}$ N in plant material [36,37,65], tree rings [38], and/or the  $\delta^{15}$ N from roadside NO<sub>2</sub> [36,37,39], and indicate that vehicle-derived  $\delta^{15}$ N-NO<sub>x</sub> may have positive values as high as 17‰. Such inferences should be treated cautiously because they measure the  $\delta^{15}$ N of a secondary product formed from vehicle emitted NO<sub>x</sub> that may be subject to kinetic and equilibrium isotope fractionation factors that can alter the initial  $\delta^{15}$ N-NO<sub>x</sub> value [31,46], and in plant material  $\delta^{15}$ N studies, plant N requirements are likely not entirely derived from NO<sub>x</sub> precursors. In order to characterize the  $\delta^{15}$ N-NO<sub>x</sub> emitted from modern vehicles, we measured the  $\delta^{15}$ N-NO<sub>x</sub> directly emitted from vehicle exhaust for model years 1995 to 2015 in this study.

#### 2.2 Materials and Methods

#### 2.2.1 NO<sub>x</sub> Collection and Processing

 $NO_x$  was collected from the tailpipes of 26 different vehicles that included 15 passenger cars, 7 sports utility vehicles (SUV) and 4 trucks using a modification of the US-EPA Method 7 at West Lafayette, Indiana, U.S.A. (40.45°N, 86.91°W) between June 20, 2014 and September 26, 2014. The majority of exhaust samples were collected while vehicles were in neutral (n = 22), and a smaller set of exhaust samples were collected while vehicles were driven (n = 4); under both modes, the engine speed was kept between 2000 to 2500 rpm during sampling. Nineteen of the vehicles sampled had a "cold-engine" (running less than 2 minutes prior to sampling) and seven had a "warm-engine" (running longer than 2 minutes prior to sampling). The vehicles sampled model year ranged from 1995 to 2015, and all vehicles had

gasoline-powered engines except for one which had a diesel-powered engine. Every vehicle sampled was equipped with a 3-way catalytic converter.

The sampling method used in this study was modified from U.S. EPA, "Determination of Nitrogen Oxide Emissions from Stationary Sources." Briefly, exhaust samples were collected into evacuated 2 L borosilicate bottles. The 2 L bottles were connected to a Teflon tube with a length of 35 cm and an inlet diameter of 1 cm attached to a borosilicate probe with a length of 20 cm and an inlet diameter of 0.5 cm. The probe was placed into the tailpipes of each vehicle and the stopcock to the borosilicate bottle was opened allowing the exhaust to be collected. After a sampling period of approximately 10 seconds, the stopcock was closed. Since in the limiting case the diameter of the sampling apparatus (0.5 cm) is approximately 73,500 times larger than the mean-free path at ambient pressures (68 nm) |66|, and the sampling time is approximately 1,520 times quicker than the lifetime of NO diffusion through air ( $\tau_{\rm diff} = {
m L}^2/{
m D}$  âL'Ĺ 15216.3 s, L = length of diffusion, D is diffusion constant =  $0.1988 \text{ cm}^2/\text{s}$ ) [67] for the total sampling apparatus length of 55 cm, diffusion isotope effects are negligible in this set-up. Additionally, the estimated volume of the Teflon tube and the borosilicate probe was 30 mL making the volume of air in the probe sampled negligible compared to that of the collected exhaust. The sampling bottles contained 10 mL of a NO<sub>x</sub> absorbing solution, which was synthesized by mixing 2.8 mL of concentrated sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) with 0.6 mL of 30% hydrogen peroxide  $(H_2O_2)$  and diluted to 1 L using high-purity Millipore water. The absorbing solution quantitatively oxidizes NO<sub>x</sub> into NO<sub>3</sub>. Triplicate samples were collected for each vehicle approximately 30 seconds apart.

After  $NO_x$  exhaust collection, the containers were allowed to stand for at least 72 hours with occasional shaking every 10 to 12 hours to facilitate the conversion of  $NO_x$  to  $NO_3^-$ . The residual  $NO_x$  headspace concentration was then measured using a Thermo Environmental Instrument Chemiluminescence  $NO-NO_2-NO_x$  Analyzer. The absorbing solution was collected and neutralized using 1 mL of 1 M sodium bicarbonate, and the  $NO_3^-$  concentration was measured using a Cary 5000 UV-Vis

spectrometer. Using the residual  $NO_x$  and  $NO_3^-$  concentrations, the percent of  $NO_x$  conversion to  $NO_3^-$  was calculated. Every sample had over 97.5% of the  $NO_x$  collected converted to  $NO_3^-$ ; therefore, the N isotopic fractionation resulting from this conversion should be minimized. A potential interference that could impact the collected  $\delta^{15}N$ - $NO_3^-$  is the oxidation of ammonium  $(NH_4^+)$  to  $NO_3^-$ . Ammonia  $(NH_3)$  is known to be a major component of vehicle emitted exhaust [68], and once dissolved in the absorbing solution would presumably form  $NH_4^+$ . Since  $H_2O_2$ , a strong oxidizer, was a component of the absorbing solution, control tests were conducted to determine the possible conversion of  $NH_4^+$  to  $NO_3^-$ . The results of the control tests indicated that no detectable  $NO_3^-$  formed from relatively high  $NH_4^+$  concentrations (100 ppm) in the absorbing solution used in this study. Therefore,  $NH_3$  should have a minimal if any at all influence on the measured  $\delta^{15}N$ - $NO_3^-$ . Overall, our control studies indicate that our absorbing solution and successive neutralization induces no N isotope fractionation.

#### 2.2.2 Isotopic Analysis

For N isotopic analysis, approximately 250 nmoles of  $NO_3^-$  was injected into a 12 mL vial containing 1 mL of a denitrifying strain of bacteria (P. aureofaciens) that converts  $NO_3^-$  to nitrous oxide ( $N_2O$ ) [69]. The  $N_2O$  was extracted and purified using an automated head space gas chromatography system and analyzed for  $\delta^{15}N$  values by a Thermo Delta V Continuous Flow Isotope Ratio Mass Spectrometer (CF-IRMS) at the Purdue Stable Isotopes lab. Values of  $\delta^{15}N$  are reported in parts per thousand relative to atmospheric  $N_2$  as follows (Eq. 2.1):

$$\delta^{15} N_{\text{sample}} = 1000 \left( \frac{^{15} R_{\text{sample}}}{^{15} R_{\text{standard}}} - 1 \right)$$
 (2.1)

where  $^{15}$ R is the ratio of the abundance of  $^{15}$ N to  $^{14}$ N in a sample and standard, respectively. The isotopic standard for N is atmospheric air. Working lab standards calibrated to NIST isotope reference nitrates USGS34 and USGS35 were used to correct for isotopic fractionation resulting from the denitrification of  $NO_3^-$  and the

subsequent N<sub>2</sub>O purification process. The working standards had an average standard deviation of 0.3% for  $\delta^{15}N$ .

#### 2.3 Results and Discussion

Table 2.1 details the vehicle information and the data measured from the vehicles sampled in this study. The text below summarizes the  $NO_x$  concentrations (ppm) and  $\delta^{15}N-NO_x(\%_0)$  values of the collected samples and discusses our interpretation of these results.

#### 2.3.1 NO<sub>x</sub> Exhaust Concentration

The average concentration of  $NO_x$  for individual vehicles ranged from 8.5 to 286 ppm with standard deviations ranging from 0.2 to 250 ppm for triplicate samples. The large range and variance of emitted  $NO_x$  can be explained by the run time of the engine prior to sampling that were divided into either "cold-engines" (running less than 2 minutes prior to sampling) or "warm-engines" (running longer than 2 minutes prior to sampling). Vehicles with cold-engines emitted significantly more  $NO_x$  (95  $\pm$  70 ppm, n = 19) compared to warm-engines (17  $\pm$  8.6 ppm, n = 7); statistically significant at a confidence level of 0.01 (p < 0.01). In the case of vehicles with coldengines, the highest  $NO_x$  concentrations were always collected from the first sample, and  $NO_x$  concentrations drastically decreased for subsequent samples; alternatively, warm-engine vehicles generally, emitted  $NO_x$  at a consistent concentration for all samples collected. Overall, emitted  $NO_x$  concentrations exhibited an exponential decay that moderately correlated with the vehicle run time prior to sampling for all samples (Fig. 2.1).

Table 2.1: Vehicle Details, NO<sub>x</sub> Concentrations, and  $\delta^{15}$ N-NO<sub>x</sub> Values for Collected Exhaust Samples.

year	make	$\overline{x}$ -NO <sub>x</sub> <sup>a</sup>	σ-NO <sub>x</sub> b	$\overline{x}$ - $\delta^{15}$ N <sup>a</sup>	$\sigma$ - $\delta^{15}$ N b	mode	engine temp
1995	Ford	72.3	15	-8.1	1.7	driven	cold
1996	Chevy	101.1	35	-9.5	1.8	neutral	cold
2000	Chevy	285.6	55	-10.3	1.9	neutral	cold
2000	Ford	31.2	13	-0.8	3.1	neutral	cold
2003	Chevy	55.2	80	0.3	5.4	neutral	cold
2003	Ford	56.1	80	2	8.7	neutral	cold
2003	Honda	31.2	22	-0.4	1.5	neutral	warm
2003	Toyota	23.1	4.5	-2.9	1.6	neutral	warm
2004	Ford	121.2	150	-5.3	6.5	neutral	cold
2005	Dodge	36.5	40	-3.6	7.9	neutral	cold
2005	Ford	138.9	10	-8.3	1.1	neutral	cold
2005	Pontiac	15.7	4	-0.4	1.8	driven	warm
2006	Kia	22.7	13	0.6	3	neutral	cold
2006	Toyota	9.9	0.2	8.6	1.6	driven	warm
2006	Volkswagen	30.1	6	-4.6	3.5	neutral	cold
2008	Ford	21.3	10	1.6	4	neutral	warm
2008	Pontiac	81.4	120	3.4	4.1	neutral	cold
2009	Mazda	106.6	70	-9.6	4.3	neutral	cold
2009	Pontiac	206.2	250	-9	5.3	neutral	cold
2010 <sup>c</sup>	GMC	48.3	30	-19.1	3.8	neutral	cold
2011	Hyundai	138.7	160	-4.4	6.2	driven	cold
2013	Kia	20.9	10	2.4	6.7	neutral	cold
2014	Dodge	197.9	45	-12.2	0.4	neutral	cold
2014	Hyundai	8.8	0.9	9.8	1.1	neutral	warm
2014	Jeep	44.5	4	-5.5	0.8	neutral	cold
2015	Chevy	8.5	0.5	1.6	1.1	neutral	warm

 $<sup>{}^{\</sup>mathrm{a}}\overline{x}=\mathrm{mean.}$   ${}^{\mathrm{b}}\sigma=\mathrm{standard}$  deviation.  ${}^{\mathrm{c}}$  indicates diesel-powered engine

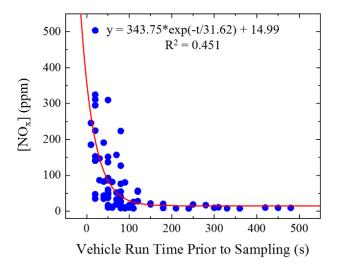


Fig. 2.1.: Emitted  $[NO_x]$  as a function of vehicle run-time prior to sampling, represented as blue circles, that is fit with an exponential decay represented by a red solid line.

#### 2.3.2 $\delta^{15}$ N-NO<sub>x</sub> Values

The average  $\delta^{15}$ N-NO<sub>x</sub> measured for each vehicle ranged from -19.1 to 9.8% and the standard deviation ranged from 0.4 to 8.7% for triplicate samples. For most cases, the reported standard deviation was much greater than the analytical uncertainty of  $\pm 0.3\%$ . This large  $\delta^{15}$ N-NO<sub>x</sub> variance can be explained in terms of the emitted NO<sub>x</sub> concentration. A strong, negative logarithmic correlation is observed between  $\delta^{15}$ N-NO<sub>x</sub> values and NO<sub>x</sub> concentrations for gasoline-powered engines (R<sup>2</sup> = 0.84) and for diesel-powered-engines (R<sup>2</sup> = 0.98) (Fig. 2.2). There was no significant difference between vehicles sampled while in neutral or while driven, as both modes resulted in  $\delta^{15}$ N-NO<sub>x</sub> that similarly correlated with emitted NO<sub>x</sub> concentration (Fig. 2.2). The measured  $\delta^{15}$ N-NO<sub>x</sub> values are hypothesized to have arisen from the thermal production of NO<sub>x</sub> in the combustion chamber that would result in NO<sub>x</sub> depleted in <sup>15</sup>N and from the subsequent equilibrium isotope effects and the catalytic

reduction of  $NO_x$  to  $N_2$  which would enrich  $^{15}N$  relative to the thermally produced  $NO_x$ . This hypothesis was tested using theoretical isotope fractionation factors in kinetic and Rayleigh distillation models.

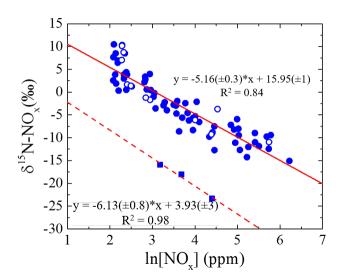


Fig. 2.2.:  $\delta^{15}$ N-NO<sub>x</sub> (%0) as a function of collected ln(NO<sub>x</sub>) (ppm), where solid data points represent samples collected from vehicles while in neutral and open data points represent exhaust samples collected from vehicles while driven. Square points represent gasoline-powered engines, and circle points represent diesel-powered engines.

#### 2.3.3 Thermal Production of NO

The most well-known chemical pathway for the formation of NO in internal combustion engines is the Zeldovich mechanism [70], in which NO is formed by the reaction between nitrogen and oxygen in the intake air:

$$N_2 + O \to NO + N \tag{2.2}$$

$$N + O_2 \to NO + O \tag{2.3}$$

$$N + \bullet OH \to NO + H \tag{2.4}$$

Under temperatures of the thermal production of NO (T > 2000 K), it has been previously assumed that  $N_2$ ,  $O_2$ , and NO exist at isotopic equilibrium [31,32]:

$$N_2 + O_2 \leftrightarrow 2NO \tag{2.5}$$

The calculated equilibrium exchange fractionation factor for this reaction is extremely small (< 1.69%) [71]; therefore, the thermally produced NO should have a  $\delta^{15}$ N value close to air (0%). However, the majority of our measured NO<sub>x</sub> was depleted in <sup>15</sup>N, especially for cold-engine vehicles. This suggests that the thermal production of NO in a combustion chamber in a vehicle engine is not always achieving equilibrium, and its formation is kinetically limited by the large amount of energy required to break the triple bond of N<sub>2</sub> (2.2).

A previous study that measured depleted <sup>15</sup>N abundances in vehicle exhaust NO<sub>x</sub> accredited the <sup>15</sup>N depletion to the kinetic isotope effect associated with the breaking the triple bond of  $N_x$  [32]. Due to the difference in zero-point energies (ZPE), this bond breaking is faster for the lighter isotopologues ( $^{14}N^{14}N$ , ZPE =1175.7 cm<sup>-1</sup>; [72]) relative to the heavier isotopologue ( $^{15}N^{14}N$ , ZPE = 1156.0 cm $^{-1}$ ; [72]). If the difference between  $N_2$  bond dissociation for  $^{14}\mathrm{N}^{14}\mathrm{N}$  molecule and  $^{15}\mathrm{N}^{14}\mathrm{N}$  molecule is significant at combustion temperatures, then the thermally produced NO will tend to have  $\delta^{15} N$  values lower than atmospheric  $N_2$ . In order to quantify this kinetic isotope effect to understand its impact on  $\delta^{15}$ N-NO<sub>x</sub>, the kinetics of the thermal production of  $NO_x$  was simulated using Kintecus, a kinetics compiler that can be used to model chemical kinetic processes [73]. Table 2.2 summarizes the reactions included in this model and the corresponding Arrhenius rate parameters [74–77]. Rate constants for the molecules involving <sup>14</sup>N were taken from NIST chemical kinetics database [78]. These rate constants were adjusted for <sup>15</sup>N by calculating the relative reaction rates and therefore fractionation factors  $(\alpha)$  of the heavy isotope to the light isotope from the inverse of the reduced masses  $(\mu)$  of the activated complex at the transition state (Eq. 2.6) and subsequently scaling the reaction rate of the light isotope for the heavier isotope (Eq. 2.7).

$$\alpha_{\rm H/L} = \sqrt{\frac{\mu_{\rm L}^{\ddagger}}{\mu_{\rm H}^{\ddagger}}} \tag{2.6}$$

$$k(T)_{\text{heavy}} = \alpha_{\text{H/L}} A \left(\frac{T}{298}\right)^{\text{n}} e^{(-E_{\text{a}}/RT)}$$
(2.7)

In Eq. 2.6, k is the reaction rate,  $\mu^{\ddagger}$  is the reduced mass of the activated complex, H represents the heavier N isotopologue ( $^{15}$ N), and L represents the lighter N isotopologue ( $^{14}$ N). In Eq. 2.7, A is the Arrhenius pre-exponential factor, T is temperature (Kelvin), n is the temperature dependence,  $E_a$  is the activation energy, and R is the gas constant. Kinetic isotope effects are caused by differences in the activation energy for reactions involving different isotopes due to the transition state having different zero-point vibrational energies. Vibrational frequencies ( $\omega_e$ ) and thus vibrational zero-point energies, depend on the reduced mass ( $\mu$ ) of the vibrating system (Eq. 2.8):

$$\omega_{\rm e} = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}} \tag{2.8}$$

where k is the bond force constant. The ground vibrational mode  $(\frac{1}{2}\omega_e)$ , where h is planck's constant, is inversely proportional to the square root of the reduced mass  $(\mu^{1/2})$ . Therefore, the inverse of the reduced mass of the activated complex is an adequate approximation for the kinetic isotope effect associated with chemical reactions. For this approximation to be valid at high temperatures, the population of the ground vibrational modes needs to be considered. Both N<sub>2</sub> and NO have relatively high-energy vibrational modes of 2359 and 1904 cm<sup>-1</sup> associated with vibrational stretching modes [79]. The population of molecules in the ground vibrational state can be calculated based on Boltzmann distribution and the harmonic oscillator approximation (Eq. 2.9):

$$f_{\rm i} = \left(1 - e^{\frac{h\nu}{kT}}\right) \tag{2.9}$$

where h is plank's constant,  $\nu$  is the vibrational frequency, k is Boltzmann constant, and T is temperature. At typical combustion temperatures (2000 K), the majority of

Table 2.2: Data for the Reactions and the Rate Constants for the Thermal Production of  $\mathrm{NO^a}$ 

		reaction	$\alpha$	A	n	$\rm E_a(kJ/mol)$
	1	$^{14}\mathrm{N}_2 \rightarrow 2^{14}\mathrm{N}$	1	$9.86 \times 10^{-5}$	-3.33	940
		$^{15}\mathrm{N}^{14}\mathrm{N}  ightarrow ^{14}\mathrm{N} + ^{15}\mathrm{N}$	0.983	$9.86 \times 10^{-5}$	-3.3	940
	2	$O_2 \rightarrow 2O$		$1.01 \times 10^{-8}$	-1	494
	3	$^{14}\mathrm{N}_2$ + O $\rightarrow$ $^{14}\mathrm{NO}$ + $^{14}\mathrm{N}$	1	$3.01 \times 10^{-10}$	0	318
. [		$^{15}\text{N}^{14}\text{N} + \text{O} \rightarrow ^{14}\text{NO} + ^{15}\text{N}$	0.997	$1.51 \times 10^{-10}$	0	318
		$^{15}\text{N}^{14}\text{N} + \text{O} \rightarrow ^{15}\text{NO} + ^{14}\text{N}$	0.995	$1.51 \times 10^{-10}$	0	318
	4	$^{14}\mathrm{N} + \mathrm{O}_2 \rightarrow ^{14}\mathrm{NO} + \mathrm{O}$	1	$4.47 \times 10^{-12}$	1	27.19
		$^{15}\mathrm{N} + \mathrm{O}_2 \rightarrow ^{15}\mathrm{NO} + \mathrm{O}$	0.994	$4.47 \times 10^{-12}$	1	27.19
	5	$^{14}\mathrm{N}+ ullet \mathrm{OH}  ightarrow ^{14}\mathrm{NO} + \mathrm{H}$	1	$4.70 \times 10^{-8}$	0	-0.71
		$^{15}\mathrm{N} + \bullet\mathrm{OH} \rightarrow ^{15}\mathrm{NO} + \mathrm{H}$	0.999	$4.70 \times 10^{-8}$	0	-0.71

 $N_2$  (81.7%) and NO (73.3%) are populated in the ground vibrational state. Therefore, this harmonic oscillator approximation of the activated complexes will be an adequate approximation for this system.

Our  $\delta^{15}$ N thermal NO production model is an approximation; however, the model suggests that the thermal production of NO will result in a  $\delta^{15}$ N value of -9.5% assuming that the source of N is air which has a  $\delta^{15}$ N = 0%. This value is in excellent agreement with the  $\delta^{15}$ N-NO<sub>x</sub> values previously measured (-11 to -7%) for gasoline-powered vehicle exhaust associated with the kinetically limited thermal production of NO [35]. Presumably, this is the lowest  $\delta^{15}$ N-NO<sub>x</sub> value emitted from vehicles as subsequent equilibrium isotope effect between N<sub>2</sub> and NO (R4) [35], and the catalytic reduction of NO<sub>x</sub> would increase the  $\delta^{15}$ N-NO<sub>x</sub> value. However, diesel-powered vehicles seem to have slightly lower  $\delta^{15}$ N-NO<sub>x</sub> values than what our thermal production of NO<sub>x</sub> model predicts. The NO<sub>x</sub> samples from diesel-powered vehicles analyzed in this study had  $\delta^{15}$ N-NO<sub>x</sub> values that ranged from -23.3 to -15.9%, and in a previous study  $\delta^{15}$ N-NO<sub>x</sub> values were measured to range from -13 to -12% for the kinetically limited thermal production of NO<sub>x</sub> [35]. These lower  $\delta^{15}$ N-NO<sub>x</sub> values

likely occur due to the different combustion conditions in diesel vehicles compared to gasoline-powered vehicles [80]. While the exact  $\delta^{15}$ N-NO<sub>x</sub> produced from combustion is difficult to predict due to the variability in combustion conditions, the kinetically limited thermal NO<sub>x</sub> production should result in negative  $\delta^{15}$ N values.

#### 2.3.4 Catalytic Reduction of NO

Another kinetic isotope effect to consider is from the reaction of NO<sub>x</sub> with a 3way catalytic converter. A 3-way catalytic converter uses a metal catalyst, typically platinum and rhodium, to reduce  $NO_x$  emissions upwards of 90% [81]. The catalytic reduction of NO<sub>x</sub> to N<sub>2</sub> can be described as NO decomposition on the catalyst surface followed by the removal of the oxygen on the catalyst surface through a reaction with a reducing agent, such as carbon monoxide (CO) [81]. Infrared studies of NO adsorption on alumina and silica supported rhodium have provided evidence for stable molecular adsorption of NO on rhodium [82–84], and temperature-programmed desorption studies have provided evidence for the dissociation of NO on rhodium to form N and O [85–88]. For NO to be reduced to  $N_2$  it has to first undergo adsorption onto the metal catalyst surface. For this process to occur,  $NO_x$  has to diffuse through a washcoat layer approximately 10-50  $\mu$ m thick and then bind onto the catalyst surface [89, 90], likely leading to isotopic fractionation. The lighter molecules (14NO) will diffuse through the washcoat diffusion layer of the catalyst and undergo adsorption more quickly onto the catalyst surface than the heavier molecules (15NO) due to differences in mass and zero-point energies, respectively. This will cause the lighter NO molecules to preferentially decompose to their elemental components with the heavier NO molecules to be emitted when the catalytic converter is effectively operating thus causing the emitted NO<sub>x</sub> to be enriched in <sup>15</sup>N relative to the thermally produced NO. The number of potentially fractionating reactions and difficulties in assessing catalytic isotope effects for each step is beyond the scope of this work. Rather, the observed  $\delta^{15} \text{N-NO}_{x}$  and  $\text{NO}_{x}$  concentration were used in a Rayleigh distillation model to determine the catalytic converters net isotope effect, which includes diffusion, equilibrium and kinetic effects.

#### 2.3.5 $\delta^{15}$ N-NO<sub>x</sub> Exhaust Fractionation Factor

The results of this study indicate a strong relationship between the emitted  $NO_x$  concentration and  $\delta^{15}N\text{-NO}_x$  (Fig. 2.2). In general, the more concentrated the  $NO_x$  emission was, the lower the  $\delta^{15}N\text{-NO}_x$  value. Our kinetics model indicate that thermally produced NO is depleted in  $^{15}N$ , In the absence of a 3-way catalytic converters or under conditions of inefficient  $NO_x$  reduction (cold-engine and catalytic converter), the kinetically-limited  $NO_x$  production is likely to result in a negative  $\delta^{15}N\text{-NO}_x$  value. As the vehicle engine becomes more efficient and the catalytic converter warms up,  $NO_x$  emissions are reduced, and the  $NO_x$  becomes enriched in  $^{15}N$  relative to the thermally produced NO likely due to the equilibrium isotope effect between  $N_2$  and NO (2.5), as well as the kinetic isotope effect associated with the diffusion and adsorption of  $NO_x$  onto the catalytic converter surface as previously discussed. In order to quantitatively determine the  $\delta^{15}N$  isotope fractionation associated with the reduction of  $NO_x$  due to warming of a vehicle engine and catalytic converter, this process was modeled according to a Rayleigh type fractionation (Eq. 2.10).

$$\delta^{15} N_{\rm f} = \delta^{15} N_0 - \epsilon ln[NO_{\rm x}] \tag{2.10}$$

where  $\delta^{15}N_f$  is the measured  $\delta^{15}N-NO_x$ ,  $\delta^{15}N_0$  is  $\delta^{15}N-NO_x$  value that vehicle emitted  $NO_x$  reaches as  $NO_x$  emissions approach zero, and  $\epsilon$  is the enrichment factor for  $\delta^{15}N$  as the concentration of  $NO_x$  decreases. Enrichment factor ( $\epsilon$ ) is related to the fractionation factor ( $\alpha$ ) of the reduction of  $NO_x$  by the following relationship (Eq. 2.11).

$$\epsilon(\%_0) = 1000(\alpha - 1) \tag{2.11}$$

From Fig. 2.2 the enrichment factor ( $\epsilon$ ) for the production of vehicle emitted NO<sub>x</sub> was calculated for gasoline and diesel-powered engines to be  $5.2(\pm 0.3)\%$  and  $6.13(\pm 0.8)\%$ , respectively. This indicates that as the engine and catalytic converter warms up, the

lighter molecules of  $NO_x$  are preferentially decomposed, leaving the emitted  $NO_x$  enriched in  $^{15}N$  compared to atmospheric air. This suggests that vehicle emitted  $NO_x$  has likely become enriched in the  $^{15}N$  isotope relative to the vehicle  $NO_x$  emitted prior to 1975 due to the advent of the 3-way catalytic converter.

#### 2.3.6 Implications for $\delta^{15}$ N-NO<sub>x</sub>

The data obtained from this study are limited and do not represent the  $\delta^{15}$ N-NO<sub>x</sub> emitted from all types of vehicles, but they are useful for  $\delta^{15}$ N-NO<sub>x</sub> source characterization of vehicle exhaust. In this study, we measured a rather large range of  $\delta^{15}$ N-NO<sub>x</sub> for individual samples ranging from -15.1 to 10.5% for gasoline-powered vehicles and -23.3 to -15.9% for diesel-powered vehicles. In general, the lowest  $\delta^{15}$ N-NO<sub>x</sub> values came from cold-start vehicles that were emitting high concentrations of NO<sub>x</sub>. As previously mentioned, approximately 60-80% of the total emissions for a typical vehicle occur during the first 200 seconds of cold-start operation [91]. Therefore, in order to estimate the mass-weighted  $\delta^{15}$ N-NO<sub>x</sub> emitted from vehicles, the mass balance of the emitted NO<sub>x</sub> concentration needs to be accounted for. To this end, a model was constructed based on numerical integration methods to relate the concentration of emitted NO<sub>x</sub> (Fig. 2.1) as a function of vehicle run time with the instantaneous vehicle emitted  $\delta^{15}$ N-NO<sub>x</sub> for gasoline-powered vehicles. This model predicts the mass-weighted  $\delta^{15}$ N-NO<sub>x</sub> emitted from vehicles to have the following relationship with vehicle run time (Eq. 2.12):

$$y = -12.35 + 3.02ln(t + 0.455) \tag{2.12}$$

where y is the mass-weighted  $\delta^{15}$ N-NO<sub>x</sub>(‰) emitted from vehicles and t is vehicle run time (min). This model shows the importance of NO<sub>x</sub> mass-balance to the overall  $\delta^{15}$ N-NO<sub>x</sub>. Since NO<sub>x</sub> emissions are highest and are most depleted in <sup>15</sup>N during the cold-start period, this will lower the overall emitted  $\delta^{15}$ N-NO<sub>x</sub> from vehicles. A similar type of analysis was not performed for diesel-powered engines due to the small sample size measured in this study (n = 3).

The average commute time varies regionally in the United States [92], and this likely leads to slight differences in the emitted  $\delta^{15}$ N-NO<sub>x</sub> from vehicle exhaust. The U.S. Census Bureau American Community Survey's zip code one-way commute time inventory [92] was used with the  $\delta^{15}$ N-NO<sub>x</sub> cumulative release time function (2.12), to estimate the  $\delta^{15}$ N-NO<sub>x</sub> of vehicle exhaust emissions in each zip code in the continental U.S. (Fig. 2.3). Overall, the average one-way commute time in the United States is approximately 25.4 minutes, corresponding with a  $\delta^{15}$ N-NO<sub>x</sub> value of -2.5  $\pm 1.5\%$ . While this average commute time represents all modes of transportation, the majority of transportation is from vehicles (86.1%) so this should be an adequate estimate for the regional  $\delta^{15}$ N-NO<sub>x</sub> emitted from vehicle exhaust. This  $\delta^{15}$ N-NO<sub>x</sub> approximation only accounts for gasoline-powered engines. Our few measurements of diesel-powered engines had lower  $\delta^{15}$ N-NO<sub>x</sub> values than gasoline-powered engines. Therefore, if these measurements are representative of all diesel-powered engines, inclusion of diesel-powered engines to the overall mass-weighted  $\delta^{15}$ N-NO<sub>x</sub> would lead to a lower  $\delta^{15}$ N-NO<sub>x</sub> value.

Several studies have measured the  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> in wet [27,31,93] and dry deposition [28], and these values range from -9.5 to 14.1‰, outside of the mass-weighted  $\delta^{15}$ N-NO<sub>x</sub> value of vehicle emitted NO<sub>x</sub>. Additionally, a recent highway tunnel  $\delta^{15}$ N-NO<sub>2</sub> study measured  $\delta^{15}$ N values that ranged from 10.2 to 17‰ [39], which is higher than the majority of the  $\delta^{15}$ N-NO<sub>x</sub> measurements made in this study. This discrepancy in  $\delta^{15}$ N-NO<sub>x</sub> of vehicle emitted NO<sub>x</sub> and of  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> deposition and  $\delta^{15}$ N-NO<sub>2</sub> roadside studies are likely explained by either equilibrium [46] and/or kinetic isotope effects [31] that slightly alter the  $\delta^{15}$ N of the original NO<sub>x</sub> source or due to the contribution of other NO<sub>x</sub> sources with different  $\delta^{15}$ N-NO<sub>x</sub> values. The exact causation of the alteration of  $\delta^{15}$ N is beyond the scope of this work; however, if the  $\delta^{15}$ N of atmospheric NO<sub>3</sub><sup>-</sup> is controlled by the source  $\delta^{15}$ N-NO<sub>x</sub> then Fig. 2.3 suggests that 1) there will be spatial variations in the  $\delta^{15}$ N of NO<sub>3</sub><sup>-</sup> tied to commute time and vehicle NO<sub>x</sub> mitigation efficiency, 2) there would be temporal variations in  $\delta^{15}$ N of NO<sub>3</sub><sup>-</sup> related to the transition from non-vehicle to vehicle NO<sub>x</sub> production during the day and week,

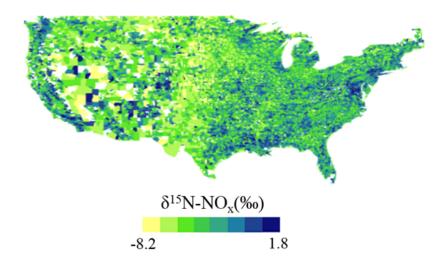


Fig. 2.3.: Regional variation in commute time and the resulting predicted  $\delta^{15}$ N-NO<sub>x</sub> from vehicle exhaust.

and 3) the  $\delta^{15}$ N of atmospheric NO<sub>3</sub><sup>-</sup> produced between 1950 and 1975 should be significantly lower relative to NO<sub>3</sub><sup>-</sup> produced post-1980 and this should be detectable in the northern hemisphere ice core record [44,94].

The continual improvements of the 3-way catalytic converter will likely have a future impact on the  $\delta^{15}$ N-NO<sub>x</sub> values. Once catalytic converters are warmed up, they are up to 97% effective at reducing toxic gas emissions [81,95]. However, these high conversion rates are only achieved when the converter is typically 300°C or greater which can take several minutes to achieve [95]. This results in more than 60-80% of total emissions occurring during cold-start emissions [96]. Decreasing these cold-start emission is the objective of future catalytic converter improvements [97]. As these technological advancements become commonplace for catalytic converter equipped vehicles, it will likely lead to an increase of the  $\delta^{15}$ N-NO<sub>x</sub> value relative to the current value due to the fractionation factor associated with the catalytic reduction of NO<sub>x</sub>.

#### 2.4 Conclusion

 $\mathrm{NO_x}$  concentrations and  $\delta^{15}\mathrm{N\text{-}NO_x}$  from exhaust was measured from 26 vehicles under various engine run times. NO<sub>x</sub> concentration ranged from 8.5 to 503 ppm and  $\delta^{15} \text{N-NO}_{x}$  values ranged from -23.3 to 10.8%. There was a strong correlation between the emitted  $NO_x$  concentration and the associated  $\delta^{15}N-NO_x$  value suggesting that the operating condition of the vehicle contributes to the  $\delta^{15}$ N-NO<sub>x</sub> value. In general, when a vehicle has a cold engine, it emitted higher NO<sub>x</sub> concentration and was depleted in  $\delta^{15}$ N-NO<sub>x</sub>. The high concentration of emitted NO<sub>x</sub> is accredited to a rich air-fuel ratio and an inefficient 3-way catalytic converter and depleted  $\delta^{15}$ N-NO<sub>x</sub> values were caused by the kinetic fractionation of the production of thermal NO. The kinetic isotope effect of the thermal production of NO was modeled to have a  $\delta^{15}N$ value of approximately -9.5\%. Much lower concentrations of NO<sub>x</sub> and enriched  $\delta^{15}$ N-NO<sub>x</sub> values were observed from vehicles with a warm engine. This is accredited to air-fuel ratio reach the stoichiometric point and an efficient 3-way catalytic converter, and this resulted in enriched  $\delta^{15}$ N-NO<sub>x</sub> values associated with the fractionation factor induced from the catalytic reduction of NO<sub>x</sub>. Only one vehicle had a diesel-powered engine, but had the most depleted  $\delta^{15}$ N-NO<sub>x</sub> out of all the samples suggesting that different fossil-fuel combustion transportation sectors may have distinctive  $\delta^{15}N$  values. Implications for this data are regional variations of  $\delta^{15}$ N-NO<sub>x</sub> emitted from vehicle exhaust that depend on average vehicle travel time. Catalytic converter advancements in the near future that will reduce cold start emissions will likely lead an enrichment in  $\delta^{15}$ N-NO<sub>x</sub>. Future studies should measure  $\delta^{15}$ N-NO<sub>x</sub> from other transportation sectors and fuel types in order to evaluate  $NO_x$  source partitioning and reduction technologies from atmospheric derived  $\delta^{15}$ N-NO<sub>3</sub> measurements.

# 3. NITROGEN STABLE ISOTOPE OF THERMALLY PRODUCED $NO_X$ FROM VARIOUS FOSSIL-FUEL COMBUSTION SOURCES

The following chapter is a reprint from a published article (Walters, W. W.; Tharp, B. D.; Fang, H.; Kozak, B. J.; Michalski, G. Nitrogen isotope composition of thermally produced  $NO_x$  from various fossil-fuel combustion sources. *Environ. Sci. Techn.* **2015**, 49(19), 11363-11371).

#### 3.1 Introduction

Nitrogen oxides ( $NO_x = NO + NO_2$ ) are trace gases that play several important roles in tropospheric chemistry [3,9].  $NO_x$  controls the photochemical production of ozone, a tropospheric oxidant and greenhouse gas, and influences the concentration of the hydroxyl radical, which acts as the detergent of the atmosphere, removing carbon monoxide, methane, and volatile organic compounds [98]. Oxidation of  $NO_x$  leads to the formation of nitric acid ( $HNO_3$ ), which is subsequently deposited via wet and/or dry deposition leading to the acidification of the environment [4]. The major sources of  $NO_x$  are soil emissions, biomass burning, lightning, and fossil-fuel combustion [4,12], and since the industrial revolution, anthropogenic  $NO_x$  emissions have surpassed natural  $NO_x$  emissions [4,12]. However, the  $NO_x$  emission budget is regionally and seasonally variable and differs significantly between remote and urban areas [13,99]. In order to estimate the relative importance of various  $NO_x$  sources in local/regional nitrogen (N) deposition, source identification and apportionment of  $NO_x$  and their oxidized products is required.

Once emitted into the atmosphere,  $NO_x$  primarily oxidizes to particulate nitrate  $(p-NO_3^-)$  and  $HNO_3$ ; therefore, analysis of their N stable isotope ratio  $(^{15}N/^{14}N)$ 

might be a useful tool for partitioning NO<sub>x</sub> sources or reactivity [27,28,31]. Previous measurements of the nitrogen stable isotope composition of NO<sub>x</sub> ( $\delta^{15}$ N-NO<sub>x</sub>;  $\delta^{15}$ N(%<sub>0</sub>) =  $[(1000(^{15}N/^{14}N)_{sample}/((^{15}N/^{14}N)_{air} - 1], \text{ where air } N_2 \text{ is the N isotopic reference})$ have indicated a rather large range for various NO<sub>x</sub> sources (Fig 3.1) [31–33, 35–37, 39–43, 45, 100]. Whether these large ranges of  $\delta^{15}$ N-NO<sub>x</sub> are due to actual variations within a source or from differences in measurement methodology is not entirely clear [45]. For example, vehicle  $\delta^{15}$ N-NO<sub>x</sub> has been characterized to range from -13 to 17%<sub>0</sub> [31, 33, 35, 38, 39, 65, 100]. We recently performed an extensive study on NO<sub>x</sub> emitted directly from vehicle tailpipes and found  $\delta^{15} N$ -NO<sub>x</sub> to range from -15.1 to 10.5% that negatively correlated with the emitted  $\mathrm{NO_x}$  concentration and vehicle run time [100]. This trend was hypothesized to be the result of thermal NO<sub>x</sub> production that is depleted in  $^{15}NO_x$  abundance and subsequent  $NO_x$  reduction by three-way catalytic converters that increases  $\delta^{15} NO_x$  abundance as a function of reduction efficiency [100]. Vehicle  $\delta^{15}$ N-NO<sub>x</sub> higher than 10.5% were not determined from NO<sub>x</sub> emitted directly from vehicle tailpipes. Instead, they were inferred from  $\delta^{15}N$  in roadside plant material [36,37,65], tree rings [38], and roadside NO<sub>2</sub> [36,37,39]. Since these measurements are the  $\delta^{15}N$  of a secondary product formed from vehicle-emitted NO<sub>x</sub>, the measured  $\delta^{15}N$ may be subject to kinetic and equilibrium isotope effects that can alter the original  $\delta^{15}$ N-NO<sub>x</sub> emitted from vehicles [31, 46, 101]. Therefore, further characterization of  $\delta^{15} \text{N-NO}_{\text{x}}$  emitted directly from  $\text{NO}_{\text{x}}$  sources is required to minimize uncertainty in current published values to help assess the utility of  $\delta^{15}$ N-NO<sub>3</sub> as a NO<sub>x</sub> source or chemistry tracer.

The variation of  $\delta^{15}$ N-NO<sub>x</sub> for different combustion sources may be related to the mechanism in which NO<sub>x</sub> is produced [102, 103]. The production of NO<sub>x</sub> from combustion processes can be classified into two general categories either "thermal NO<sub>x</sub>" or "fuel NO<sub>x</sub>" [102–104]. Thermal NO<sub>x</sub> forms due to the thermal fixation of atmospheric N<sub>2</sub>, while fuel NO<sub>x</sub> forms due to the oxidation of chemically bound nitrogen within the fuel [102–104]. The majority of NO<sub>x</sub> production in transportation related combustion sources originates from the thermal production, as the N content

in gasoline, diesel, aviation fuel and natural gas is negligible [102,103,105–108]. Thermal NO<sub>x</sub> production occurs through four main mechanisms: the extended Zeldovich mechanism, the "prompt" mechanism, the nitrous oxide (N<sub>2</sub>O) mechanism, and the NNH intermediate mechanism [106, 109, 110]. The prevalence of these mechanisms depends on the combustion conditions such as temperature, pressure, and amount of oxygen present in the combustion chamber [106]. Regardless of the mechanism, the N source for the thermally produced NO<sub>x</sub> is air  $(\delta^{15}N_{air} = 0\%_0)$ ; consequently, it was first thought that combustion  $NO_x$  would be close to  $\delta^{15}N = 0\%$  [31,35]. However, direct  $\delta^{15}$ N-NO<sub>x</sub> measurements of thermally produced NO<sub>x</sub> tend to be negative (Fig. 3.1) [35,45,100]. The depletion in  $^{15}NO_x$  abundance is likely due to the kinetic isotope effect associated with the breaking of the triple bond of N<sub>2</sub> that favors the dissociation of  $1^{14}N^{14}N$  over  $^{15}N^{14}N$ , due to  $^{14}N^{14}N$  having a higher zero point energy  $(1175.7 \text{ cm}^{-1})$  than  $^{15}\text{N}^{14}\text{N}$   $(1156.0 \text{ cm}^{-1})$  [72]. Previously, we have estimated the  $\delta^{15}$ N-NO<sub>x</sub> associated with the extended Zeldovich mechanism (the dominant mechanism for NO<sub>x</sub> production in vehicles) [81]) using a harmonic oscillator approximation for relative reaction rates for the formation of <sup>14</sup>NO and <sup>15</sup>NO to be approximately -9.5% [100]. Presumably, other mechanisms for the thermal NO<sub>x</sub> production will also emit NO<sub>x</sub> depleted in <sup>15</sup>N, because in each case a N bond must break, which will favor the dissociation of <sup>14</sup>N over <sup>15</sup>N. Though, the exact N fractionation for the various thermal NO<sub>x</sub> production pathways may be slightly different due to the pathway's reaction energetics and temperature since the magnitude of an isotopic fractionation is temperature dependent [111].

The characterization of  $\delta^{15}$ N-NO<sub>x</sub> emitted directly from fossil-fuel combustion sources has improved in recent years, but uncertainties remain [31,33,35,40,45,100]. It has been suggested that anthropogenic NO<sub>x</sub> emission sources have  $\delta^{15}$ N-NO<sub>x</sub> values close to zero or positive [31,112], but this may not be true for all anthropogenic NO<sub>x</sub> sources, since production of thermal NO<sub>x</sub> results in negative  $\delta^{15}$ N-NO<sub>x</sub> values [100]. The presence of NO<sub>x</sub> reduction technology (i.e. three-way catalytic converters), though, appears to increase  $\delta^{15}$ N-NO<sub>x</sub> values relative to the produced NO<sub>x</sub> as observed

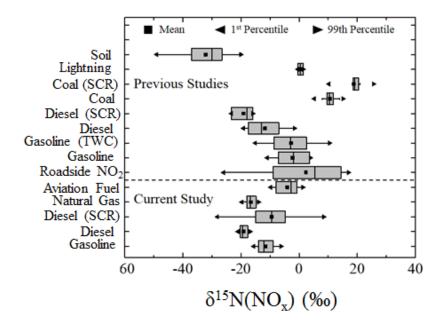


Fig. 3.1.: Box and whisker plot summarizing the distribution (lower extreme, lower quartile, median, upper quartile, and upper extreme) of  $\delta^{15}$ N-NO<sub>x</sub> previously measured and reported in this study for various NO<sub>x</sub> emission sources and fuel types. Presence of catalytic NO<sub>x</sub> reduction technology is indicated by SCR (selective catalytic reducer) and TWC (three-way catalytic converter).

in vehicles [100] and in coal-fired power plants [40]. The magnitude of this  $\delta^{15}$ N-NO<sub>x</sub> increase, however, is not clear for all NO<sub>x</sub> sources, and in many cases, combustion engines are not equipped with catalytic NO<sub>x</sub> reduction technology. To the best of our knowledge, there is no  $\delta^{15}$ N-NO<sub>x</sub> data for fossil-fuel combustion sources that make up a significant percentage of the NO<sub>x</sub> emission budget of the U.S. and can have limited NO<sub>x</sub> reduction capabilities. These include: aircraft (0.7%), off-road diesel-powered engines and equipment (8%), off-road gasoline-powered engines and equipment (2%), natural gas-burning EGUs (8%), and on-road heavy duty diesel-powered engines (17%) [113]. In this study we measured the N isotopic composition in these fossil-fuel combustion sources.

#### 3.2 Materials and Methods

#### 3.2.1 NO<sub>x</sub> Collection and Processing

Exhaust grab samples were collected from nineteen different fossil-fuel combustion sources that included: three airplanes, two gasoline-powered vehicles not equipped with a three-way catalytic converter, five gasoline-powered lawn tools, one  $4 \times 4$  utility vehicle, three diesel-electric urban buses, three diesel semi-trucks, one residential gas furnace, and one natural gas-fired power plant using a modification of the U.S. Environmental Protection Agency Method 7 [14]. Briefly, exhaust or flue samples were collected into a 2 L borosilicate bottle evacuated to 75 Torr. The sampling bottles contained 10 mL of a NO<sub>x</sub> absorbing solution that was prepared by diluting 2.8 mL of concentrated sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) and 0.6 mL of 30% hydrogen peroxide  $(H_2O_2)$  to 1 L using Millipore water. The absorbing solution quantitatively oxidizes NO<sub>x</sub> into NO<sub>3</sub><sup>-</sup>. Isotope effects associated with diffusion fractionation or collection of  $NO_x$  in ambient air are negligible in our  $NO_x$  grab sample setup [100]. Between three and twelve replicate samples were collected for each combustion source usually as a function of engine run time. Seven fossil-fuel combustion sources were equipped with either pre- or post-combustion NO<sub>x</sub> reduction technology. Both the natural gas residential furnace and power plant were equipped with low-NO<sub>x</sub> burners, a type of pre-combustion NO<sub>x</sub> reduction technology that limits the formation of NO<sub>x</sub> [114], and three urban buses and two semi-trucks sampled had selective catalytic reducers (SCR), a type of post-combustion catalytic NO<sub>x</sub> reduction technology. Prior to collection, the various combustion sources were typically warmed up between 1 and 5minutes. Details for each combustion source (i.e. fuel type, NO<sub>x</sub> reduction technology, and warm-up time) can be found in Table 1.

After sampling, the exhaust/flue gases collected within the bottles were equilibrated with the  $NO_x$  absorbing solution for at least 72 hours with occasional shaking every 10 to 12 hours to facilitate the conversion of  $NO_x$  to  $NO_3$ . Residual  $NO_x$  headspace concentrations were measured using a chemiluminescence  $NO-NO_2-NO_x$ 

analyzer (Thermo-Environmental Instrument), and the  $NO_x$  absorbing solution was collected and neutralized using 1 mL of 1 M sodium bicarbonate buffer. The converted NO<sub>3</sub><sup>-</sup> concentrations were measured using a UV-Vis spectrometer (Cary 5000), and the percent of NO<sub>x</sub> conversion to NO<sub>3</sub> was calculated based on the residual NO<sub>x</sub> and converted  $NO_3^-$  concentrations. In each case, at least 99.3% of all collected  $NO_x$ was converted to NO<sub>3</sub><sup>-</sup>, suggesting that N isotopic fractionation during this conversion should be minimized. Control tests indicate that the NO<sub>3</sub>- blank in the NO<sub>x</sub> absorbing solution was below the detection limit. Recently, the presence of ammonia (NH<sub>3</sub>) has been suggested as a possible interference in NO<sub>x</sub> absorbing solutions specifically those that use potassium permanganate (KMnO<sub>4</sub>) which will slowly oxidize NH<sub>3</sub> to NO<sub>3</sub> under basic conditions [45]. This was tested as a possible interference by adding NH<sub>3</sub> into the employed NO<sub>x</sub> absorbing solution. No detectable NO<sub>3</sub>- formed even at relatively high ammonium (NH<sub>4</sub><sup>+</sup>) concentrations (100 ppm) and a wait time of one month, which is the longest time the solutions sat before being analyzed for  $\delta^{15}$ N. Therefore, we believe that NH<sub>3</sub> has a minimal to no impact on neither the measured  $NO_3^-$  concentrations nor the  $\delta^{15}N-NO_x$  values. Control tests using NO and  $NO_2$  of a known isotopic composition that went through the entire NO<sub>x</sub> collection procedure indicate that the reproducibility in our measured  $\delta^{15}$ N-NO<sub>x</sub> values to be  $\pm 1.3\%_0$ .

#### 3.2.2 N Isotopic Analysis

N isotopic analysis was carried out on the product  $NO_3^-$  in the absorption solution. Approximately 250 nmoles of  $NO_3^-$  was injected into a 12 mL vial containing 1 mL of a denitrifying strain of bacteria (P. aureofaciens) that lacks the nitrous oxide ( $N_2O$ ) reductase enzyme, converting  $NO_3^-$  into nitrous oxide ( $N_2O$ ) [69]. The  $N_2O$  was extracted and purified using an automated head space gas chromatography system and analyzed by a Thermo Delta V Continuous Flow Isotope Ratio Mass Spectrometer (CF-IRMS) for m/z 44, 45, and 46 at the Purdue Stable Isotopes Lab. Working lab standards, calibrated to NIST isotope reference nitrates USGS34 and USGS35, were

used to correct for isotopic fractionation resulting from the denitrification of  $NO_3$ and the subsequent  $N_2O$  purification process. The working standards had an average
standard deviation of 0.3% for  $\delta^{15}N$ .

#### 3.3 Results and Discussion

Table 3.1 details the data measured from the various fossil-fuel combustion sources. The text below summarizes the measured  $\delta^{15}\text{N-NO}_{\text{x}}$  values of the collected samples and discusses our interpretation of these results and possible implications. The  $\delta^{15}\text{N-NO}_{\text{x}}$  values measured for the various fossil-fuel combustion sources are summarized in Fig. 3.1 sorted by fuel type.

#### 3.3.1 Thermal NO<sub>x</sub> $\delta^{15}$ N values:

The  $\delta^{15}$ N-NO<sub>x</sub> values for most of the combustion sources appear to be associated with the production of thermal NO<sub>x</sub>. Excluding the NO<sub>x</sub> sources equipped with an SCR (Table 3.1), the average  $\delta^{15}$ N-NO<sub>x</sub> for each source tended to be negative, ranging from -19.1 to 0.6‰, indicating that these samples generally had less <sup>15</sup>NO<sub>x</sub> abundance than the N isotopic reference N (air). Within any given source, the standard deviations ranged from 0.3 to 3‰. This  $\delta^{15}$ N-NO<sub>x</sub> range is consistent with previous isotopic measurements of NO<sub>x</sub> collected from the tailpipes of vehicles without catalytic converters (-13 to -2‰) [35] and exhaust samples collected from a diesel engine in a smog chamber (-18.0 ± 1)‰ [45]. Negative  $\delta^{15}$ N values in NO<sub>x</sub> produced by vehicles with cold-engines, when NO<sub>x</sub> catalytic reduction is inefficient, has also been observed [100]. All together, this suggests that thermally produced NO<sub>x</sub> tends to be depleted in <sup>15</sup>N abundance.

The fuel type and engine-design may have played a role in the  $\delta^{15}$ N-NO<sub>x</sub> emitted by different combustion sources (Fig. 3.1). The gasoline-powered combustion engines that included light duty vehicles, lawn equipment/tools, and a utility vehicle had an average  $\delta^{15}$ N-NO<sub>x</sub> of -11.5  $\pm$  2.7%<sub>0</sub>(n = 46). This average  $\delta^{15}$ N-NO<sub>x</sub> is within one

Table 3.1: Fossil-Fuel Combustion Source Details,  $NO_x$  Concentrations (ppm) and  $\delta^{15}N-NO_x(\%_0)$  Values for Collected Exhaust or Flue Samples.

Source	Fuel	$\overline{x}$ -NO <sub>x</sub> <sup>a</sup>	$\sigma$ -NO <sub>x</sub> <sup>b</sup>	$\overline{x}$ - $\delta^{15}$ N <sup>a</sup>	$\sigma$ - $\delta^{15}$ N b	n
Airplane 1 (Idle)	Aviation Fuel	39	10	-7.5	3	4
Airplane 1 (Throttle)	Aviation Fuel	185	50	-7.7	3	4
Airplane 2	Aviation Fuel	456	60	0.6	1	4
Airplane 3	Aviation Fuel	462	95	-1.5	0.7	4
Car 1	Gasoline	63	7	-13.6	1	11
Car 2	Gasoline	71	13	-9.5	1	5
$4 \times 4$	Gasoline	30	5	-7.6	1	5
Bus 1 <sup>c</sup>	Diesel-Electric	160	40	-20.9	7	4
Bus 2 <sup>c</sup>	Diesel	146	20	-15.7	2	4
Bus 3 <sup>c</sup>	Diesel-Electric	32	4	-1.7	3	4
Semi-Truck 1	Diesel	160	20	-19.1	2	5
Semi-Truck 2 <sup>c</sup>	Diesel	24	10	-2.0	8	6
Semi-Truck 3 <sup>c</sup>	Diesel	45	23	-10.9	3	4
Leaf Blower	Gasoline	132	40	-14.3	1	8
Yard Trimmer	Gasoline	80	30	-9.6	1	7
Tractor 1	Gasoline	141	15	-14.6	1	4
Tractor 2	Gasoline	47	10	-10.7	1	3
Tractor 3	Gasoline	31	1	-8.5	0.3	3
Residential Furnace <sup>d</sup>	Natural Gas	34	10	-15.5	1	12
Power Plant <sup>d</sup> Natural		70	10	-17.9	1	11

 $<sup>{}^</sup>a\overline{x}=$  mean.  ${}^b\sigma=$  standard deviation. Only  ${}^c(SCR)$  and  ${}^d(Pre:Low-NO_x\ Burner)$  had equipped NO<sub>x</sub> reduction technology.

standard deviation of our predicted value for the extended Zeldovich mechanism of -9.5\% [100]. In contrast, the average  $\delta^{15}$ N-NO<sub>x</sub> for diesel sources without SCR was  $-19.1 \pm 1.8\%$  (n = 5) and  $-16.5 \pm 1.7\%$  (n = 33) for a natural gas combustion sources (power plant and residential furnace), which were significantly lower than gasoline-powered sources (p < 0.01). Both gasoline and diesel combustion engines generally produce NO<sub>x</sub> through the extended Zeldovich mechanism during the peaktemperature phase when temperatures exceed 2000 K [115, 116]; therefore, N fractionation associated with the temperature that NO forms likely cannot explain the observed difference in  $\delta^{15}$ N-NO<sub>x</sub>. We hypothesize that the observed difference may be related to the significance of NO decomposition in the combustion chamber. During the peak-temperature phase, NO concentrations reach a maximum, but NO subsequently decomposes post-combustion due to high temperature reactions with N, O, and H radicals [115, 117]. In diesel engines, combustion gases cool more quickly postcombustion than in gasoline engines due to mixing of high temperature gas with air or cooler burned gases, which does not occur in gasoline engines [115]. Consequently, less NO decomposition occurs in diesel engines compared to gasoline engines [115]. If NO decomposition reactions are kinetically controlled then they will occur faster for <sup>14</sup>NO than for <sup>15</sup>NO, and this would lead to the enrichment of <sup>15</sup>NO. Thus, if NO decomposition were more significant in gasoline engines than for diesel engines, this would enrich <sup>15</sup>NO abundance in gasoline engines compared to diesel engines and may explain higher  $\delta^{15}$ N-NO<sub>x</sub> values in gasoline engines. Though other differences between gasoline and diesel engines such as air-to-fuel ratios, cylinder pressures, ignition timing, and exhaust gas recirculation rates may also play a role in the observed difference in  $\delta^{15}$ N-NO<sub>x</sub> [116].

Unlike gasoline and diesel engines, low- $NO_x$  burner natural-gas combustion does not predominately produce  $NO_x$  through the extended Zeldovich mechanism [118]. The flames in low- $NO_x$  burners natural-gas combustion have temperatures that have been measured to range from 800 to 1600 K [119], but the extended Zeldovich mechanism is significant only at temperatures more than 1800 K because of is high activation

energy ( $\approx 76 \text{ kcal/mol}$ ) [106]. Natural gas combustion models indicate that below 1400 K, NO<sub>x</sub> production occurs mainly through the N<sub>2</sub>O and the NNH mechanism [118]. While difficult to estimate the N fractionation associated with the N<sub>2</sub>O and NNH mechanism due to the complicated nature of these mechanisms, we expect the observed  $\delta^{15}$ N-NO<sub>x</sub> from natural-gas combustion to reflect the average contribution of the thermal NO<sub>x</sub> production mechanism and their associated temperature dependent N fractionation. Thus, the difference between the  $\delta^{15}$ N-NO<sub>x</sub> values for gasoline and natural gas combustion sources is likely due to both the N<sub>2</sub>O and NNH mechanism possibly having a different NO<sub>x</sub> isotopic fractionation signature than the extended Zeldovich mechanism, as well as natural gas combusting at lower temperatures.

The other type of fuel used by combustion sources in this study was aviation fuel, which is a highly refined form of gasoline [107,108]. These samples (3 airplane piston engines) had an average  $\delta^{15}$ N-NO<sub>x</sub> of -4.0  $\pm$  4.0% (n = 16). Interestingly, airplane 1, had an average  $\delta^{15}$ N-NO<sub>x</sub> of -7.6  $\pm$  1.8% (n = 8), which is nearly within one standard deviation of our estimated value for the extended Zeldovich mechanism. Airplane 1 was sampled both with at idle and while under load, but no significant difference in  $\delta^{15}$ N-NO<sub>x</sub> was observed (p > 0.1; Table 3.1). However, both airplanes 2 and 3, which were both sampled while under load, had an average  $\delta^{15}$ N-NO<sub>x</sub> value of -0.45  $\pm$  1.4% (n = 8). The  $\delta^{15}$ N-NO<sub>x</sub> values for airplanes 2 and 3 were statistically significantly higher than any other of the combustion sources analyzed in this study (p < 0.01). These two airplanes  $\delta^{15}$ N-NO<sub>x</sub> values suggest isotope equilibrium, rather than kinetic isotope effects might have been important [35]. Theory predicts that the N isotopic exchange between N<sub>2</sub>, O<sub>2</sub>, and NO (3.1) would result in  $\delta^{15}$ N-NO<sub>x</sub> of -0.9% at combustion temperatures of 2200 K [71].

$$N_2 + O_2 \leftrightarrow 2NO \tag{3.1}$$

This predicted value is within one standard deviation of the average  $\delta^{15}$ N-NO<sub>x</sub> emitted from airplanes 2 and 3, indicating that under their combustion conditions, N isotopic equilibrium might have been reached [35]. Previously, ref [35] used isotopic equilibrium to explain high NO<sub>x</sub> concentrations and  $\delta^{15}$ N-NO<sub>x</sub> values that were close

to 0% for gasoline and diesel vehicles operating under heavy-load conditions when combustion temperatures are higher and reaction times longer. Engine load may explain why airplanes 2 and 3 reached equilibrium, but airplane 1 while under load, did not seem to reach isotopic equilibrium based on its average  $\delta^{15}$ N-NO<sub>x</sub>. While not entirely clear why two of the airplanes reached isotopic equilibrium unlike all other combustion engines in this study, in general, the thermal NO<sub>x</sub> tends to be depleted in  $^{15}$ N abundance with the magnitude of depletion dependent on combustion engine design and temperature. Further identification of the importance of N equilibrium isotope effects in combustion sources will need to be addressed in future  $\delta^{15}$ N-NO<sub>x</sub> characterization studies.

## 3.3.2 Impact of catalytic reduction of $NO_x$ on $\delta^{15}N-NO_x$

Our previous suggestion that catalytic reduction of  $NO_x$  enriches  $^{15}NO_x$  abundance relative to the combustion produced  $NO_x$  is supported by the data in this study [100]. In our previous study, there were no measurements of  $\delta^{15}N$ - $NO_x$  produced by gasoline-powered vehicles not equipped with a three-way catalytic converter. In this study, we measured the  $\delta^{15}N$ - $NO_x$  emitted from two vehicles without three-way catalytic converters. The  $\delta^{15}N$ - $NO_x$  and  $NO_x$  concentration emitted by these two vehicles were compared to vehicles equipped with three-way catalytic converters (Fig. 3.2). Overall, the vehicles without catalytic converters emitted  $NO_x$  with negative  $\delta^{15}N$ - $NO_x$  values with low variation (-13.6  $\pm$  1%0 and -9.5  $\pm$  1%0) that did not correlate with the emitted  $NO_x$  concentrations ( $R^2 = 0.01$ ). This is in contrast to gasoline-powered vehicles equipped with three-way catalytic converters that exhibited a strong correlation between  $NO_x$  concentrations and  $\delta^{15}N$ - $NO_x$  ( $R^2 = 0.84$ ). This supports our previous hypothesis that catalytic reduction of  $NO_x$  increases  $\delta^{15}N$ - $NO_x$  values relative to the thermally produced  $NO_x$  during diffusion and absorption in the catalytic converter that favors  $R^1$ 00 reduction over  $R^1$ 100.

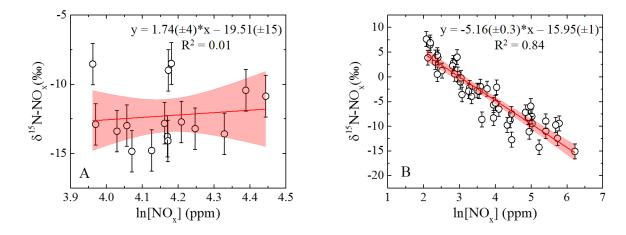


Fig. 3.2.:  $\delta^{15}\text{N-NO}_{x}(\%_{0})$  as a function of collected  $\ln[\text{NO}_{x}]$  (ppm) for gasoline-powered vehicle without (A) and with (B) a 3-way catalytic converter. (B) adapted from ref [100]. Copyright American Chemical Society. Linear fit is indicated by the red line, and 95% confidence interval is shown in light red.

A similar trend of increasing  $\delta^{15}$ N-NO<sub>x</sub> with decreasing NO<sub>x</sub> concentrations was observed for heavy-duty diesel-powered engines equipped with SCR technology. Both heavy-duty diesel and diesel-electric powered engines emitted  $\delta^{15}$ N-NO<sub>x</sub> that strongly correlated with the emitted NO<sub>x</sub> concentrations (R<sup>2</sup> = 0.74; 3.2). Generally, under cold-engine conditions, the emitted NO<sub>x</sub> concentrations were higher because of the inefficiency of SCRs at low temperatures [120, 121] and low  $\delta^{15}$ N-NO<sub>x</sub> values were reflecting the predominance of thermal NO<sub>x</sub> (Fig. 3.3). As the converter warms up, the efficiency of the catalytic reduction of NO<sub>x</sub> increases, NO<sub>x</sub> emissions diminish [120, 121], and the catalytic reduction of NO<sub>x</sub> enriches <sup>15</sup>NO<sub>x</sub>. In order to determine the  $\delta^{15}$ N isotope enrichment factor associated with the catalytic reduction of NO<sub>x</sub> by diesel-powered engines equipped with SCRs, the process was modeled as a Rayleigh distillation process (Eq. 3.2):

$$\delta^{15} N_{\text{Reduced}} = \delta^{15} N_{\text{Thermal}} + \epsilon_{\text{R/T}} ln[f_{\text{NO}_{\text{x}}}]$$
 (3.2)

where  $\delta^{15}N_{Reduced}$  is the measured  $\delta^{15}N$ -  $NO_x$  exiting the catalytic converter,  $^{15}N_{Thermal}$ is the  $^{15}{\rm N}$  value of thermal  ${\rm NO_x}$  exiting the combustion chamber,  ${\rm f_{NO_x}}$  is the fraction of the thermal NO<sub>x</sub> remaining after catalytic reduction, and  $\epsilon_{R/T}$  (%0) is the enrichment factor associated with catalytic NO<sub>x</sub> reduction (R) relative to the initial thermal NO<sub>x</sub> (T). From Fig. 3.3,  $\epsilon_{R/T}$  for the reduction of heavy-duty diesel-powered engines was calculated to be  $-8.7(\pm 1)\%_0$ , which is close to the  $\epsilon_{\rm R/T}$  previously calculated for light-duty diesel-powered engines of  $-6.1(\pm 0.8)\%$  [100]. Both the heavy and light-duty diesel-powered engines were equipped with SCR technology. In this catalytic system, liquid urea is injected into the exhaust pipeline leading to its vaporization and subsequent decomposition to NH<sub>3</sub>. NH<sub>3</sub> is then absorbed on the surface of the catalytic converter and reacts with  $NO_x$  to form water and nitrogen [116, 122]. Therefore, we hypothesize that the observed  $\epsilon_{R/T}$  could be due to the following isotopic fractionation processes: (1) NO diffusion through  $N_2$  to the catalyst surface, (2) kinetic isotope effect associated with NO binding onto the surface of the metal catalyst, and/or (3) kinetic isotope effect of NO dissociating on the metal catalyst. To this end, we have calculated the following  $\epsilon$  for these processes: (1) -7.8\%0, (2)  $-16.3\%_0$ , and (3)  $-17.9\%_0$ . Based on these calculations, it appears that the observed fractionation is most likely due to rate limitation caused by NO diffusion through N<sub>2</sub>.

Recently, the impact of SCR in coal-fired power plants on  $\delta^{15}$ N-NO<sub>x</sub> has been studied [40], and also indicates that SCR technology increases  $\delta^{15}$ N-NO<sub>x</sub> values. In order to determine the  $\epsilon_{\rm R/T}$  associated with SCR technology in coal-fired power plants, we modeled the measured  $\delta^{15}$ N-NO<sub>x</sub> values for power-plant "D" [40] under conditions with SCR technology on and off also as a Raleigh distillation process (Eq. 3.2; Fig. 3.3). This gives an  $\epsilon_{\rm R/T}$  of -9.2  $\pm$  0.6‰, which is within one standard deviation of the calculated value for SCR in heavy-duty diesel vehicles. This result suggests that similar NO<sub>x</sub> reduction technologies involving catalysts are fractionating NO<sub>x</sub> because of a diffusion layer near the catalytic surface resulting in comparable enrichment factors. While the  $\epsilon_{\rm R/T}$  associated with SCR technology for both diesel-engines and coal-fired power plants seem to be similar, the absolute  $\delta^{15}$ N-NO<sub>x</sub> value associated

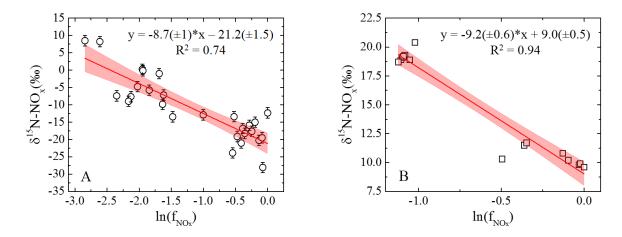


Fig. 3.3.: Impact of SCR technology on  $\delta^{15}\text{N-NO}_{x}(\%_{0})$  as a function of  $\ln[f_{\text{NO}_{x}}]$  where  $f_{\text{NO}_{x}}$  is the fraction of  $\text{NO}_{x}$  normalized to the highest  $[\text{NO}_{x}]$  emission for heavy-duty diesel-powered buses and trucks (A) and coal-fired power plants (B) adapted from [40] Linear fit is indicated by the red line, and 95% confidence interval is shown in light red. Copyright American Chemical Society

with these two sources is quite different. In absence of SCR technology, our model indicates that diesel engines would emit  $\delta^{15}$ N-NO<sub>x</sub> of -21.5  $\pm$  1.5%, while coal-fired power plants would emit  $\delta^{15}$ N-NO<sub>x</sub> of 9.0  $\pm$  0.5%(Fig. 3.3). The difference in these values can be explained by the NO<sub>x</sub> production mechanism. In diesel engines, NO<sub>x</sub> is produced thermally, and as previously discussed, favors the formation of  $^{14}$ NO<sub>x</sub> compared to  $^{15}$ NO<sub>x</sub>, resulting in negative  $\delta^{15}$ N-NO<sub>x</sub> values. However, coal-fired power plants combustion temperature (1550 to 1675 K) are too low for significant formation of thermally produced NO<sub>x</sub> [123]. Instead, most of the NO<sub>x</sub> is formed from the N derived from the coal as "fuel NO<sub>x</sub>" [124]. Coal has been measured to have a  $\delta^{15}$ N value of 1 to 1.2% [35] and 2.0 to 2.2% [40]. Our modeled value for the coal-fired power plant emitted  $\delta^{15}$ N-NO<sub>x</sub> is significantly higher than the  $\delta^{15}$ N of the coal. This likely occurs due to the influence of by post-combustion reactions involving the decomposition of NO that significantly lowers NO concentrations [35]. Based on the

kinetic isotope effect, NO decomposition will occur faster for  $^{14}NO$  than for  $^{15}NO$ , resulting in  $\delta^{15}N-NO_x$  larger than the  $\delta^{15}N$  of the coal.

Overall, the observed trend in the catalytic reduction of  $NO_x$  and  $\delta^{15}N-NO_x$  is significant and suggests that regulated NO<sub>x</sub> emissions (i.e. equipped catalytic NO<sub>x</sub> reduction technology) from combustion sources has resulted in the gradual elevation of  $\delta^{15}$ N-NO<sub>x</sub> in the U.S. since the implementation of the Clean Air Acts [125]. This is suspected to occur since our data and previous studies [40, 100] indicate that the catalytic reduction of  $NO_x$  increases  $\delta^{15}N-NO_x$  values relative to the produced  $NO_x$ form fossil-fuel combustion sources. The magnitude of this elevation will depend on both the catalytic reduction technologies NO<sub>x</sub> fractionation signature and the efficiency of the NO<sub>x</sub> reduction. Thermally produced NO<sub>x</sub> without catalytic NO<sub>x</sub> reduction technology will tend to have negative  $\delta^{15}$ N-NO<sub>x</sub> values with the magnitude depending on engine design and combustion temperature conditions. This may allow for the prediction of  $\delta^{15} \text{N-NO}_x$  from other  $\text{NO}_x$  sources, assuming that the majority of the  $NO_x$  originates from the thermal production and that the combustion temperature is known. To this end, we estimate that marine vessels and locomotives (both sources yet to be characterized for  $\delta^{15}$ N-NO<sub>x</sub>) that operate using diesel fuel will emit  $\delta^{15}$ N- ${
m NO_x}$  values close to -19‰ and those vessels equipped with SCR will emit  $\delta^{15}{
m N-NO_x}$ values close to 0%<sub>0</sub>.

## 3.3.3 Regional and Seasonal $\delta^{15}$ N-NO<sub>x</sub> Variations

The  $\delta^{15}$ N-NO<sub>x</sub> inventory was used to predict the spatial and temporal variation of  $\delta^{15}$ N-NO<sub>x</sub> values across the contiguous U.S. The data obtained in this study, combined with other  $\delta^{15}$ N-NO<sub>x</sub> source characterization studies [31–33, 35–37, 39–43, 45, 100] accounts for roughly 80% of all emitted NO<sub>x</sub> in the contiguous U.S. based on 2011 U.S. EPA NO<sub>x</sub> emission inventory [113]. The fraction of NO<sub>x</sub> ( $f_{\text{source}_i}$ ) from each EPA source category was estimated at the county level using the 2011 EPA NO<sub>x</sub> emission

inventory [113] . The  $\delta^{15}$ N-NO<sub>x</sub> mean value for each source ( $\delta^{15}$ N<sub>source<sub>i</sub></sub>) and f<sub>source<sub>i</sub></sub> was then used to solve the isotope mass balance equation for each county (Eq. 3.3).

$$(\delta^{15}N - NO_{x})_{\text{total}} = \sum_{i} (f_{\text{source}_{i}}) \times (\delta^{15}N - NO_{x(\text{source}_{i})})$$
(3.3)

The total  $NO_x$  emission for each source by county from the 2011 U.S. EPA  $NO_x$  emission inventory was scaled for bi-monthly  $NO_x$  emissions. The annual county  $NO_x$  totals for soil [126], coal [127], and natural gas [127], were apportioned on a monthly basis according to seasonal usage and soil emission estimates. All other  $NO_x$  sources were assumed to emit at a constant rate throughout the year. Bi-monthly  $\delta^{15}N-NO_x$  isoscapes for the contiguous U.S. were produced using ArcMap, and the inverse distance weighted (IDW) interpolation technique was applied between counties. The  $\delta^{15}N-NO_x$  values used in the constructed isoscape (Fig. 3.4).

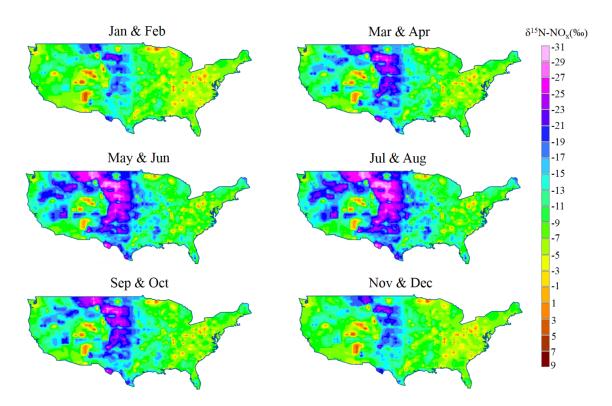


Fig. 3.4.: Bimonthly  $\delta^{15}$ N-NO<sub>x</sub> isoscape across the contiguous U.S. Copyright American Chemical Society

Fig. 3.4 stresses the regional dependency of  $\delta^{15}$ N-NO<sub>x</sub> based on the dominant NO<sub>x</sub> emission source of the region. The high percentage of soil emissions over the Great Plains region and the low  $\delta^{15}$ N-NO<sub>x</sub> values of soil emissions resulted in this region typically having the lowest  $\delta^{15}$ N-NO<sub>x</sub> in the U.S. On the other hand, locations near coal-fired power plants have the highest  $\delta^{15}$ N-NO<sub>x</sub> as this source tends to have the highest  $\delta^{15}$ N-NO<sub>x</sub> [35,40]. Generally, the more polluted a region is, the higher the  $\delta^{15}$ N-NO<sub>x</sub> since the largest natural emissions (soil) has the lowest  $\delta^{15}$ N-NO<sub>x</sub> [39,41,42]. Additionally, Fig 3.4 shows the importance of seasons on the regional  $\delta^{15}$ N-NO<sub>x</sub> that are generally driven by soil emissions. During the summer, when soil emissions are largest [126], lower  $\delta^{15}$ N-NO<sub>x</sub> values are predicted relative to the other months. Conversely, during the winter,  $\delta^{15}$ N-NO<sub>x</sub> values are highest because soil emissions are lowest since denitrification is limited at cold temperatures [126]. Seasonal variations in  $\delta^{15}$ N-NO<sub>x</sub> are not predicted in regions in which the major NO<sub>x</sub> source contributor remains relatively unchanged throughout the year, such as regions dominated by vehicle NO<sub>x</sub> emissions and power plants.

The predicted 15N-NO<sub>x</sub> values for the contiguous U.S. were compared to observed  $\delta^{15}$ N values in NO<sub>x</sub> and NO<sub>3</sub><sup>-</sup> in precipitation. Studies of  $\delta^{15}$ N-NO<sub>x</sub> from ambient air in the U.S. report values ranging from -24.6 to 7.3% [37,45], which is an excellent agreement with our  $\delta^{15}$ N-NO<sub>x</sub> isoscape model that has values ranging from -31 to 9.7%. Measurements the  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> in wet and dry deposition, in the U.S., however, range from -9.5 to 14.1% [27, 28, 37], typically on the higher end of our predicted  $\delta^{15}$ N-NO<sub>x</sub> isoscape model. Though, it is important to point out that the availability of both  $\delta^{15}$ N-NO<sub>x</sub> and  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> data is exceedingly limited and mainly exists for the northeastern region of the U.S. [27,28,37,45]. The discrepancy in the predicted  $\delta^{15}$ N-NO<sub>x</sub> and the measured  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> in wet and/or dry deposition could be related to limited  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> data or due to several other reasons. First, equilibrium isotope effects likely increase the  $\delta^{15}$ N as NO<sub>x</sub> is converted to NO<sub>3</sub><sup>-</sup> prior to deposition [46,101]. The observed difference may then be associated with regional differences in NO<sub>x</sub> oxidation pathways. Additionally,  $\delta^{15}$ N may increase as NO is oxidized to NO<sub>3</sub><sup>-</sup> due

to dry deposition of NO and  $\mathrm{NO}_2$  which kinetically would favor the loss of  $^{14}\mathrm{N}.$  Alternatively, the discrepancy may be due to an incomplete assessment of  $\delta^{15}N$  values from all NO<sub>x</sub> sources. We note that the data obtained from this study have limited sample size and may not accurately represent the  $\delta^{15} \text{N-NO}_x$  emitted from all types of gasoline, diesel, and natural gas fossil-fuel combustion sources. Similar types of studies in the future are necessary to reduce uncertainty in  $\delta^{15}$ N-NO<sub>x</sub> values. While the exact causation of the alteration of  $\delta^{15}N$  is beyond the scope of this work, if  $\delta^{15}N$ - $NO_3^-$  is linked to  $\delta^{15}N-NO_x$ , Fig.3.4 suggests that: (1) there are regional variations in  $\delta^{15}$ N-NO<sub>3</sub> based on the region's dominant NO<sub>x</sub> source, (2)  $\delta^{15}$ N-NO<sub>x</sub> values may not be as high as previously thought [112], and positive values generally only exist in regions with a significant amount of coal-fired NO<sub>x</sub> emissions, and (3)  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> should reflect seasonal changes in areas where seasons affect the relative importance of NO<sub>x</sub> sources, however, meteorological conditions may transport NO<sub>x</sub> and NO<sub>3</sub>-, and this would alter measured  $\delta^{15}$ N-NO<sub>3</sub>- compared to a regions emitted  $\delta^{15}$ N-NO<sub>x</sub>. Future studies should be directed towards further characterizing  $\delta^{15}$ N-NO<sub>x</sub> sources that include industrial processes, marine vessels, oil, and biomass-burning fossil fuel combustion, which represent approximately 8.5%, 2.9%, 1.9%, 0.8% of all NO<sub>x</sub> emission in the U.S. respectively [113]. Additionally, the impact of N fractionation processes due to chemical reactions and photolysis during the conversion of  $NO_x$  to  $NO_3$  needs to be better assessed and will be the subject for a future study.

#### 3.4 Conclusion

Here we have measured  $\delta^{15}$ N-NO<sub>x</sub> from several fossil-fuel combustion sources that includes: airplanes, gasoline-powered vehicles not equipped with a three-way catalytic converter, lawn equipment, utility vehicles, urban buses, semi-trucks, residential gas furnaces, and natural-gas-fired power plants. A relatively large range of  $\delta^{15}$ N-NO<sub>x</sub> was found from -28.1 to 8.5% for individual exhaust/flue samples that generally tended to be negative due to the kinetic isotope effect associated with thermal NO<sub>x</sub>

production. A negative correlation between  $NO_x$  concentrations and  $\delta^{15}N\text{-NO}_x$  for fossil-fuel combustion sources equipped with selective catalytic reducers was observed, suggesting that the catalytic reduction of  $NO_x$  increases  $\delta^{15}N\text{-NO}_x$  values relative to the  $NO_x$  produced through fossil-fuel combustion processes. Combining the  $\delta^{15}N\text{-NO}_x$  measured in this study with previous published values, a  $\delta^{15}N\text{-NO}_x$  regional and seasonal isoscape was constructed for the contiguous U.S., which demonstrates seasonal and regional importance of various  $NO_x$  sources. Our predicted  $\delta^{15}N\text{-NO}_x$  model tends to predict negative values, which is in general agreement with prior  $\delta^{15}N\text{-NO}_x$  studies. However,  $\delta^{15}N\text{-NO}_3$  studies generally find positive values, indicating that isotope effects might play a major role on  $\delta^{15}N$  as  $NO_x$  is oxidized into atmospheric nitrate. This will be the subject for future research.

## 4. THEORETICAL CALCULATION OF NITROGEN ISOTOPE EQUILIBRIUM EXCHANGE FRACTIONATION FACTORS FOR VARIOUS NO<sub>Y</sub> MOLECULES

The following chapter is a reprint from a published article (Walters, W. W.; Michalski, G. Theoretical calculation of nitrogen equilibrium isotope exchange fractionation factors for various NO<sub>y</sub> molecules. *Geochim. Cosmochim. Acta.* **2015**, *164*, 284-297).

#### 4.1 Introduction

The family of oxidized nitrogen molecules in the atmosphere, denoted as NO<sub>y</sub>, which includes the sum of nitrogen oxides  $(NO_x = NO + NO_2)$  and its oxidation  $products \; (NO_y = NO_x \, + \, PAN \; (peroxyacetyl \; nitrate = C_2H_3NO_5) \, + \, HNO_3 \, + \, NO_3$ + HONO + N<sub>2</sub>O<sub>5</sub> + particulate nitrates + etc) [3], are environmentally-relevant molecules that play a crucial role in many atmospheric processes [9]. In the troposphere,  $NO_x$  controls the concentrations of ozone  $(O_3)$  and the hydroxyl radical (•OH), and is primarily oxidized to form nitrate aerosols (NO<sub>3 (s)</sub>) and nitric acid (HNO<sub>3</sub>) [2,5,64]. Subsequent wet and/or dry deposition of HNO<sub>3</sub> leads to numerous deleterious environmental impacts, including degradation of drinking water, soil acidification, eutrophication, and biodiversity changes in terrestrial ecosystems [4]. During the nighttime, the nitrate radical (NO<sub>3</sub>) is a major atmospheric oxidant, influencing the lifetime of various other trace gases [128]. Reactions involving volatile organic compounds (VOCs) and NO<sub>2</sub> can lead to the formation of PAN, which can be transported over relatively large distances and introduce  $NO_x$  to pristine environments [129]. In the stratosphere, HNO<sub>3</sub> is the primary component of polar stratospheric clouds (PSCs) that form by the reactions of dinitrogen pentoxide ( $N_2O_5$ ) and chlorine nitrate (ClONO<sub>2</sub>) on the surface of stratospheric cloud particles in Polar

Regions [130]. PSCs provide a surface for heterogeneous chemical reactions to occur which leads to ozone destruction in the stratosphere.

In general, the precursor to the formation of NO<sub>v</sub> is the emission of NO<sub>x</sub> that is subsequently oxidized by various atmospheric oxidants such as O<sub>3</sub>, •OH, NO<sub>3</sub>-,  $HO_2$ , and the organoperoxy radicals ( $RO_2$ ). Due to the important impacts  $NO_y$ molecules have on the environment, it is important to understand the sources of  $NO_x$ and the processes that transform it into NO<sub>v</sub>. While significant efforts have been made to reduce the NO<sub>x</sub> emission from stationary and mobile sources [11], there are still relatively large uncertainties in the total  $NO_x$  emission budget, both natural and anthropogenic, estimated between 30-50% [4]. In order to better estimate the relative importance of various NO<sub>x</sub> sources to the overall NO<sub>x</sub> emission budget, the analysis of the nitrogen (N) stable isotope ratio ( $^{15}N/^{14}N$ ) of atmospherically derived HNO<sub>3</sub> and NO<sub>3</sub><sup>-</sup> from wet and/or dry deposition has been suggested as a possible tool for partitioning NO<sub>x</sub> sources [27], because various NO<sub>x</sub> sources have relatively distinct  $^{15}$ N/ $^{14}$ N ratios [33, 35–37, 39–41, 45, 65, 100]. Therefore, if the  $^{15}$ N/ $^{14}$ N ratios of NO<sub>x</sub> are preserved when oxidized to  $NO_3^-$  and  $HNO_3$ , they can be used as a proxy for  $NO_x$ source partitioning. However, implementation of this method requires knowledge of how kinetic and equilibrium isotopic fractionations impacts  $^{15}\mathrm{N}/^{14}\mathrm{N}$  ratios during the conversion of NO<sub>x</sub> to NO<sub>y</sub> [31,46]. If these factors are considerable, then they may limit the utility of using  $^{15}N/^{14}N$  ratios of  $NO_x$  for source partitioning. Yet, few fractionation factors for this conversion have been determined.

The transformation of  $NO_x$  to  $HNO_3$  is a complicated process that involves several different reaction pathways (Fig 4.1) [131]. During this transformation, various  $NO_y$  molecules exist in equilibrium and are likely to undergo isotope exchange reactions involving the N isotopologues such as the exchange of NO and  $NO_2$  (Eq. 4.1):

$$^{15}NO + ^{14}NO_2 \leftrightarrow ^{14}NO + ^{15}NO_2$$
 (4.1)

A field study has indicated that this equilibrium isotope exchange may have a strong influence on the observed  $^{15}N/^{14}N$  ratios in atmospheric NO and NO<sub>2</sub> [46],

suggesting that isotope exchange equilibrium may play a significant role in influencing the  $^{15}\text{N}/^{14}\text{N}$  ratios of various  $\text{NO}_{\text{y}}$  molecules. In order to fully understand studies of the N isotopic composition of atmospherically derived  $\text{NO}_{\text{y}}$  compounds [27,28,31,44, 94,112], the effects of isotope exchange on  $^{15}\text{N}/^{14}\text{N}$  ratios involving  $\text{NO}_{\text{y}}$  molecules needs to be addressed.

Previous studies have calculated equilibrium isotope exchange fractionation factors involving several NO<sub>y</sub> molecules based on experimental measurements of harmonic frequencies [71, 132] and on empirical force field methods [133]. However, equilibrium isotope exchange fractionation factors have not been determined for all atmospherically relevant NO<sub>y</sub> molecules including but not limited to: NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, halogen nitrates (XONO<sub>2</sub>), and PAN due primarily to the absence of spectroscopic data for <sup>15</sup>N isotopologues of these NO<sub>y</sub> molecules. Recently, computational quantum chemistry methods have been used to calculate equilibrium isotope exchange fractionation factors for H & O [134], Li [135], B [136], Cr [137], Fe [138], Cu [139], Mo [140], and S [141]. Here we use computational quantum chemistry methods to calculate equilibrium isotope exchange fractionation factors for the major NO<sub>y</sub> molecules that are suspected to exist in equilibrium and compare them to experimental and prior theoretical determinations.

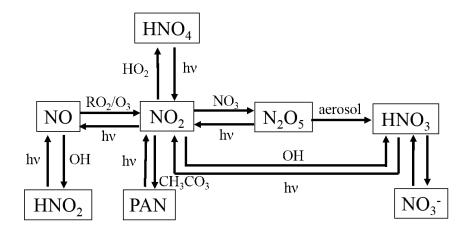


Fig. 4.1.: Various transformation pathways for NO<sub>x</sub> to HNO<sub>3</sub>.

#### 4.2 Methods and Theory

#### 4.2.1 Calculation of Equilibrium Isotope Exchange Fractionation Factors

Early treatment of equilibrium isotope exchange factors were reported in 1947 by Urey in terms of isotopic partition function ratios, and by Bigeleisen and Mayer who introduced the isotopic reduced partition function ratio (RPFR) now in general use [111,142]. Assuming the Born-Oppenheimer and harmonic oscillator approximations, the RPFR (denoted as  $\beta$  is written as (Eq. 4.2):

$$\beta = \left(\frac{s_2}{s_1}\right)_f = \prod_i^N \frac{u_{2i}}{u_{1i}} \times \exp\left(\sum_i^N \frac{u_{1i} - u_{2i}}{2}\right) \times \prod_i^N \frac{1 - \exp(-u_{1i})}{1 - \exp(-u_{2i})}$$

$$= (CF)(ZPE)(EXC)$$
(4.2)

In Eq. 4.2, subscripts 1 and 2 refer to the light and heavy isotopologue respectively,  $u_i = hc\nu_i/kT$ , h is planck's constant, c is speed of light,  $\nu_i$  is vibrational frequency, k is Boltzmann constant, T is temperature, N refers to the normal mode frequencies (3N-6 or 3N-5 if linear), and  $s_1$  and  $s_2$  are symmetry numbers that do not lead to any isotope effect but must be considered from a statistical perspective. Eq. 4.2 points out that  $\beta$  can be considered the product of a classical factor (CF) that accounts for translational and rotational energy, the zero point energy contribution (ZPE), and an excitation factor (EXC). For an equilibrium isotope exchange reaction (Eq. 4.3):

$$A_1 + B_2 \leftrightarrow A_2 + B_1 \tag{4.3}$$

where A and B are different chemical species, and subscripts 1 and 2 again refer to the light and heavy isotopologue respectively, the reduced equilibrium constant, written as  $K_{A/B}$ , and also defined as the equilibrium isotope fractionation factor ( $\alpha_{A/B}$ ), can be obtained from the  $\beta$  of A and B (Eq. 4.4):

$$K_{A/B} = \alpha_{A/B} = \beta_A/\beta_B \tag{4.4}$$

By this notation, the equilibrium constant for  $NO_2 \leftrightarrow NO$  isotope exchange between <sup>14</sup>N and <sup>15</sup>N isotopologue pairs (Eq. 4.1) is written as (Eq. 4.5):

$$K_{\text{NO}_2/\text{NO}} = {}^{15}\alpha_{\text{NO}_2/\text{NO}} = RPFR = \frac{{}^{15}\beta_{\text{NO}_2}}{{}^{15}\beta_{\text{NO}}}$$
 (4.5)

Neglecting the symmetry-number factor, since the purely classical symmetry numbers cannot lead to isotope fractionation [143],  $\alpha_{A/B}$  only depends on the isotopic dependent vibrational frequencies. Therefore, if the N isotopologue vibrational frequencies are known for various NO<sub>y</sub> molecules, theoretical equilibrium isotope exchanges involving NO<sub>y</sub> molecules can be calculated in the rigid rotor and harmonic oscillator approximations. Theoretically, for proper use of the Bigeleisen-Mayer equation (Eq. 4.2) for  $\beta$  calculations, pure harmonic vibrational frequencies must be used to satisfy the approximations used within the Teller-Redlich product rule [144]. Isotopologue harmonic frequencies have been obtained from experimental spectroscopic data for many di- and some tri- atomic molecules, but few have been experimentally determined for larger molecules or radicals because of challenges in isotopologue synthesis. However, they can be calculated using computational quantum chemistry methods.

#### 4.2.2 Computational Chemistry Methods

The optimized geometries (bond angles and bond lengths) and harmonic frequencies were calculated for the following nineteen NO<sub>y</sub> molecules: NO, NO<sub>2</sub>-, NO<sub>3</sub>, NO<sub>3</sub>-, HNO<sub>3</sub>, PAN, N<sub>2</sub>O<sub>5</sub>, ClONO<sub>2</sub>, nitrite anion (NO<sub>2</sub>-), nitrous acid (HONO), peroxynitric acid (HNO<sub>4</sub>), dinitrogen trioxide (N<sub>2</sub>O<sub>3</sub>) dinitrogen tetroxide (N<sub>2</sub>O<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), nitryl bromide (BrNO<sub>2</sub>), nitryl chloride (ClNO<sub>2</sub>), bromine nitrate (BrONO<sub>2</sub>), nitrosyl chloride (NOCl), and nitrosyl bromide (NOBr) using computational quantum chemistry methods. The masses that correspond to the most abundant naturally occurring isotopes of elements (<sup>1</sup>H, <sup>12</sup>C, <sup>14</sup>N, <sup>16</sup>O, <sup>35</sup>Cl, and <sup>79</sup>Br) and the monosubstitution of <sup>15</sup>N for <sup>14</sup>N were used in the harmonic frequency calculations. All calculations were performed with the Q-Chem 4.2 program suite [145] using both the

B3LYP [146,147] and EDF2 [148] hybrid density functional theory (DFT) methods, with the latter method specifically optimized for harmonic frequency calculations. DFT calculations are not strictly first-principle methods, but include some of the electron correlation accounting for the instantaneous interactions of pairs of electrons at a favorable computational cost [149]. For each method, the Dunning correlation-consistent polarized valence triple  $\zeta$  (cc-pVTZ) basis set was used [150].

Computational methods for calculating harmonic frequencies are obtained from the force constant matrix (second derivative of the energy) evaluated at the equilibrium geometry [149], and are generally larger than the experimentally observed harmonic frequencies, due to incomplete incorporation of electron correlation and the use of finite basis sets [151]. To check the accuracy of our chosen levels of theory, harmonic frequencies were calculated for both B3LYP/cc-pVTZ and EDF2/cc-pVTZ and compared with experimental harmonic frequencies for a variety of di- and tri- atomic molecules that included: NO, NO<sub>2</sub>, N<sub>2</sub>, N<sub>2</sub>O, carbon dioxide (CO<sub>2</sub>), water (H<sub>2</sub>O), carbonyl sulfide (OCS), sulfur dioxide (SO<sub>2</sub>), disodium (Na<sub>2</sub>), hydrogen cyanide (HCN), nitrogen monohydride (NH), dilithium (Li<sub>2</sub>), hydroxyl radical (•OH), carbon disulfide (CS<sub>2</sub>), and hydrogen sulfide (H<sub>2</sub>S) for a total of 37 vibrational modes covering a harmonic frequency range of 159.08 to 3938.74 cm<sup>-1</sup>. A least squares linear regression fitting was applied to relate calculated harmonic frequencies to experimental values for each DFT method in order to assess their accuracy and to determine a scale factor which was subsequently used to scale all calculated vibrational frequencies to more closely match experimental values.

### 4.2.3 Determination of NO $_{\mathbf{y}}$ <sup>15</sup> $\beta$ and $\alpha_{\mathbf{A}/\mathbf{B}}$ values

The scaled harmonic frequencies for both DFT methods were used to calculate  $^{15}\beta$  values for each NO<sub>y</sub> isotopologue. In the case of N<sub>2</sub>O and N<sub>2</sub>O<sub>3</sub>, positionally dependent  $^{15}$ N substitution was taken into account in the calculations of  $^{15}\beta$ . For all other NO<sub>y</sub> molecules in which there are multiple N atoms, the N atoms were

ruled equivalent due to molecular symmetry. To the best of our knowledge,  $^{15}\beta$  values have not previously been calculated for NO<sup>3</sup>, HNO<sub>4</sub>, N<sub>2</sub>O<sub>5</sub>, PAN, ClONO<sub>2</sub>, and  $BrONO_2$ . To assess the accuracy of our calculated  $^{15}\beta$  values, they were compared to  $^{15}\beta$  values calculated from experimental harmonic frequencies and/or calculated using empirical force field methods for NO [71, 133], NO<sub>2</sub> [71, 133], N<sub>2</sub>O [152, 153], and HNO<sub>3</sub> [133]. Using our calculated  $^{15}\beta$  values,  $\alpha_{A/B}$  were calculated for the following gaseous exchange processes (A $\leftrightarrow$ B): NOCl $\leftrightarrow$ NO, HNO<sub>2</sub> $\leftrightarrow$ NO, N<sub>2</sub>O<sub>5</sub> $\leftrightarrow$ NO<sub>2</sub>,  $N_2O_4 \leftrightarrow NO_2, \ ClONO_2 \leftrightarrow NO_2, \ PAN \leftrightarrow NO_2, \ and \ HNO_3 \leftrightarrow NO \ in \ the \ temperature \ range$ from 150 to 450 K. The thermodynamic energy that governs the equilibrium isotope exchange for a particular isotopologue arises due to small differences in the vibrational energies of isotopically substituted molecules (Urey, 1947). NO<sub>v</sub> molecules that have been substituted with a heavier N isotope (15N) will vibrate at lower frequencies than NO<sub>v</sub> molecules containing the more common light N isotope (<sup>14</sup>N). The relative lower vibrational frequency for <sup>15</sup>N containing molecules will reduce the vibrational zero-point energy (ZPE,  $=\frac{1}{2}h\nu$  for harmonic oscillators) compared to <sup>14</sup>N containing molecules. The reduction of vibrational ZPE for a <sup>15</sup>N containing molecule will be greater for a particular N-bearing molecule that depends on the strength of bonds that N is involved in, and this will drive the direction of an N isotope equilibrium exchange. For example, in the N isotopologue equilibrium exchange between NO and  $NO_2$  (Eq. 4.1), the vibrational ZPEs are 11.35, 11.15, 22.41, and 21.04 kJ/mol for <sup>14</sup>NO, <sup>15</sup>NO, <sup>14</sup>NO<sub>2</sub>, and <sup>15</sup>NO<sub>2</sub> respectively (Begun and Fletcher, 1960). Because the difference in the vibrational ZPE is greater for NO<sub>2</sub> with the substitution of <sup>15</sup>N  $(\Delta ZPE = 0.37 \text{ kJ/mol})$  than it is for NO  $(\Delta ZPE = 0.20 \text{ kJ/mol})$ , this will drive the equilibrium isotope exchange between NO and NO<sub>2</sub> (Eq. 4.1) to the right, because the total vibrational ZPE for the right-hand side is lower by approximately 0.16 kJ/mol than the vibrational ZPE on the left-hand side. Consequently, at equilibrium between NO and  $NO_2$ , there will be a greater abundance of  $^{14}NO$  and  $^{15}NO^2$  than would be expected if N isotopes were randomly distributed. Several of our calculated  $\alpha_{A/B}$  were compared to those calculated in previous studies using empirical force field methods [133], experimental harmonic frequencies [71] and/or experimentally measured [154] [155] [156] [157] [158]. Here we consider a handful of NO<sub>y</sub> exchange process, but many other  $\alpha_{A/B}$  may be determined using our calculated  $^{15}\beta$  values.

#### 4.2.4 Solvent Effects

A solvent can have a major impact on equilibrium constants, reaction rates, and molecular properties [159, 160], and several NO<sub>y</sub> compounds are important in gasaqueous exchange chemistry. Computational quantum chemistry methods treat solvent effects by inclusion of a continuum solvent model, referred as Self-Consistent Reaction Field (SCRF) [149]. In these type of models, a potential energy term,  $V_{\text{solv}}$ , is added to the molecular electronic Hamiltonian, and the solvent is treated as a continuous dielectric surrounding a cavity that contains the solute molecule ignoring the detailed molecular structure of the solvent [161]. To obtain  $V_{\text{solv}}$ , charges are placed on various parts of the cavity surface and the effect of the electrostatic field on both the polarization of the dielectric continuum, and the electric moments of the molecule are calculated, with the charges themselves depending on the solute electrons and nuclei in a self- consistent manner. The key quantities that define this solvent continuum are the dielectric constant,  $\epsilon$  [162], and cavity shape [161].

Various methods exists for the quantum mechanical calculation of solvent effects that depend on the models description of the cavity [149]. In the widely used Polarizable Continuum Solvation Model (PCM), a sphere shaped cavity of radius 1.2 times the Van der Waal's radius around each atom of the molecule is used [161]. Charges are placed on the surface of the cavity resulting from intersecting spheres to simulate the external field of the solvent [161]. PCM improves upon early solvent models by providing a more realistic description of molecular shape and using the exact electron density of the solute to polarize the continuum. Various PCM models exists such as the conductor-like models known as COSMO [163], GCOSMO [164] or C-PCM [165,166] as well as more sophisticated models such as the surface and simula-

tion of volume polarization for electrostatics known as the SS(V)PE approach [167] or equivalently known as the integral equation formalism (IEF-PCM) [168], which provides an exact treatment of the surface polarization. Previous studies have successfully applied PCM models to predict fractionation factors for boron [169], iron [138], and sulfur [141].

In this study we used the IEF-PCM to investigate the solvent effect on  $^{15}\beta$  of eight soluble NO<sub>y</sub> molecules that included: HNO<sub>2</sub>, HNO<sub>3</sub>, HNO<sub>4</sub>, N<sub>2</sub>O<sub>3</sub>, N<sub>2</sub>O<sub>4</sub>, N<sub>2</sub>O<sub>5</sub>, NO<sub>2</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup>. The IEF-PCM model was incorporated in the geometry optimization and harmonic frequency calculations of these molecules using both B3LYP/cc-pVTZ and EDF2/cc-pVTZ levels of theory, and  $^{15}\beta$  values were calculated in the temperature range of 150 to 450 K. The key parameter that determines the solvent effect for the IEF-PCM is the dielectric constant,  $\epsilon$ , and for our calculations was set to 78.39 which is the value for water at 298 K [170]. While  $\epsilon$  of water might vary slightly over the temperature ranged considered in our  $^{15}\beta$  calculations, previous studies have shown that this slight variation has minimal to no impact on the calculated  $\beta$  [141]. To assess the solvent effect,  $\alpha_{\rm A/B}$  between gaseous and aqueous phases was calculated for these eight NO<sub>y</sub> molecules, and equilibrium N isotope exchange processes involving aqueous phase molecules were compared with experimentally determined values as well as those calculated from previous theoretical studies.

#### 4.3 Results and Discussion

#### 4.3.1 Experimental vs Calculated Harmonic Frequencies

Fig. 4.2 compares the calculated harmonic frequencies obtained from the B3LYP/cc-pVTZ and the EDF2/cc-pVTZ levels of theory with those experimentally determined for a variety of molecules [71,153,171]. The linear fittings of experimental vs. calculated harmonic frequencies (Fig. 4.2), have slopes of  $0.995(\pm 0.003)$  and  $0.990(\pm 0.003)$  and  $R^2$  values of 0.9997 and 0.9996 for B3LYP/cc-pVTZ and EDF2/cc-pVTZ levels of theory, respectively. The slope for each respective level of theory was used to scale

all calculated harmonic frequencies with the aim of predicting more accurate values. In general, the scaled harmonic frequencies are in good agreement with observations; however, small differences between the scaled and the experimental harmonic frequencies still exist (Fig. 4.2). These discrepancies are caused by either the inadequacy of the level of theory used or by experimental error [144]. Measurement of a molecule's IR or Raman vibrational spectra can be difficult without using a condensed vapor or trapping the molecules in inert matrices, which may lead to interferences. These types of interferences do not apply to computational methods in which harmonic frequencies are calculated for a single molecule in vacuum. Only the most abundant isotopes for elements were used when calculating the harmonic frequency scale factors, but it is important to note that if the harmonic frequencies calculated for the most abundant isotopologue are accurate, then those for other isotopologues are guaranteed to be accurate as well, because any shift in frequencies due to mass occurs only from changes in the mass term in front of the potential matrix term [141]. Overall, we feel that the scaled harmonic frequencies are quite accurate and should allow for the determination of the harmonic frequencies for the various N isotopologue NO<sub>v</sub> molecules of interest in this study.

#### 4.3.2 Calculated NO<sub>y</sub> harmonic frequencies

The scaled harmonic frequencies calculated using B3LYP/cc-pVTZ and EDF2/cc-pVTZ levels of theory for gaseous N isotopologue molecules are dispalyed in Fig. 4.3. In general, there is excellent agreement between the two DFT methods used to calculate harmonic frequencies; they are within 0.3 and 21.5 cm<sup>-1</sup> for each vibrational mode (Fig. 4.3), indicating that both DFT methods calculated similar potential matrix terms. The only exception to this general agreement was for NO<sub>3</sub> in which the two DFT methods computed harmonic frequencies that differed by up to 136.6 cm<sup>-1</sup> (Fig 4.3), signifying a disagreement in the calculated potential matrix terms. Previous computational quantum chemistry studies on NO<sub>3</sub> have indicated the difficulty in

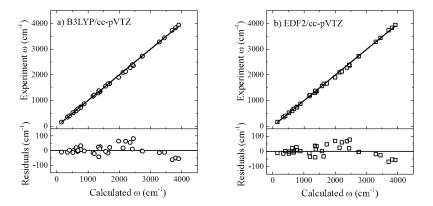


Fig. 4.2.: The least-squares fitting of experimental harmonic frequencies ( $\omega$ ) calculated harmonic frequencies and residuals for a) B3LYP/cc-pVTZ and b) EDF2/cc-pVTZ levels of theory. The circle points represent the frequencies calculated for NO<sub>3</sub>, and the square points represent all other frequencies calculated for every NO<sub>y</sub> molecule included in this study.

calculating accurate geometries and therefore vibrational frequencies due to it being a polyatomic doublet radical [172,173]. Many methods including DFT, perform poorly in the case of polyatomic open-shell doublet radicals because of problems associated with the open-shell single reference wave function such as spin contamination [174, 175], symmetry breaking [176], near- singularities in the Hartree-Fock solution [177, 178], pseudo-Jahn-Teller effects [179], and the presence of multi-reference character. It has been suggested that the electron of motion- coupled cluster singlet and doublet (EOM-IP-CCSD) wave function method can more accurately predict the properties of doublet radical molecules due to its incorporation of a balanced description of the dynamic and non-dynamic correlation [180–183]. Therefore, we performed geometry and harmonic frequency calculations for NO<sub>3</sub> using the EOM-IP-CCSD method with the Dunning augmented correlation-consistent polarized valence double  $\zeta$  (aug-cc-pVDZ) basis set (Dunning, 1989). These harmonic frequencies were used to calculate

the  $^{15}\beta$  of NO<sub>3</sub> assuming no harmonic frequencies scale factor is needed for this highly electron- correlated and generally accurate method.

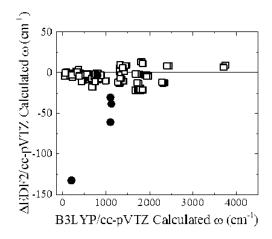


Fig. 4.3.: Comparison of calculated B3LYP/cc-pVTZ harmonic frequencies ( $\omega$ ) with the difference between B3LYP/cc-pVTZ and EDF2/cc-pVTZ calculated harmonic frequencies ( $\Delta$ EDF2). The circle points represent the frequencies calculated for NO<sub>3</sub>, and the square points represent all other frequencies calculated for every NO<sub>y</sub> molecule included in this study.

#### 4.3.3 Calculated $^{15}\beta$

The  $^{15}\beta$  of all gaseous NO<sub>y</sub> compounds were calculated over the temperature range of 150 to 450 K for each computational method used in this study. Table 4.1 presents the average regression parameters calculated from B3LYP/cc-pVTZ and EDF2/cc-pVTZ for the temperature dependence of  $^{15}\beta$  for the nineteen gaseous NO<sub>y</sub> molecules in order of increasing magnitude for  $^{15}$ N substitution at 150 K. In general, the magnitude of  $^{15}\beta$  increases roughly with the number of atoms bonded to a particular N atom within a molecule. The smallest  $^{15}\beta$  values are found for NO, NOBr, and NOCl since in the case of these molecules, N is only bonded to one other atom. The largest  $^{15}\beta$  values are found in NO<sub>3</sub>-, HNO<sub>3</sub>, PAN, and HNO<sub>4</sub>, and in all of these molecules, N

Table 4.1: Calculated regression coefficients<sup>a</sup> for  $^{15}\beta$  for gaseous NO<sub>y</sub> molecules as a function of temperature (150 to 450 K) sorted in order of increasing  $\beta$  magnitude at 298 K.

Molecule	A	В	С	D	β(298 K)
NO	5.528	-9.894	6.756	0.704	1.069
NOBr	5.682	-10.208	7.389	0.8	1.079
NOCl	5.617	-10.111	7.351	0.814	1.079
$N_2O_3{}^{\alpha}$	5.854	-11.061	8.878	0.613	1.086
$N_2O^{\alpha}$	6.217	-11.637	8.839	0.721	1.088
$HNO_2$	6.73	-13.02	10.419	0.433	1.091
$NO_2$	8.439	-16.065	12.271	0.195	1.095
$NO_2$	9.113	-16.976	12.909	0.56	1.112
$\mathrm{NO_3}^*$	8.024	-15.955	13.722	0.077	1.107
$N_2O_3^{\beta}$	9.778	-18.339	15.139	0.574	1.133
$N_2O^{\beta}$	7.607	-14.414	12.74	1.295	1.142
$BrNO_2$	9.4	-17.882	15.318	0.631	1.138
$ClNO_2$	9.423	-18.092	15.621	0.567	1.139
$N_2O_5$	9.782	-18.547	15.637	0.687	1.141
$N_2O_4$	10.057	-18.952	16.049	0.699	1.145
$ClONO_2$	10.008	-19.194	16.626	0.606	1.148
$BrONO_2$	10.257	-19.629	16.988	0.618	1.151
$HNO_4$	10.452	-19.963	17.169	0.621	1.152
PAN	10.338	-19.584	16.998	0.667	1.153
$HNO_3$	11.169	-21.349	18.193	0.529	1.156
$NO_3$	12.533	-23.817	19.835	0.315	1.160

<sup>a</sup>  $1000(\beta - 1) = \frac{A}{T^4} \times 10^{10} + \frac{B}{T^3} \times 10^8 + \frac{C}{T^2} \times 10^6 + \frac{D}{T} \times 10^4$  (typical misfit of the regression is 0.1%). <sup>a</sup> signifies terminal substitution of <sup>15</sup>N. <sup>b</sup> signifies central substitution of <sup>15</sup>N. \* EOM-IP-CCSD/aug-cc-pVTZ level of theory was used to calculate <sup>15</sup> $\beta$  for NO<sub>3</sub>

is bonded to three other atoms. The ordering of  $^{15}\beta$  values for N containing molecules that have the same number of atoms bonded to N, depends on the bond strength and bond order between N and the atom or atoms to which it is bonded.

In Fig. 4.4,  $^{15}\beta$  of NO, NO<sub>2</sub>, N<sub>2</sub>O<sup> $\alpha$ </sup>, and HNO<sub>3</sub> are compared with those estimated by other empirical force field methods [133,152] and available experimental harmonic frequency data [71,153]. For N<sub>2</sub>O the  $\alpha$  signifies  $^{15}$ N substitution in the terminal N atom ( $^{15}$ N- $^{14}$ N-O). Overall, our calculated  $^{15}\beta$  values using computational quantum chemistry methods are in excellent agreement with  $^{15}\beta$  values calculated using experimental harmonic frequencies, and harmonic frequencies calculated from molecular force fields and geometries. Therefore, we expect that our chosen levels of theory for the calculation of  $^{15}\beta$  values are fairly accurate and will enable the calculation for various other NO<sub>y</sub> molecules in which harmonic frequencies are challenging to measure experimentally.

#### 4.3.4 Calculated $\alpha_{A/B}$

The calculated  $\alpha_{A/B}$  regression coefficients as a function of temperature (Table 4.2) for the following exchange processes in the gas phase  $(A \leftrightarrow B)$ : NOCl $\leftrightarrow$ NO,  $HNO_2 \leftrightarrow NO$ ,  $N_2O_5 \leftrightarrow NO_2$ ,  $N_2O_4 \leftrightarrow NO_2$ ,  $CINO_2 \leftrightarrow NO_2$ ,  $NO_2 \leftrightarrow NO$ ,  $PAN \leftrightarrow NO_2$ , and  $HNO_3 \leftrightarrow NO$  in increasing order of magnitude over the temperature range of 150 to 450 K for the B3LYP and EDF2 methods. Since  $^{15}\beta$  values for individual N-bearing molecules involved in the equilibrium isotope exchange process dictates the value of  $\alpha_{A/B}$ , the smallest values occur for equilibrium isotope exchange in which the number of atoms N is bonded to does not change. Thus, of the exchange processes assessed, NOCl $\leftrightarrow$ NO had the lowest  $\alpha_{A/B}$  value because in both cases, N is bonded to the same number of atoms in both molecules involved in the exchange (1 in  $NOCl \leftrightarrow NO$ ). Conversely, the isotope exchange between  $HNO_3 \leftrightarrow NO$  had the highest  $\alpha_{A/B}$  of those assessed, because the exchange involves N bonded to either one other atom (NO) or 3 other atoms (HNO<sub>3</sub>). This general trend may allow for a priori way to predict the direction and magnitude of  $\alpha_{A/B}$  based on molecular structure. This could be useful in predicting the direction of <sup>15</sup>N enrichment in other equilibrium isotope exchange processes involving N, and could be useful in evaluating kinetic isotope effects (KIE)

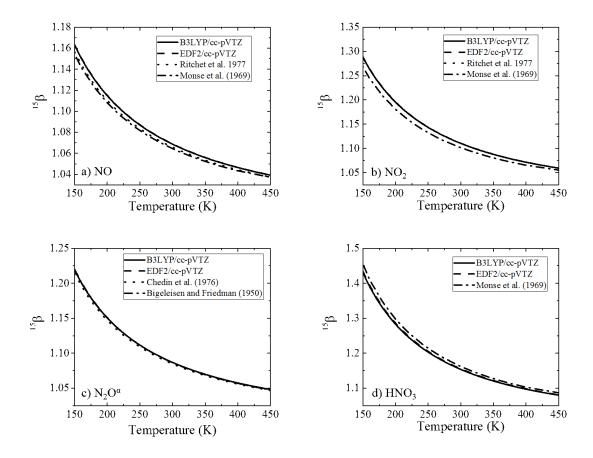


Fig. 4.4.: Comparison of calculated gaseous  $^{15}\beta$  values using B3LYP/cc-pVTZ (solid line) and EDF2/cc-pVTZ (dash line) methods with those computed in a previous study (dash dot line) and/or those computed from experimental harmonic frequencies (dot line) for a) NO, b) NO<sub>2</sub>, c) N<sub>2</sub>O $^{\alpha}$ , and d) HNO<sub>3</sub>.

involving N isotopologues assuming that the transition state and reactants exists in equilibrium (Van Hook, 2011). For example, a N-bearing molecule that reacts with another molecule causing the N atom to form an additional bond in the transition state would favor the formation of <sup>15</sup>N isotopologue in the transition state, which could lead to inverse kinetic isotope effects.

To assess the accuracy of our calculated values,  $\alpha_{A/B}$  for  $NOCl_{(l)} \leftrightarrow NO$ ,  $NO_2 \leftrightarrow NO$ ,  $HNO_{3(aq)} \leftrightarrow NO$ , and  $N_2O_{4(aq)} \leftrightarrow NO_2$  were compared with those estimated by other

Table 4.2: Calculated regression<sup>a</sup> coefficients for  $\alpha_{A/B}$  involving gaseous NO<sub>y</sub> molecules as a function of temperature (150 to 450 K) sorted in increasing magnitude at 298 K.

alpha A-B	A	В	С	D	β (298 K)
$\alpha_{\mathrm{NOCl}\leftrightarrow\mathrm{NO}}$	0.12	-0.345	0.572	0.113	1.009
$\alpha_{\mathrm{HNO}_2\leftrightarrow\mathrm{NO}}$	1.483	-3.721	3.687	-0.265	1.020
$\alpha_{\rm N_2O_5\leftrightarrow NO_2}$	1.004	-2.525	2.718	0.135	1.027
$\alpha_{\text{N}_2\text{O}_4(\text{aq})}\leftrightarrow \text{NO}_2$	0.923	-2.426	2.772	0.063	1.025
$\alpha N_2 O_4 \leftrightarrow NO_2$	1.279	-3.003	3.11	0.15	1.030
$\alpha_{\text{ClONO}_2\leftrightarrow \text{NO}_2}$	1.372	-3.509	3.748	0.054	1.032
$\alpha_{\mathrm{PAN}\leftrightarrow\mathrm{NO}_2}$	1.697	-4.031	4.065	0.142	1.037
$\alpha_{\mathrm{NO}_2\leftrightarrow\mathrm{NO}}$	3.847	-7.68	6.003	-0.118	1.039
$\alpha_{\mathrm{HNO}_{3(\mathrm{aq})}\leftrightarrow\mathrm{NO}}$	6.099	-12.75	11.16	-0.179	1.079
$\alpha_{\mathrm{HNO_3}\leftrightarrow\mathrm{NO}}$	6.166	-12.836	11.215	-0.133	1.081

a  $1000(\beta - 1) = \frac{A}{T^4} \times 10^{10} + \frac{B}{T^3} \times 10^8 + \frac{C}{T^2} \times 10^6 + \frac{D}{T} \times 10^4$  (typical misfit of the regression is 0.1%).

theoretical studies [132, 133], measured values [154–156, 158, 184, 185], and/or available experimental harmonic frequency data [71] (Fig. 4.5). Due to the difficulty in measuring the  $\alpha_{A/B}$  for exchange reactions involving NO<sub>y</sub> molecules, few measurements have been made, and the majority of those that have been involve a molecule in a condensed phase (aqueous or liquid phase). Here, we neglect the condensed phase or solvent effect for a couple of reasons (1) to compare our computational determined values with those in previous theoretical studies that have also neglected solvent effects (2) to evaluate the inclusion of solvent effects on  $^{15}\beta$  and on  $\alpha_{A/B}$ . Both DFT methods resulted in extremely similar  $\alpha_{A/B}$  values for all exchanges considered (Fig. 4.5). In general, the DFT calculated  $\alpha_{A/B}$  values were in good agreement with experimental measurements (Fig. 4.5) and better estimated the  $\alpha_{A/B}$  values than previous theoretical studies [133]. A detailed discussion of the various exchange processes used to compare our calculated  $\alpha_{A/B}$  with those previously calculated is presented below.

 $\alpha_{\text{NOCl}_{(1)}\leftrightarrow \text{NO}}$ : The N isotope exchange reaction between NOCl<sub>(1)</sub> $\leftrightarrow$ NO has been previously measured to have an  $\alpha_{\text{NOCl}_{(1)}\leftrightarrow \text{NO}}$  value of 1.013  $\pm$  0.003 at 223 K [156]. This value is an excellent agreement with the  $\alpha_{\text{NOCl}_{(1)}\leftrightarrow \text{NO}}$  calculated from the DFT methods which had an average value of 1.014 at 223 K (Fig. 4.5) even with the neglect of the condensed phase, suggesting that the condensed phase has little influence on  $^{15}\beta$  for NOCl. Our calculated  $\alpha_{\text{NOCl}_{(1)}\leftrightarrow \text{NO}}$  seems to significantly improve upon previous theoretical studies of this exchange reaction that calculated a value of 1.024 [133].

 $\alpha_{\text{NO}_2\leftrightarrow\text{NO}}$ : There has been some uncertainty in the measurement of the  $\alpha_{\text{NO}_2\leftrightarrow\text{NO}}$  for the N isotope exchange between NO<sub>2</sub> $\leftrightarrow$ NO, one of the few N isotope exchanges that has been measured in which both molecules are in the gas phase. The earliest study of this isotope equilibrium exchange measured an  $\alpha_{\text{NO}_2\leftrightarrow\text{NO}}$  of 1.040 at 298 K [154]. This value agrees well with the  $\alpha_{\text{NO}_2\leftrightarrow\text{NO}}$  calculated using DFT methods that had an average value of 1.0395 at 298K, which is also near the  $\alpha_{\text{NO}_2\leftrightarrow\text{NO}}$  calculated using experimental harmonic frequencies for the <sup>14</sup>N and <sup>15</sup>N isotopologues of NO and NO<sub>2</sub> of 1.0415 [71], and a previous study that corrected for accurate ZPEs and calculated 1.040 [132] (Fig. 4.5). However, a subsequent study found this exchange

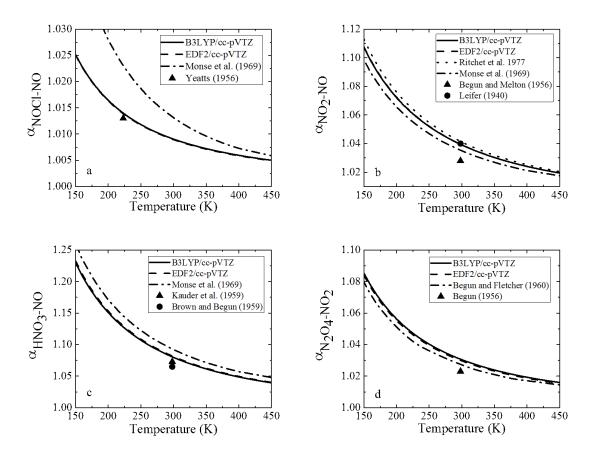


Fig. 4.5.: Comparison of calculated equilibrium isotope fractionation factors using B3LYP/cc-pVTZ (solid line) and EDF2/cc-pVTZ (dash line) methods with neglect of solvent effects with those computed from experimental harmonic frequencies (dot line), those computed in previous studies (dash dot line) and/or those experimental measured (single points) for a)  $\alpha_{\text{NOCl}\leftrightarrow \text{NO}}$ , b)  $\alpha_{\text{NO2}\leftrightarrow \text{NO}}$ , c)  $\alpha_{\text{HNO3}\leftrightarrow \text{NO}}$ , and d)  $\alpha_{\text{N2}O_4\leftrightarrow \text{NO}_2}$ 

to have a  $\alpha_{\text{NO}_2\leftrightarrow\text{NO}}$  value of 1.028  $\pm$  0.002 at 298 K [155]. A previous theoretical study based on empirical force field calculations estimated an  $\alpha_{\text{NO}_2\leftrightarrow\text{NO}}$  value of 1.0353 [133], somewhere in between the two experimental measurements. Experimental measurements of the N isotope exchange between NO<sub>2</sub> $\leftrightarrow$ NO are difficult due to a number of reasons. First, the pressure of NO and NO<sub>2</sub> must remain low (less than

0.5 Torr) to prevent the formation of  $N_2O_3$  and  $N_2O_4$  [186], which would complicate the  $NO_2 \leftrightarrow NO$  exchange measurement. Additionally, previous studies have measured and  $NO_2^+$  ions that originate from  $NO_2$ . Due to the small number of measurements and disagreement in these values, it is difficult to justifiable determine the accuracy of our calculated  $\alpha_{NO_2 \leftrightarrow NO}$  value; however, our value does agree quite well with the value determined from the experimental harmonic frequencies, which is the best that we could hope for considering that the accuracy of our calculated  $\alpha_{NO_2 \leftrightarrow NO}$  is based essentially on calculating accurate harmonic frequencies. The determination of  $\alpha_{A/B}$  for this exchange reaction at various temperatures that circumvents the problems associated with previous experiments will be the subject for a future study.

 $\underline{\alpha_{\text{HNO}_{3(aq)} \leftrightarrow \text{NO}}}$ : The N isotope exchange between  $\text{HNO}_{3(aq)} \leftrightarrow \text{NO}$  has been experimentally measured to have an  $\alpha_{\text{HNO}_{3(aq)} \leftrightarrow \text{NO}}$  of 1.073  $\pm$  0.006 [158] and 1.065  $\pm$  0.001 [185] at 298 K. The DFT methods calculated an average  $\alpha_{\text{HNO}_{3(aq)} \leftrightarrow \text{NO}}$  of 1.081(Fig. 4.5). While our calculated  $\alpha_{\text{HNO}_{3(aq)} \leftrightarrow \text{NO}}$  slightly overestimates the experimental value, it is closer than the previously calculated  $\alpha_{\text{HNO}_{3(aq)} \leftrightarrow \text{NO}}$  value of 1.093 based on empirical force field methods [133]. It is important to point out that the  $\text{HNO}_{3(aq)} \leftrightarrow \text{NO}$  exchange occurs with  $\text{HNO}_3$  in the aqueous phase, and this could be the reason for the DFT methods overestimation of  $\alpha_{\text{HNO}_{3(aq)} \leftrightarrow \text{NO}}$ , which assumes both  $\text{HNO}_3$  and NO are in the gaseous phase.

 $\alpha_{\text{N}_2\text{O}_4(\text{aq})}\leftrightarrow \text{NO}_2$ : The N isotope exchange reaction between N<sub>2</sub>O<sub>4(aq)</sub> $\leftrightarrow$ NO<sub>2</sub> has been experimentally measured to have an  $\alpha_{\text{N}_2\text{O}_4(\text{aq})}\leftrightarrow \text{NO}_2$  of 1.023 at 298 K [184]. This value is slightly lower than the average value calculated using DFT methods of 1.030. At 298 K, Begun and Fletcher (1960) calculated  $\alpha_{\text{N}_2\text{O}_4\leftrightarrow \text{NO}_2}$  to be 1.027 which is closer to the experimental value than the DFT methods (Fig. 4.5). However, ref [132] calculated  $^{15}\beta$  for N<sub>2</sub>O<sub>4</sub> using observed fundamental vibrational frequencies, which theoretically should not be used in the Bigeleisen-Mayer equation in the rigid rotor and harmonic oscillator approximations [144]. Additionally, the calculation of  $^{15}\beta$  values from observed frequencies suffers the disadvantage that spectroscopic vibrational frequencies for isotopologues are not always that accurate, and the Teller-Redlich

product rule is not necessarily obeyed so that the important interplay of various factors such as mass-moment-of-inertia factor and excitation factor that contribute to  $^{15}\beta$  does not necessarily occur to sufficient accuracy [187]. Therefore, ref [132] more accurate calculated  $\alpha_{\rm N_2O_4\leftrightarrow NO_2}$  maybe fortuitous. Similarly to the HNO<sub>3(aq)</sub>  $\leftrightarrow$  NO exchange, the experimental measurement of N<sub>2</sub>O<sub>4(aq)</sub>  $\leftrightarrow$  NO<sub>2</sub> occurred with N<sub>2</sub>O<sub>4</sub> in the aqueous phase, and our calculated  $^{15}\beta$  N<sub>2</sub>O<sub>4</sub> used in our  $\alpha_{\rm N_2O_4\leftrightarrow NO_2}$  calculation was for N<sub>2</sub>O<sub>4</sub> in the gas phase. The difference in the phase of N<sub>2</sub>O<sub>4</sub> might be the reason for our calculated  $\alpha_{\rm A/B}$  discrepancy with the experimentally measured value.

Overall, we believe that our calculated  $^{15}\beta$  values for gaseous NO<sub>y</sub> molecules are fairly accurate in the rigid rotor and harmonic oscillator approximations and will allow for the determination of  $\alpha_{A/B}$  for various isotope exchanges involving these gaseous molecules. These  $\alpha_{A/B}$  values maybe useful to understand the trends observed in the N stable isotope ratio of NO<sub>y</sub> species in the atmosphere.

#### 4.3.5 Calculated solvent effects

Table 4.3 presents the regression parameters for the temperature dependence of  $^{15}\beta$  for HNO<sub>4</sub>, N<sub>2</sub>O<sub>3</sub>, N<sub>2</sub>O<sub>4</sub>, N<sub>2</sub>O<sub>5</sub>, NO<sub>2</sub>-, and NO<sub>3</sub>- in order of increasing magnitude for  $^{15}$ N substitution. Like the gaseous NO<sub>y</sub> molecules,  $^{15}\beta$  values increase in magnitude with increasing number of atom attachment to the N atom. To assess the significance of solvent effects on  $^{15}\beta$  values, Fig. 4.5 shows the calculated enrichment factors ( $1000(\alpha_{\rm aq-gas}-1)$ ) between five gaseous NO<sub>y</sub> molecules and their aqueous counterparts (i.e., the IEF-PCM modeled species,  $\epsilon=78.39$ ) as a function of temperature. Overall, both B3LYP/cc-pVTZ and EDF2/cc-pVTZ calculated similar enrichment factors that were slightly negative between the aqueous and gaseous phase for these molecules that asymptotically increase as temperature increases but with varying magnitude for different NO<sub>y</sub> molecules. This indicates that the  $^{15}\beta$  values for the gaseous phase is higher than for the aqueous phase, signifying that the  $^{15}$ N isotope preferentially form in the gaseous phase. This occurs because the aqueous phase lowers the ZPEs

Table 4.3: Calculated regression coefficients<sup>a</sup> for  $^{15}\beta$  for aqueous NO<sub>y</sub> molecules as a function of temperature (150 to 450 K) in order of increasing magnitude at 298 K.

	A	В	С	D	$\beta$ (298K)
$N_2O_3^{\alpha}$	5.843	-11.006	8.805	0.636	1.086
$HNO_2$	6.69	-13.006	10.45	0.374	1.090
$NO_2$	8.208	-15.695	12.044	0.17	1.092
$N_2O_3^{\beta}$	9.612	-18.082	14.867	0.486	1.128
$N_2O_5$	9.42	-17.896	15.013	0.643	1.135
$N_2O_4$	9.7	-18.406	15.652	0.617	1.140
$HNO_4$	10.103	-19.42	16.768	0.544	1.147
$HNO_3$	11.011	-21.156	18.043	0.439	1.152
$NO_3$	12.007	-23.009	19.278	0.243	1.154

a  $1000(\beta - 1) = \frac{A}{T^4} \times 10^{10} + \frac{B}{T^3} \times 10^8 + \frac{C}{T^2} \times 10^6 + \frac{D}{T} \times 10^4$  (typical misfit of the regression is 0.1%).

between the  $^{14}$ N and  $^{15}$ N isotopologues compared to the gaseous phase (Table 4.4) due to the stabilization resulting from dispersion interactions between water and the NO<sub>y</sub> molecule. Subsequently, the  $^{15}\beta$  value for the aqueous phase is lower than for the gaseous phase. The magnitude in the fractionation between the aqueous and gaseous phase in general depends on the ZPE difference between the  $^{14}$ N and  $^{15}$ N isotopologues of the aqueous and gaseous phase; the greater the ZPE is lowered in the aqueous phase, the larger the fractionation between the aqueous and gas phase (Table 4.4).

From the aqueous phase calculated  $^{15}\beta$  for HNO<sub>3</sub> and N<sub>2</sub>O<sub>4</sub>, the N equilibrium isotope exchange between HNO<sub>3(aq)</sub> $\leftrightarrow$ NO and N<sub>2</sub>O<sub>4(aq)</sub> $\leftrightarrow$ NO<sub>2</sub> were reevaluated and compared with previous theoretical studies as well as experimental measurements (Fig. 4.7). A detailed assessment of the inclusion of the solvent effect on equilibrium isotope exchange processes are discussed below.

 $\underline{\alpha_{\mathrm{HNO_{3(aq)}}\leftrightarrow\mathrm{NO}}}$ : As previously mentioned, the N isotope exchange between  $\mathrm{HNO_{3(aq)}}\leftrightarrow\mathrm{NO}$  has been experimentally measured to have an  $\alpha_{\mathrm{HNO_{3(aq)}}\leftrightarrow\mathrm{NO}}$  of 1.073  $\pm$  0.006 [158] and

Table 4.4: Comparison of the zero-point energy (ZPE,  $=\frac{1}{2}\Sigma h\nu$  for harmonic oscillators) difference in the <sup>14</sup>N and <sup>15</sup>N isotopologues for several NO<sub>y</sub> molecules in the gaseous and the aqueous phase, and the enrichment factor (1000( $\alpha_{aq\text{-gas}}$  - 1)) between the gaseous and aqueous phases at 270 K.

Molecule	$\Delta^{\mathrm{a}}\mathrm{ZPE}\;\mathrm{Gas}$	$\Delta^{\rm a}{\rm ZPE}$ Aqueous	$\Delta \mathrm{ZPE}$ Aqueous-Gas	$1000(\alpha_{\text{aq-gas}} - 1) \text{ at } 270\text{K}$
$HNO_2$	324.9	322.8	-2.1	-1.6
$NO_2$	329.9	327.5	-2.4	-2.3
$N_2O_3$	401.7	395.1	-6.6	-2.6
$HNO_3$	546.0	537.2	-8.8	-4
$HNO_4$	536.9	524.9	-12.0	-5.3
$N_2O_4$	516.9	504.0	-12.8	-5.4
NO <sub>3-</sub>	556.9	543.0	-13.9	-6.1
$N_2O_5$	532.1	513.1	-19.0	-6.5

 $<sup>^{\</sup>rm a}$   $\Delta$  is the average difference between the ZPE in the harmonic oscillator approximation for the  $^{14}{\rm N}$  and  $^{15}{\rm N}$  isotopologues.

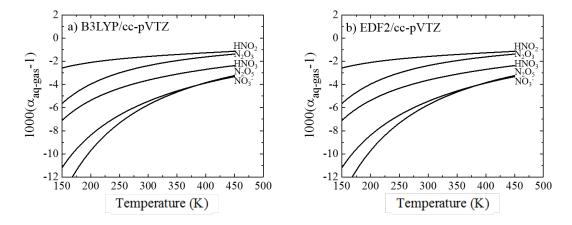


Fig. 4.6.: Enrichment factor (1000( $\alpha_{aq\text{-}gas}$  - 1)) between aqueous and gaseous phase for various NO<sub>y</sub> molecules (HNO<sub>2</sub>, N<sub>2</sub>O<sub>3</sub>, HNO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, NO<sub>3</sub><sup>-</sup>). Aqueous phase molecules were calculated using the IEP-PCM model with a dielectric ( $\epsilon$ ) constant of 78.39.

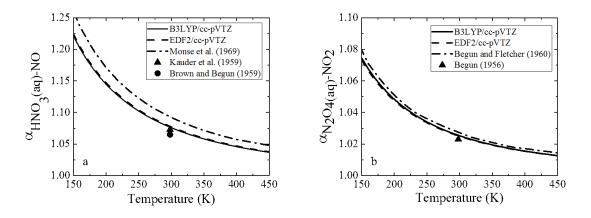


Fig. 4.7.: Comparison of calculated equilibrium isotope fractionation factors using B3LYP/cc-pVTZ (solid line) and EDF2/cc-pVTZ (dash line) methods with inclusion of solvent effects using the IEF-PCM model with those computed in previous studies (dash dot line) and/or those experimental measured (individual points) for a)  $\alpha_{\text{HNO}_3 \leftrightarrow \text{NO}}$  and b)  $\alpha_{\text{N}_2\text{O}_4 \leftrightarrow \text{NO}_2}$ .

 $1.065 \pm 0.001$  [185] at 298 K. Inclusion of the solvent effect on  $^{15}\beta$  for HNO<sub>3</sub>, the DFT methods calculated  $\alpha_{\text{HNO}_{3(aq)}\leftrightarrow\text{NO}}$  to be 1.076 (Fig. 4.7), which is closer to the experimentally measured values than the DFT value calculated with neglect of the solvent effect of  $1.081 \pm 0.001$  (Fig. 4.7). Our calculated  $\alpha_{\text{HNO}_{3(aq)}\leftrightarrow\text{NO}}$  value is a significant improvement from previous theoretical studies that have calculated a value of 1.093 [133].

 $\underline{\alpha_{\rm N_2O_4(aq)}\leftrightarrow {\rm NO_2}}$ : Inclusion of the solvent effect on the  $^{15}\beta$  value of N<sub>2</sub>O<sub>4</sub>, the DFT methods calculated  $\alpha_{\rm N_2O_4(aq)}\leftrightarrow {\rm NO_2}$  to be 1.025 (Fig. 4.7). This value is in better agreement with the experimentally determined value of 1.023 [155] than either the DFT calculated value with neglect of the solvent effect of 1.034  $\pm$  0.0005 or the value calculated using observed fundamental vibrational frequencies of 1.027 [132].

Overall, the solvent effect while not very strong, are still significant for calculating accurate fractionation factors. Inclusion of the solvent effect for the molecules analyzed in this study lowered  $\alpha_{A/B}$  and resulted in values closer in agreement with experimentally determined values. Even with accounting for solvent effects, calculated  $\alpha_{A/B}$  in the rigid rotor and harmonic oscillator approximations tend to be slightly overestimated compare to the experimentally measured value, and this is likely due to the neglect of anharmonicity in this approximation. Inclusion of anharmonic corrections will in general lower  $\alpha_{A/B}$  values, which would help match calculated values with those determined experimentally; however, corrections for anharmonicity are computationally expensive, and even with the neglect of anharmonicity, our calculated  $\alpha_{A/B}$  values are within or nearly within the experimental error for these measurements and are a significant improvement from previous theoretical  $\alpha_{A/B}$  studies involving  $NO_y$  molecules.

#### 4.4 Conclusion

Harmonic frequencies have been calculated for various  $NO_y$  molecules that are relevant to  $NO_x$  tropospheric and stratospheric chemistry using B3LYP/cc-pVTZ and

EDF2/cc-pVTZ levels of theory. Our calculated harmonic frequencies were in excellent agreement with those determined experimentally. Using our calculated harmonic frequencies,  $^{15}\beta$  were calculated for mono-substitution of the  $^{15}N$  isotope using the Bigeleisen-Mayer equation in the rigid rotor and harmonic oscillator approximations. Our  $^{15}\beta$  values agreed well with those calculated using experimentally determined harmonic frequencies and with those calculated using empirical force field methods. In general, the magnitude of  $^{15}\beta$  value increases with the number of atoms bound to the N atom in a particular molecule, allowing for a priori way to arrange  $^{15}\beta$ values for NO<sub>v</sub> molecules. Equilibrium isotope exchange fractionation factors ( $\alpha_{A/B}$ ) were evaluated for various exchange processes involving NO<sub>v</sub> molecules. Our calculated  $\alpha_{A/B}$  values were generally in closer agreement with the experimentally measured  $\alpha_{A/B}$ than previous theoretical assessments of  $\alpha_{A/B}$  involving N isotope exchange. Since most of the  $\alpha_{A/B}$  values involving N isotope exchange involved a species in the aqueous phase, the solvent effect on  $^{15}\beta$  and  $\alpha_{A/B}$  were assessed. Inclusion of the solvent effect improved the accuracy of our calculated  $\alpha_{A/B}$  values so that they were just outside of or within the experimental variability reported for various N isotope exchange processes. Slight overestimations even after corrections for the solvent effect might be the result of neglect of anharmonicity which are believed to lower  $\alpha_{A/B}$  values. In general, we believe our calculated  $^{15}\beta$  and  $\alpha_{A/B}$  values are accurate in the rigid rotor and harmonic oscillator approximations and will allow for the estimation of the isotope fractionation involved with NO<sub>v</sub> molecules.

These  $\alpha_{A/B}$  values predict trends that may be observed in the N stable isotope ratio of NO<sub>y</sub> species in the atmosphere. Assuming that NO<sub>y</sub> species exists in equilibrium, this would suggest (1) since the <sup>15</sup>N isotope prefers to enrich in the more oxidized form of NO<sub>y</sub>, the transformation of NO<sub>x</sub> to atmospheric nitrates (HNO<sub>3</sub>, NO<sub>3</sub>-(aq), NO<sub>3</sub>-(g)) would increase the <sup>15</sup>N/<sup>14</sup>N ratios from the initial <sup>15</sup>N/<sup>14</sup>N ratio of the NO<sub>x</sub> source, (2) the long range transport of NO<sub>x</sub> via PAN would have higher <sup>15</sup>N/<sup>14</sup>N ratios than the NO<sub>x</sub> source, (3) based on <sup>15</sup> $\beta$  values, atmospheric nitrates would have <sup>15</sup>N/<sup>14</sup>N ratios in order of increasing magnitude of NO<sub>3</sub>-(aq), HNO<sub>3</sub>, NO<sub>3</sub>-(s). Simultaneous

measurements of the  $^{15}{\rm N}/^{14}{\rm N}$  ratios of different NO<sub>y</sub> molecules is needed to determine the importance of various equilibrium isotope exchanges on  $^{15}{\rm N}/^{14}{\rm N}$  ratios, and this will be the subject for future research.

# 5. NITROGEN ISOTOPE EXCHANGE BETWEEN NO AND NO<sub>2</sub> AND ITS IMPLICATIONS FOR $\delta^{15}$ N VARIATIONS IN TROPOSPHERIC NO<sub>X</sub> AND ATMOSPHERIC NITRATE

The following chapter is a reprint from a published article (Walters, W. W.; Simonini, D. S.; Michalski, G. Nitrogen isotope exchange between NO and NO<sub>2</sub> and its implications for  $\delta^{15}$ N variations in tropospheric NO<sub>x</sub> and atmospheric nitrate. *Geophys. Res. Lett.* **2016**, 43(1), 440-448).

#### 5.1 Introduction

Nitrogen oxides  $[NO_x = nitric oxide (NO) + nitrogen dioxide (NO_2)]$  are important trace gases that influence the concentrations of the major tropospheric oxidants including ozone  $(O_3)$  and hydroxyl radical  $(\bullet OH)$  that drive tropospheric chemistry [1-3,6]. During the daytime, NO and NO<sub>2</sub> exist in a photochemical steady state in which NO is oxidized by O<sub>3</sub> forming NO<sub>2</sub>, which then may photolyze back to NO leading to the production of O<sub>3</sub> [6]. This cycling of NO and NO<sub>2</sub> is known as the Leighton Cycle and is described by the following reaction sequence [6]:

$$NO + O_3 \rightarrow NO_2 + O_2 \tag{5.1}$$

$$NO_2 + h\nu \to NO + O(^3P) \tag{5.2}$$

$$O(^{3}P) + O_{2} + M \to O_{3} + M$$
 (5.3)

The cycling between NO and  $NO_2$  is relatively rapid with photochemical equilibrium being achieved within a few minutes [46, 131]. Thus, during the daytime, the Leighton cycle controls the relative concentrations of NO and  $NO_2$  depending on  $O_3$  concentrations and  $NO_2$  photolysis rate.

The nitrogen (N) isotope exchange equilibrium between NO and NO<sub>2</sub> has been suggested to play an important role in the <sup>15</sup>N/<sup>14</sup>N ratios of NO and NO<sub>2</sub> [46] and atmospherically derived nitrate: nitric acid (HNO<sub>3</sub>), nitrate (NO<sub>3</sub><sup>-</sup>), and particulate nitrate (p-NO<sub>3</sub><sup>-</sup>) [188]. The partitioning of <sup>15</sup>N between NO and NO<sub>2</sub> depends on their relative concentration (NO/NO<sub>x</sub> and NO<sub>2</sub>/NO<sub>x</sub>) and the temperature dependent isotope equilibrium fractionation factor [46]. During the daytime, when near equal concentrations of NO and NO<sub>2</sub> coexist due to the Leighton Cycle, the N isotope exchange between NO and NO<sub>2</sub> has been suggested to influence their individual <sup>15</sup>N/<sup>14</sup>N ratios via the equilibrium isotope effect [46]. During the nighttime, however, NO concentrations may approach zero if O<sub>3</sub> concentrations are high, as NO is oxidized into NO<sub>2</sub> (5.1) without photolyzing back to NO (5.2) [46, 189]. Under these conditions, the NO<sub>2</sub> in the atmosphere likely reflects <sup>15</sup>N/<sup>14</sup>N ratios of local NO<sub>x</sub> sources [46]. Therefore, this isotope exchange reaction has important implications for using the N stable isotope composition of atmospheric nitrate to partition NO<sub>x</sub> sources [27, 28, 32, 190], or as a chemical tracer of atmospheric processes [31, 101, 188, 190].

N isotope exchange between NO and NO<sub>2</sub> has equilibrium constant ( $K = k_1/k_{-1}$ ):

$$^{15}NO + ^{14}NO_2 \leftrightarrow ^{14}NO + ^{15}NO_2$$
 (5.4)

that depends on the thermodynamic differences between the N isotopologues of NO and NO<sub>2</sub> [111]. In the Born-Oppenheimer approximation, differences in the thermodynamics of an isotopologue pair depend on the isotopologue dependent vibrational frequencies [111]. Substitution of a heavier isotope will lower the vibrational frequency and the vibrational zero-point energy (ZPE) of a molecule. Based on N isotopologue ZPEs, 5.4 is favored to the right as the ZPE is lower on the right side (ZPE = 32.39 kJ/mol) than for the left (ZPE = 33.56 kJ/mol) [132], indicating that <sup>15</sup>N will preferentially form in NO<sub>2</sub> over NO.

While the NO and NO<sub>2</sub> exchange favoring the formation of <sup>15</sup>NO<sub>2</sub> has been observed in experimental measurements [154,155] and predicted by theoretical calculations [71,101,132,133], there is disagreement between theoretical and experimental studies on the value of the equilibrium constant, also called the fractionation factor

( $\alpha$ ) (Fig. 5.1). Using the Bigeleisen-Mayer equation in the harmonic oscillator approximation [111,142], theoretical studies have predicted fractionation factors for  $^{15}$ N substitution between NO<sub>2</sub> and NO ( $\alpha_{\text{NO}_2/\text{NO}}$ ) to range from 1.0354 to 1.042 at 298 K [71,101,132,133]. However, these predicted values are substantially different than the experimental of 1.028  $\pm$  0.002 at 298 K [155]. At 238 K, theory predicts  $\alpha_{\text{NO}_2/\text{NO}}$  to range from 1.0552 to 1.0596 [71,101,132]. Experimental  $\alpha_{\text{NO}_2/\text{NO}}$  at 238 K has been measured to be 1.040  $\pm$  0.02 [Leifer, 1940], technically within experimental error of the theoretical value, but with a relative large uncertainty.

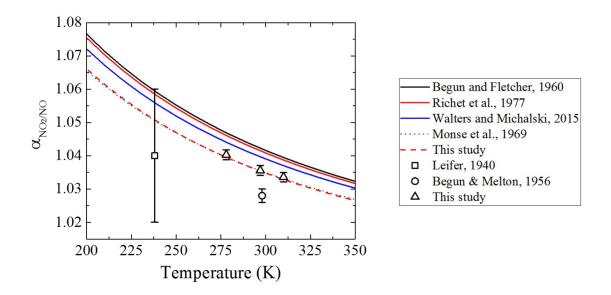


Fig. 5.1.: Comparison between previous theoretical calculations of  $\alpha_{\text{NO}_2/\text{NO}}$  using harmonic frequencies (solid lines), observable vibrational frequencies (dotted line), harmonic frequencies corrected for anharmonic zero-point energies (dashed line), and experimental measurements (square, circle, and triangle points).

The disagreement between experiment and theory might be due to the analytical method used to measure the abundances of the N isotopologues of NO and NO<sub>2</sub>. The mass spectrometer technique used to measure  $NO_2$  is subject to mass interference via the formation of  $NO^+$  and  $NO_2^+$  ions that originate from  $NO_2$  [154, 155]. Dis-

agreements could also be related to the harmonic approximation used to calculate the theoretical  $\alpha_{\text{NO}_2/\text{NO}}$  values as inclusion of anharmonicity will tend to lower  $\alpha$  values [144]. In this study, we will revisit the N isotope exchange between NO and NO<sub>2</sub> using measurement techniques that will overcome previous experimental measurement limitations in an effort to reconcile previous experimental and theoretical differences for this isotope exchange reaction.

#### 5.2 Methods

#### 5.2.1 Experiment Design

The experiment utilized a reaction vessel, vacuum line and a series of denuder tubes (Fig. 5.2). A 1 L two-necked reaction vessel was attached to one end of the vacuum line and a series of denuder tubes were connected to the other end. A NO bulb  $(\delta^{15}N = (-42.5 \pm 0.9)\%_0)$ , NO<sub>2</sub> bulb  $(\delta^{15}N = (-32.0 \pm 0.9)\%_0)$ , and a high-purity argon (Ar) tank (> 99.5%) were connected to the vacuum line inlet ports using ultratorr fittings. Here  $\delta^{15}N(\%_0) = [(^{15}N/^{14}N)sample/(^{15}N/^{14}N)air - 1] \times 1000)$ . The reaction vessel and vacuum line was evacuated to 10<sup>-4</sup> Pa and then a mixture of roughly 6.70 Pa of NO and 27.0 Pa of NO<sub>2</sub> was introduced into the system. The reaction vessel was sealed off from the vacuum line, and the vacuum line was then evacuated back down to 10<sup>-4</sup> Pa. The vacuum line was isolated and high-purity Ar was introduced into the vacuum line and bled into the reaction vessel until a pressure of 101.3 kPa was achieved. The NO and NO<sub>2</sub> pressures were kept low in order to prevent appreciable formation of N<sub>2</sub>O<sub>3</sub> or N<sub>2</sub>O<sub>4</sub> molecules. With the pressures of NO and NO<sub>2</sub> used in this experiment, we calculated  $N_2O_3$  pressures to be less than 2.05 mPa using the NO + NO<sub>2</sub>  $\leftrightarrow$  N<sub>2</sub>O<sub>3</sub> equilibrium constant [191], and less than 16.6 mPa for N<sub>2</sub>O<sub>4</sub> using  $2NO_2 \leftrightarrow N_2O_4$  equilibrium constant [186]. Therefore,  $N_2O_3$  and  $N_2O_4$  formation should be negligible in our experimental set-up.

The  $NO/NO_2$  mixture was allowed to equilibrate for 5 minutes, and then  $NO_2$  was collected using the denuder tubes. The N isotope exchange rate between NO and

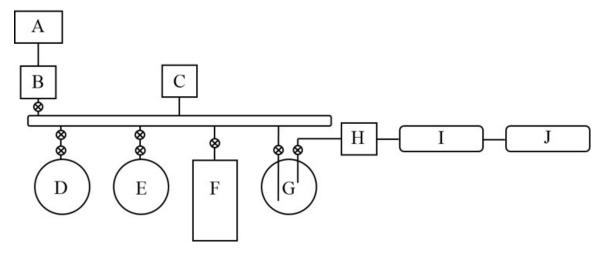


Fig. 5.2.: Experimental set-up for the N isotopic exchange between NO and NO<sub>2</sub>. The symbols are: A = rotary pump, B = diffusion pump, C = vacuum gauge, D = NO bulb ( $\delta^{15}$ N = -42.5%), E = NO<sub>2</sub> bulb ( $\delta^{15}$ N = -32.0%), F = Argon tank (> 99.5%), G = 1 L reaction vessel, H = flow meter, I = NO<sub>2</sub> binding denuder tube, and J = NO<sub>2</sub> breakthrough denuder tube.

 $NO_2$  has been previously measured to be  $8.14 \times 10^{-14}$  cm<sup>3</sup> molecules<sup>-1</sup>s<sup>-1</sup> [192]. Kinetic modeling of this reaction indicates that N isotopic equilibrium should be reached in less than 1 second at the NO and  $NO_2$  pressures used in this experiment; therefore, N isotopic equilibrium between NO and  $NO_2$  will easily be achieved in the experimental set-up. The NO and  $NO_2$  was purged from the bulb by flowing Ar at a rate of 2 L/min for 10 minutes. The gases passed through a denuder tube (inner diameter = 3 mm, length = 1 m) coated with a 0.5 mL dried solution of 2.5 M potassium hydroxide and 25% by weight of guaiacol ( $C_7H_8O_2$ ) using methanol as a solvent. This coated mixture selectively binds  $NO_2$  as nitrite ( $NO_2$ -) [36,41,193]. The denuder tube binding efficiency is based on the time for  $NO_2$  to diffuse to the surface of the coated denuder tube, which depends on the flow rate, time to achieve laminar flow, and time for  $NO_2$  to diffuse to the surface of the coated denuder tube [194]. Mathematical modeling of these processes indicates that a tube length of 1 m will allow more than 99.9% of

all NO<sub>2</sub> to be absorbed by the employed denuder tube and experimental conditions (i.e. flow rate and denuder diameter) [194]. A second denuder tube was connected in series with the first tube to check for NO<sub>2</sub> breakthrough.

After sampling, the denuder tubes are separately rinsed with 3 mL of 18.2 M $\Omega$ Millipore water. Control tests using NO<sub>2</sub> pressures of 13.3 Pa (upper limit of actual  $NO_2$  pressures used in exchange experiments) indicate that  $NO_2^-$  in the second denuder tube was below the detection limit (100 ppb) of an Ion Chromatography (Dionex IonPac AS19). Additionally, the out-flow from the second denuder tube was analyzed using a NO-NO<sub>2</sub>-NO<sub>x</sub> analyzer (Thermo Scientific) and NO<sub>x</sub> was never detected above the background level. These control tests suggest that NO<sub>2</sub> breakthrough did not occur, and there is a negligible NO<sub>2</sub>- blank in the guaiacol/KOH binding salt. Nitrate (NO<sub>3-</sub>)/NO<sub>2</sub><sup>-</sup> test strips (Aquacheck) indicated that NO<sub>2</sub><sup>-</sup> in the second denuder tube was below the detection limit of 500 ppb in each of our exchange trials. Control tests using only the NO<sub>2</sub> bulb that went through the entire NO<sub>2</sub> binding procedure indicate that the reproducibility in our measured  $\delta^{15} \text{N-NO}_2$  values to be  $-32.5 \pm 0.7\%$ <sub>0</sub>(n = 5), in excellent agreement with the N isotope composition of the  $NO_2$  bulb (-32.0\%). Additionally, control tests using only the NO bulb indicate that NO does not bind onto the denuder tubes that are designed to specifically bind  $NO_2$ .

Five trials at three different temperatures (278 K, 297 K, and 310 K) were performed. Since the exchange rate between NO and NO<sub>2</sub> is extremely fast (< 1 second under experimental conditions), it was necessary to temperature control both the reaction vessel and the denuder tubes. For the trials at 278 K and 310 K, temperatures were controlled by submerging the reaction vessel and denuder tubes into an ice water (278 K) or hot water (310 K) bath. The reaction vessel and denuder tubes were allowed 10 minutes to equilibrate with the temperature of the water bath before each trial was performed. Temperatures were monitored throughout the experiment for each trial and indicate that the cold and hot water bath did not vary by more than 0.5 K for each individual trial and by no more than 1 K for the five trials at the

same temperature. For the room temperature exchange (297 K), a water bath was not used but the laboratory room temperature was monitored and did not vary by more than 0.5 K for each individual exchange and by no more than 1 K between the different trials.

#### 5.2.2 N Isotopic Analysis

N isotopic analysis was performed on the product  $NO_2^-$  in the elutant from the first denuder tube for each exchange trial. Approximately 250 nmol of  $NO_2^-$  was converted into nitrous oxide ( $N_2O$ ) using sodium azide in an acetic acid buffer [195]. The  $N_2O$  was extracted and purified using an automated headspace gas chromatography system and analyzed by a Thermo Delta V Continuous Flow Isotope Ratio Mass Spectrometer (GC-CF-IRMS) for m/z 44, 45, and 46 at the Purdue Stable Isotopes Lab. Five working lab  $NO_3^-$  standards, calibrated to NIST isotope reference USGS34 and USGS35 were used to correct for isotopic fractionation associated with the  $N_2O$  purification process. Our working lab standards have the following  $\delta^{15}N(\%_0)$  relative to air: -20.0, -7.6, 0.5, 10.6, and 15.3. Before the working lab  $NO_3^-$  standards were converted to  $N_2O$  using the sodium azide and acetic acid buffer, they were first reduced to  $NO_2^-$  using an activated cadmium metal in a 5M NaCl solution [196]. Working lab standards had an average standard deviation of 0.5% for  $\delta^{15}N$ .

#### 5.2.3 Experimental determination of $\alpha_{NO_2/NO}$

The  $\alpha$  associated with N isotope exchange between NO and NO<sub>2</sub> (5.4) can be related by the abundances of <sup>14</sup>NO, <sup>15</sup>NO, <sup>14</sup>NO<sub>2</sub>, and <sup>15</sup>NO<sub>2</sub> at equilibrium (Eq. 5.5):

$$K_{\text{NO}_2/\text{NO}} = \alpha_{\text{NO}_2/\text{NO}} = \frac{\binom{15}{NO_2}\binom{14}{NO_2}}{\binom{14}{NO_2}\binom{15}{NO}} = \frac{\binom{15}{R_{\text{NO}_2}}}{\binom{15}{R_{\text{NO}}}}$$
 (5.5)

where  $^{15}R = ^{15}N/^{14}N$  ratios. Since initial  $^{15}R$  and pressures are known for NO and NO<sub>2</sub>, mass-balance will enable us to calculate the total  $^{15}R$  in our equilibrium system (Eq. 5.6:

$$^{15}R_{\text{Total}} = ^{15}R_{\text{NO}_{(i)}} \times p_{\text{NO}} + ^{15}R_{\text{NO}_{2_{(i)}}} \times p_{\text{NO}_2}$$
 (5.6)

where p is the partial pressure fraction (e.g.  $p_{NO}/p_{NOx}$ ) of either NO or NO<sub>2</sub> and (i) denotes the initial  $^{15}$ R of either NO or NO<sub>2</sub>. At equilibrium, we only measured  $^{15}$ R for NO<sub>2</sub>; however,  $^{15}$ R of NO at equilibrium can be calculated using our mass-balance relationship in Eq. 5.6 as the following (Eq. 5.7):

$$^{15}R_{\text{NO}_{(e)}} \times p_{\text{NO}} = ^{15}R_{\text{Total}} - ^{15}R_{\text{NO}_{(e)}} \times p_{\text{NO}_2}$$
 (5.7)

where (e) denotes the equilibrium  $^{15}{\rm R}$  of either NO or NO<sub>2</sub>. Using the equilibrium  $^{15}{\rm R}_{\rm NO}$  and  $^{15}{\rm R}_{\rm NO2},\,\alpha_{{\rm NO}_2/{\rm NO}}$  can be calculated from Eq. 5.5.

#### 5.3 Results and Discussion

### 5.3.1 $\alpha_{NO_2/NO}$

The NO and NO<sub>2</sub> pressures, measured  $\delta$  <sup>15</sup>N of NO<sub>2</sub> at equilibrium, and experimental determined values for each trial is reported in Table 5.1. The experimental  $\alpha_{\text{NO}_2/\text{NO}}$  values were determined to be 1.0403  $\pm$  0.0015, 1.0356  $\pm$  0.0015, and 1.0336  $\pm$  0.0014 at 278 K, 297 K, and 310 K, respectively. These values are within experimental error of values calculated using GF matrix derived vibrational frequencies [133] in the Bigeleisen-Mayer equation (1.0396, 1.0355, and 1.0331 at 278 K, 297 K, and 310 K, respectively). In contrast, the experimental values tend to be slightly lower than the values theoretically calculated at these temperatures using experimental harmonic frequencies in the Bigeleisen-Mayer equation (1.0461, 1.0415, and 1.0387, respectively) [71] and slightly lower than the values predicted using harmonic frequencies calculated using quantum mechanical methods (1.0441, 1.0397, 1.03704, respectively) [101] (Fig 5.1).

Table 5.1: Summary of NO pressure  $(p_{NO})$ , NO<sub>2</sub> pressure  $(p_{NO_2})$ , measured  $\delta^{15}$ N-NO<sub>2</sub>, and experimentally determined  $\alpha_{NO_2/NO}$  for each trial conducted at 278 K, 297 K, and 310 K.

Temperature (K)	p <sub>NO</sub> (Pa)	$p_{NO_2}(Pa)$	$\delta^{15}$ N-NO <sub>2</sub> (% <sub>0</sub> )	$\alpha_{ m NO_2/NO}$
278	25.33	13.33	-10.2	1.0398
278	29.33	12	-10.5	1.0393
278	28	9.33	-10.2	1.0417
278	25.33	9.33	-10.5	1.042
278	25.33	6.67	-14.3	1.0403
			$\mathbf{a}_{\overline{X}}$	1.0403
			$\sigma^{\mathbf{b}}$	0.0015
297	29.33	9.33	-14.1	1.0359
297	29.33	9.33	-13.6	1.0366
297	30.66	9.33	-12.9	1.0373
297	22.66	6.67	-17.6	1.0349
297	29.33	9.33	-15.9	1.0334
			$\mathbf{a}_{\overline{x}}$	1.0356
			$\sigma^{\mathbf{b}}$	0.0015
310	25.33	6.67	-16.5	1.0355
310	20	5.33	-21.3	1.0317
310	26.66	10.67	-15	1.0344
310	25.33	5.33	-18.8	1.0331
310	25.33	8	-17.3	1.0332
			$\mathbf{a}_{\overline{X}}$	1.0336
			$\sigma^{\mathbf{b}}$	0.0014

 $<sup>{}^{\</sup>mathrm{a}}\overline{x} = \mathrm{mean.}$   ${}^{\mathrm{b}}\sigma = \mathrm{standard}$  deviation.

While our experimental  $\alpha_{\text{NO}_2/\text{NO}}$  agree well with the values calculated by ref [133], there are some concerns in the way those values were calculated. In ref [133], frequencies for the N isotopologues of NO and NO<sub>2</sub> were calculated using force fields observed from fundamental vibrational frequencies, and these computed frequencies were used to calculate using the Bigeleisen-Mayer equation. However, constructing

the force fields of polyatomic molecules is complex and often requires several simplifications and approximations [144,197]. Therefore, this method is not recommended to calculate isotope exchange  $\alpha$  values as it tends to result in larger errors relative to  $\alpha$  values calculated using harmonic frequencies [144]. Thus, the agreement between our calculated values and the values calculated by [133], might be fortuitous. Theoretical predictions of isotope exchange processes using the Bigeleisen-Mayer equation can be improved by accounting for corrections for anharmonicity on the ZPE which can have a significant impact on  $\alpha$  values [144]. To this end, we have calculated theoretical values using a modified version of the Bigeleisen-Mayer equation with anharmonic corrections for accurate zero-point energies [144], using experimental harmonic frequencies for the N isotopologues of NO and NO<sub>2</sub> [198,199] and experimental zero-point energies [200–202] (Fig. 5.1). Using this approach, we calculated values of 1.0395, 1.0352, and 1.0329 at 278 K, 297 K and 310 K, respectively, which is within the experimental uncertainty of our measurements (Fig 5.1).

Overall, there is excellent agreement between our theoretical calculated  $\alpha_{\rm NO_2/NO}$  and our experimental measured values. Ref [154] measured  $\alpha_{\rm NO_2/NO}$  of 1.040  $\pm$  0.020 at 238 K is also in agreement with our theoretical calculated value of 1.0508 at 238 K, though the experimental uncertainty is relatively high. However, both our experimental and theoretical  $\alpha_{\rm NO_2/NO}$  values disagree with the value reported by ref [155]. Ref [155] determined  $\alpha_{\rm NO_2/NO}$  to be 1.028  $\pm$  0.002 at 298 K, which is slightly lower than our experimental value of 1.0356  $\pm$  0.0015 at 297 K or our theoretical calculated value of 1.0352 at 298 K. The disagreement or relatively high uncertainty in these previous  $\alpha_{\rm NO_2/NO}$  measurements may be explained due to the experimental measurement techniques. In both of these previous studies, the abundances of the N isotopologues of NO and NO<sub>2</sub> were measured by flowing gaseous NO and NO<sub>2</sub> into a mass spectrometer, but the separate mass analysis for the NO<sub>2</sub> and NO peaks is complicated due to the formation of NO+ and NO<sub>2</sub><sup>+</sup> ions that originate from NO<sub>2</sub> [154,155]. This may have artificially lowered the abundance of  $^{15}$ NO<sub>2</sub> and increased the abundance of  $^{15}$ NO. In our experimental measurement technique, our samples were converted into

a relatively inert gas  $(N_2O)$  and  $^{15}N/^{14}N$  ratios were directly compared to N isotopic references, which should eliminate previous experimental measurement limitations. Therefore, we believe our data reconciles previous disagreements between experimental measurements and theoretical calculations for this N isotope exchange process and will be useful in interpreting  $^{15}N/^{14}N$  ratios of atmospheric NO and NO<sub>2</sub>.

# 5.3.2 Implications for $\delta^{15}$ N of atmospheric NO<sub>2</sub>

In order to understand the impact the N isotope exchange between NO and NO<sub>2</sub> has on  $\delta^{15}$ N-NO<sub>2</sub>, we modeled the diurnal cycle and seasonal changes in  $\delta^{15}$ N-NO<sub>2</sub> (Fig. 5.3), using a NO<sub>x</sub> mass-balance model assuming that NO and NO<sub>2</sub> achieve N isotopic equilibrium. The exchange  $\alpha_{\text{NO}_2/\text{NO}}$  can be related to  $\delta$  notation by the following (Eq. 5.8):

$$\alpha_{\text{NO}_2/\text{NO}} = 1000 \times \left( \frac{\delta^{15} N - NO_2 + 1000}{\delta^{15} N - NO_2 + 1000} - 1 \right)$$
 (5.8)

Here, we define  $\delta^{15}$ N to be relative to the total NO<sub>x</sub>, for example  $\delta^{15}$ N-NO<sub>2</sub> is expressed as (Eq. 5.9):

$$\delta^{15} N - NO_2(\%_0) = 1000 \times \left(\frac{^{15} R_{\text{NO}_2}}{^{15} R_{\text{NO}_x}} - 1\right)$$
 (5.9)

with  $\delta^{15}$ N-NO<sub>x</sub> set to 0‰ relative to atmospheric air. Mass and isotopic balance for NO and NO<sub>2</sub> results in the following relationship (Eq. 5.10):

$$f_{\text{NO}_2} \times \delta^{15} N - NO_2 + f_{\text{NO}} \times \delta^{15} N - NO = 1 \times \delta^{15} N - NO_x = 0(\%_0)$$
 (5.10)

where f is mole fraction of NO or NO<sup>2</sup> to the total NO<sub>x</sub>. Combining Eq. 5.8-5.10 results in the following relationship between  $\delta^{15}$ N-NO<sup>2</sup>, $\alpha_{\text{NO}_2/\text{NO}}$ , and  $f_{\text{NO}_2}$  (Eq. 5.11):

$$\delta^{15}N - NO_2(\%_0) = 1000 \times \left( \frac{(\alpha_{\text{NO}_2/\text{NO}} - 1)(1 - f_{\text{NO}_2})}{(1 - f_{\text{NO}_2}) + (\alpha_{\text{NO}_2/\text{NO}} \times f_{\text{NO}_2})} \right)$$
(5.11)

The mass-balance model highlights that the  $\delta^{15}$ N-NO<sub>2</sub> value will change depending on  $f_{NO_2}$  and temperature dependent  $\alpha_{NO_2/NO}$  relative to the  $\delta^{15}$ N-NO<sub>x</sub> (Fig. 5.3).

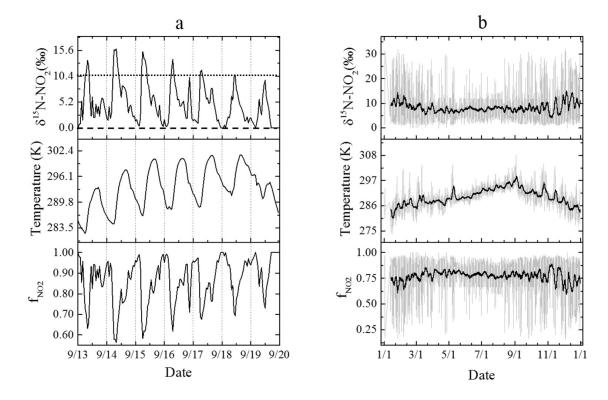


Fig. 5.3.: Hourly changes in  $\delta^{15}$ N-NO<sub>2</sub>(‰) (assuming equilibrium between NO and NO<sub>2</sub> is achieved), temperature (K), and  $f_{NO_2}$  over a week at Indianapolis, IN, USA (September 13 - September 20, 2015) (a) and over a year (2007) at Chula Vista, CA, USA (b). (a) highlights the conditions that N isotope exchange has a strong influence on  $\delta^{15}$ N-NO<sub>2</sub> relative to  $\delta^{15}$ N-NO<sub>x</sub> (dotted line) and conditions that have little influence on  $\delta^{15}$ N-NO<sub>2</sub> relative to  $\delta^{15}$ N-NO<sub>x</sub> (dashed line). Heavy curves in (b) are 100 point (100 hour) moving averages.

In Fig. 5.3, the  $\delta^{15}$ N-NO<sub>2</sub> diurnal profile is from hourly measurements of NO, NO<sub>2</sub>, and temperature at Indianapolis, IN, USA (39.8151°N, -86.1118°W) from September 13, 2015 to September 19, 2015, [203]. The  $\delta^{15}$ N-NO<sub>2</sub> has a diurnal cycle (Fig. 5.3) with a daily maximum of 12.9  $\pm$  2.4‰, which typically occurs between 8:00 am and 1:00 pm when local  $f_{NO_2}$  values are the lowest. The  $f_{NO_2}$  is lowest during this period due to the emission of NO from transportation-related combustion sources,

typical of urban areas [204], as well as the photolysis of NO<sub>2</sub> during the daytime [6]. Under these conditions, the NO<sub>x</sub> isotope exchange has a significant influence on the partitioning of  $\delta^{15}$ N between NO and NO<sub>2</sub>, and this is shown in Fig. 5.3 with a dotted line. During the evening and nighttime, however,  $f_{NO_2}$  approaches 1 as all NO is oxidized by O<sub>3</sub> into NO<sub>2</sub>, but NO is not reformed due to the absence of NO<sub>2</sub> photolysis [6]. Under these conditions,  $\delta^{15}$ N-NO<sub>2</sub> approaches 0% indicating that  $\delta^{15}$ N-NO<sub>2</sub> is approximately equal to the  $\delta^{15}$ N-NO<sub>x</sub>, and this is shown in Fig. 5.3.

Predicted seasonal changes in  $\delta^{15}$ N-NO<sub>2</sub> (Fig. 5.3) were derived from hourly measurements of NO, NO<sub>2</sub>, and temperature recorded at Chula Vista, CA, USA (32.6278°N, 117.0481°W) from January 1, 2007 to December 31, 2007 [205]. There is relatively large scatter in  $\delta^{15}$ N-NO<sub>2</sub> and  $f_{NO_2}$  throughout the year (Fig. 5.3), and this is related to the diurnal cycle that both  $\delta^{15} \text{N-NO}_2$  and  $f_{\text{NO}_2}$  exhibit. The 100-hour moving average, however, shows that there may be slight seasonal variations in  $\delta^{15}$ N-NO<sub>2</sub> (Fig. 5.3). The model predicts that during the winter (December-February),  $\delta^{15}$ N-NO<sub>2</sub> would have the highest average 100-hour moving average of 10.3\%<sub>0</sub>, compared to the other seasons that have similar average 100-hour moving averages of  $7.36\%_0$ ,  $7.79\%_0$ , and  $7.83\%_0$  for spring (March-May), summer (June-August), and fall (September-November), respectively. The observed slightly higher  $\delta^{15}$ N-NO<sub>2</sub> 100hour moving average for winter is related to both the seasonal changes in  $f_{NO_2}$  and in temperatures. Both of these parameters will result in higher  $\delta^{15}$ N-NO<sub>2</sub> values in winter relative to the other seasons, as N isotopic exchange is temperature dependent and is greater at lower temperatures and a lower f<sub>NO2</sub> will result in more <sup>15</sup>N enrichment in NO<sub>2</sub>. At Chula Vista, there is relatively small fluctuations in seasonal temperatures and  $f_{NO_2}$  (Fig. 5.3), however, at sites with larger variations in these parameters, it is expected that there will be a more pronounced seasonal cycling of  $\delta^{15}$ N-NO<sub>2</sub>.

The simple case in which NO and  $NO_2$  always exist in N isotopic equilibrium is competing with isotope fractionation factors and lifetimes associated with the Leighton cycle [46] (Eq. 5.12):

$$\tau_{\text{NO+O}_3} = \frac{1}{k_{\text{NO+O}_3}[O_3]} \tag{5.12}$$

$$\tau_{\text{NO-exchange}} = \frac{1}{k_{^{15}\text{NO+}^{14}\text{NO}_2}[NO_2]}$$
(5.13)

$$\tau_{\text{NO}_2\text{-exchange}} = \frac{1}{k_{^{15}\text{NO}+^{14}\text{NO}_2}[NO]}$$
(5.14)

$$\tau_{\text{NO}_2 + \text{h}\nu} = \frac{1}{j_{\text{NO}_2}} \tag{5.15}$$

Here  $\tau$  is lifetime (e-folding time) of each reaction, k are the rate constants (NO +  $O_3$ = 1.73  $\times 10^{-14}$  cm<sup>3</sup>molecules<sup>-1</sup>s<sup>-1</sup> [206] and NO<sub>x</sub> isotope exchange = 8.14  $\times 10^{-14}$  cm<sup>3</sup> molecules<sup>-1</sup>s<sup>-1</sup> [192], and j is photolysis rate constant  $5 \times 10^{-3}$  s<sup>-1</sup>, which depends upon solar zenith angle [207]). Using these constants and concentrations of 5 ppb of NO, 5 ppb NO<sub>2</sub>, and 20 ppb of O<sub>3</sub>,  $\tau_{\text{NO} + \text{O}_3}$ ,  $\tau_{\text{NO}_{\text{exchange}}}$ ,  $\tau_{\text{NO}_{\text{2exchange}}}$ , and  $\tau_{\text{NO}_2}$  + h $\nu$  are calculated to be 1.9, 1.6, 1.6, and 1.9 minutes respectively. Under these conditions, the lifetimes of the Leighton cycle reactions and  $NO_x$  exchange are comparable, therefore, the associated N fractionation factor between NO and NO<sub>2</sub> will be a mixture of these processes. If one of the lifetimes is an order of magnitude shorter relative to the others, the associated N fractionation factor between NO and NO<sub>2</sub> will be closer to the shorter lifetime's N fractionation factor. For example, when NO and NO<sub>2</sub> concentrations are increased to 80 ppb,  $\tau_{\rm NO_{\rm exchange}}$  and  $\tau_{\rm NO_{\rm 2exchange}}$ , decreases to 0.1 minutes and the NO and NO<sub>2</sub>  $\delta^{15}$ N values will more closely match the NO<sub>x</sub> exchange fractionation factor. Such conditions may exist down wind of smoke stack plumes during the daytime. Conversely, during high  $O_3$  (20 ppb) and low  $NO_x$  (100 ppt), such as the open ocean,  $\tau_{\mathrm{NO}_{\mathrm{exchange}}}$  and  $\tau_{\mathrm{NO}_{\mathrm{2exchange}}}$  are 100 times slower than photolysis and  $O_3$  oxidation and thus is unlikely to be relevant. The N fractionation factors associated with the NO + O<sub>3</sub> reaction and NO<sub>2</sub> photolysis has yet to be determined but will be the subject of ongoing research. During the night when photolysis ceases and nearly all NO<sub>x</sub> exists as NO<sub>2</sub>, the  $\delta^{15}$ N of NO<sub>2</sub> will reflect the  $\delta^{15}$ N of the emitted NO<sub>x</sub> in that region. Overall, the N fractionation between NO and  $NO_2$  is complicated, but future research in the kinetic modeling of Leighton/ $NO_x$  exchange process will help elucidate the importance of the various N fractionation factors under varying conditions.

The  $\delta^{15}$ N value of atmospheric nitrate formed from the NO<sub>x</sub> will depend not only on the  $\delta^{15}$ N value of the NO<sub>2</sub> but also kinetic and equilibrium isotope effects occurring during its oxidation into NO<sub>3</sub><sup>-</sup>. During the daytime, atmospheric nitrate is primarily formed through the reaction NO<sub>2</sub> +  $\bullet$ OH + M  $\rightarrow$  HNO<sub>3</sub>. Therefore,  $\delta^{15}$ N value of atmospheric nitrate formed during the day will be a complex function of the  $\delta^{15}$ N of the emitted NO<sub>x</sub>, the NO<sub>x</sub> equilibrium/Leighton reaction fractionation factors, and the fractionation factor associated with the NO<sub>2</sub> +  $\bullet$ OH + M  $\rightarrow$  HNO<sub>3</sub> + M reaction, which is currently unknown.

During the nighttime, atmospheric nitrate is primarily formed through the formation of  $N_2O_5$  and its subsequent hydrolysis.  $N_2O_5$  exists in equilibrium with  $NO_2$  and nitrate radical ( $NO_3$ ):

$$NO_2 + NO_3 \leftrightarrow N_2O_5 \tag{5.16}$$

Since nighttime  $\delta^{15}$ N-NO<sub>2</sub> should be reflective of the  $\delta^{15}$ N of the NO<sub>x</sub> sources, the N<sub>2</sub>O<sub>5</sub>  $\delta^{15}$ N value will depend on the emitted NO<sub>x</sub> sources and the isotope exchange associated with the N<sub>2</sub>O<sub>5</sub> equilibrium (5.4). At N isotopic equilibrium, N<sub>2</sub>O<sub>5</sub> is predicted to be 26.9% and 31.1% enriched in  $\delta^{15}$ N relative to NO<sub>2</sub> and NO<sub>3</sub> at 298 K [101]. Therefore,  $\delta^{15}$ N of atmospheric nitrate formed in the absence of sunlight should be <sup>15</sup>N enriched relative to the NO<sub>x</sub>, which is a possible explanation for the observed high  $\delta^{15}$ N values of atmospheric nitrate during winter months when there is less sunlight [27, 28, 31, 190] in addition to predicted seasonal changes in  $\delta^{15}$ N-NO<sub>x</sub> [208].

#### 5.4 Conclusions

Previously, there has been disagreement between experimental measurements and theoretical calculations of the N isotope exchange between NO and NO<sub>2</sub>. In this

study, we measured this exchange process to have an  $\alpha_{NO_2/NO}$  of 1.0403 (278 K), 1.0356 (297 K), and 1.0336 (310 K). These values are within experimental error of the values calculated using a modified version of the Bigeleisen-Mayer equation corrected for accurate ZPEs by including anharmonicity. Temperature dependent fractionation factors were calculated and used to model seasonal changes in  $\delta^{15}$ N-NO<sub>2</sub>. The model predicts that  $\delta^{15}$ N-NO<sub>2</sub> values will exhibit a pronounced diurnal oscillation and have seasonal variations that depend upon  $f_{NO_2}$  and ambient temperature. If  $\delta^{15}N$  of atmospheric nitrate is linked to the  $\delta^{15}$ N-NO<sub>2</sub>, then our model predicts that: (1)  $\delta^{15}$ N diurnal variations would be found in atmospheric nitrate, (2) elevated winter  $\delta^{15}N$ should be observed in  $\delta^{15}$ N of atmospheric nitrate due to N isotope exchange between NO and NO<sub>2</sub>, (3) relatively large seasonal variations in  $\delta^{15}N$  of atmospheric nitrate will be observed in regions with relatively large seasonal changes in temperature and  $f_{NO_2}$ , and (4) there will be diurnal variation in  $\delta^{15}$ N-NO<sub>x</sub> values, with night-time values reflecting the local/regional  $\mathrm{NO_{x}}$  sources. Future simultaneous  $\delta^{15}\mathrm{N}$  measurements of atmospheric nitrate and NO<sub>2</sub> will be useful in determining the correlation between the two. Additionally, other N isotope effects involving the oxidation of NO<sub>x</sub> to atmospheric nitrate, the dry deposition of NO and NO<sub>2</sub>, and the photolysis of NO<sub>2</sub> still need to be considered and will be the subject for future research.

# 6. THEORETICAL CALCULATION OF OXYGEN EQUILIBRIUM ISOTOPE FRACTIONATION FACTORS INVOLVING VARIOUS NO<sub>Y</sub> MOLECULES, ●OH, AND H<sub>2</sub>O AND ITS IMPLICATIONS FOR ISOTOPE VARIATIONS IN ATMOSPHERIC NITRATE

The following chapter is a reprint from a published article (Walters, W. W.; Michalski, G. Theoretical calculation of oxygen equilibrium isotope fractionation factors involving various NO  $_y$  molecules,  $\bullet$ OH, and H<sub>2</sub>O and its implications for isotope variations in atmospheric nitrate. *Geochim. Cosmochim. Acta*, **2016**, *191*, 89-101).

#### 6.1 Introduction

Stable isotopes are useful for understanding complex chemical systems such as the cycling and oxidation of  $NO_x$  [ $NO_x$  = nitric oxide (NO) + nitrogen dioxide ( $NO_2$ )]. For example, the oxygen (O) stable isotope composition of atmospheric nitrate, which includes nitric acid ( $HNO_3$ ), nitrate ( $NO_3$ ), and particulate nitrate ( $p-NO_3$ ), indicates the proportion of  $NO_x$  that reacts with ozone ( $O_3$ ) during its oxidation [53,54,209–211]. The O isotope composition of a sample is typically reported in delta notation ( $\delta$ ), which is expressed as the ratio (R) of the heavy (R) and R0 to the light (R) isotope in a sample relative to the same ratio of an international standard (Vienna Standard Mean Ocean Water (VSMOW) (Eq. 6.1):

$$\delta^{\mathbf{x}}O(\%_{0}) = 1000 \left(\frac{{}^{\mathbf{x}}R_{\text{sample}}}{{}^{\mathbf{x}}R_{\text{VSMOW}}} - 1\right)$$
(6.1)

where x represents the abundance of either  $^{17}\text{O}$  or  $^{18}\text{O}$ . Several studies have shown that atmospheric  $\text{O}_3$  has elevated  $\delta^{17}\text{O}$  and  $\delta^{18}\text{O}$  values relative to VSMOW and a

strong mass-independent component [48,57,58,212,213], which is quantified by  $\Delta^{17}$ O notation (Eq. 6.2) :

$$\Delta^{17}O(\%_0) = 1000 ln \left( 1 + \frac{\delta^{17}O}{1000} \right) - 0.52 \times 1000 ln \left( 1 + \frac{\delta^{18}O}{1000} \right)$$
 (6.2)

These elevated  $\delta^{18}$ O and  $\delta^{17}$ O signatures are transferred to atmospheric nitrate proportionally when  $O_3$  oxidizes  $NO_x$  [55,56,209,214]. Thus, O isotopic analysis, particularly  $\Delta^{17}$ O, of atmospheric nitrate has been suggested as a useful proxy for assessing changes in  $NO_x$  oxidation and for evaluating long-term changes in the atmosphere's oxidation capacity [53,211,215].

There may be additional useful information in  $\delta^{18}{\rm O}$  variations, because mass-dependent fractionation processes (MDFP) such as equilibrium or kinetic isotope effects will have a minimal impact on  $\Delta^{17}{\rm O}$  but may induce significant  $\delta^{18}{\rm O}$  variations. These fractionation processes may also influence the nitrogen (N) isotopic composition  $(\delta^{15}{\rm N}(\%_0) = 1000[(^{15}{\rm N}/^{14}{\rm N})_{\rm sample}/(^{15}{\rm N}/^{14}{\rm N})_{\rm air} - 1]$ , where air N<sub>2</sub> is the N isotopic reference) as NO<sub>x</sub> is oxidized to atmospheric nitrate [46, 52, 216]. Thus,  $\delta^{18}{\rm O}$  and  $\delta^{15}{\rm N}$  of atmospheric nitrate may be related, reflecting to some degree the fractionation processes responsible for the formation of atmospheric nitrate. However, few studies have quantitatively evaluated the impacts of NO<sub>x</sub> oxidation fractionation processes on  $\delta^{18}{\rm O}$  and their implications for possible  $\delta^{18}{\rm O}$ - $\delta^{15}{\rm N}$  relationships of the atmospheric nitrate end product.

One such fractionation process that may play an important role on the  $\delta^{18}{\rm O}$  and  $\delta^{15}{\rm N}$  of atmospheric nitrate is isotopic equilibrium exchange or partial exchange. For example, it has been previously suggested that isotopic equilibrium between NO and NO<sub>2</sub> has a significant impact on the  $\delta^{15}{\rm N}$  of HNO<sub>3</sub> produced during the daytime [46, 52, 188, 217]. The same exchange processes may also generate useful  $\delta^{18}{\rm O}$  signatures in HNO<sub>3</sub>. Additionally, it has been suggested that NO<sub>2</sub>, the nitrate radical (NO<sub>3</sub>), and dinitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>) may reach isotopic equilibrium during the nighttime [190, 216, 218]. Isotopic equilibrium involving NO<sub>2</sub>, NO<sub>3</sub>, and N<sub>2</sub>O<sub>5</sub> will affect partitioning of <sup>18</sup>O and <sup>15</sup>N between these molecules, which has implications for variations in  $\delta^{18}{\rm O}$  and  $\delta^{15}{\rm N}$  of HNO<sub>3</sub> produced at night. Thus, isotopic equilibrium

exchange in addition to mass-balance considerations may have important implications for diurnal and seasonal variations in  $\delta^{18}\text{O-}\delta^{15}\text{N}$  compositions of atmospheric nitrate. In this case, knowing the isotopic equilibrium fractionation factors involving a variety of oxidized N molecules (denoted as NOy = NO<sub>x</sub> + HNO<sub>3</sub> + p-NO<sub>3</sub><sup>-</sup> + NO<sub>3</sub> + N<sub>2</sub>O<sub>5</sub> + nitrous acid (HONO) + etc) would be useful.

Prior studies have calculated O isotopic equilibrium exchange fractionation factors involving some NO<sub>v</sub> molecules using measured vibrational spectroscopic data [71] or empirically determined interatomic force constants [133, 219]. However, O isotopic equilibrium fractionation factors have not been determined for many atmospherically relevant NO<sub>y</sub> molecules such as N<sub>2</sub>O<sub>5</sub>, NO<sub>3</sub>, and halogen nitrates (XONO<sub>2</sub>). This is primarily due to the absence of spectroscopic data for the isotopologues of these molecules. Previously, we have used computational quantum chemistry methods to calculate N isotopic equilibrium fractionation factors involving some  $NO_v$ molecules [101]. Here we will extend that study and calculate O isotopic equilibrium exchange fractionation factors for singly substituted O isotopologues of numerous NO<sub>v</sub> molecules. We will also calculate the fractionation factor associated with equilibrium isotopic exchange between the hydroxyl radical ( $\bullet$ OH) and water ( $H_2$ O) due to the importance this reaction has on the  $\delta^{18}$ O of atmospheric  $\bullet$ OH [30, 59, 220]. Knowing  $\delta^{18}$ O of  $\bullet$ OH is important because it will be transferred proportionally to the atmospheric nitrate end product from •OH reaction with NO<sub>2</sub> [30]. Our calculated O isotopic equilibrium fractionation factors will allow for the evaluation of the impact various exchange reactions have on  $\delta^{18}$ O values of these molecules and how it may be propagated into the atmospheric nitrate end product. Combining our O and N isotopic equilibrium fractionation factors will allow for the prediction of  $\delta^{18}\text{O-}\delta^{15}\text{N}$ arrays resulting from various atmospheric nitrate formation pathways.

#### 6.2 Methods and Theory

#### 6.2.1 Calculation of equilibrium isotope exchange fractionation factors

The theoretical calculation of isotopic fractionation associated with isotope exchange equilibrium reactions has long been carried out in the harmonic approximation using partition functions [111, 142]. In the Born-Oppenheimer, harmonic oscillator, and rigid-rotor approximations, the reduced partition function ratio (RPFR, commonly denoted as  ${}^{*}\beta$ ) for an oxygen isotopic pair is written as (Eq. 6.3):

$$RPFR = {}^{\mathsf{x}}\beta_{\mathsf{har}} = \left(\frac{s_2}{s_1}\right)_f = \prod_i^N \frac{u_{2i}}{u_{1i}} \times \exp\left(\sum_i^N \frac{u_{1i} - u_{2i}}{2}\right) \times \prod_i^N \frac{1 - \exp(-u_{1i})}{1 - \exp(-u_{2i})} \quad (6.3)$$

where x and subscript 2 refer to one of the heavy isotopes ( $^{17}$ O or  $^{18}$ O) and subscript 1 refers to  $^{16}$ O. The  $u_i = hc\omega_i/kT$  where h is Planck's constant, c is the speed of light,  $\omega_i$  is the harmonic frequency (cm<sup>-1</sup>) for each vibrational mode (i), k is Boltzmann constant, T is temperature in Kelvin, and N refers to the number of normal mode vibrational frequencies. In Eq. 6.3, the Teller-Redlich rule was employed [221], which converts translational and rotational motion into vibrational frequencies. Symmetry numbers are not considered, because symmetry in itself does not lead to isotopic enrichment during equilibrium [142] but must be considered from a statistical perspective [222] [223].

The  ${}^{\mathsf{x}}\beta$  values calculated using Eq. 6.3 are accurate in the harmonic oscillator and rigid-rotor approximations. However, corrections for anharmonicity, vibration-rotation coupling, centrifugal distortion, hindered internal rotation, and quantum mechanical correction to rotation have been shown to be important for calculating accurate  ${}^{\mathsf{x}}\beta$  values for some molecules [71,144,224]. Generally, for atoms other than H most of the corrections can be ignored as  ${}^{\mathsf{x}}\beta$  is impacted by less than 0.01%. The main exception is anharmonic correction to the zero point energy (ZPE), which can play a significant role in the calculation of accurate  ${}^{\mathsf{x}}\beta$  values [144]. If accurate ZPEs

are known or can be accounted for,  $x\beta$  values can more accurately be calculated by the following [144] (Eq. 6.4):

$${}^{\mathbf{x}}\beta_{\mathrm{anhar}} = \frac{exp(-ZPE_{\mathrm{anhar},2}/kT)}{exp(-ZPE_{\mathrm{anhar},1}/kT)} \prod_{i}^{N} \left(\frac{u_{2i}}{u_{1i}}\right) \times \left(\frac{1 - \exp(-u_{1i})}{1 - \exp(-u_{2i})}\right)$$
(6.4)

where  $ZPE_{anhar}$  is the anharmonic corrected ground ZPE.

A general representation for an equilibrium isotope exchange reaction involving two different chemical compounds, A and B, is (Eq. 6.5):

$$A_1 + B_2 \leftrightarrow A_2 + B_1 \tag{6.5}$$

where subscripts 1 and 2 again refer to the light and heavy isotopologue, respectively. The equilibrium constant,  ${}^{x}K_{A/B}$ , which is also called the equilibrium isotope fractionation factor ( ${}^{x}\alpha_{A/B}$ ), can be obtained from the  ${}^{x}\beta$  values of A and B (Eq. 6.6: (Eq. 6.6):

$${}^{\mathbf{x}}K_{\mathrm{A/B}} = {}^{\mathbf{x}}\alpha_{\mathrm{A/B}} = \frac{{}^{\mathbf{x}}\beta_{\mathrm{A}}}{{}^{\mathbf{x}}\beta_{\mathrm{B}}}$$
 (6.6)

For example, using this notation, the equilibrium isotope exchange reaction between NO and NO<sub>2</sub> involving the singly substituted  $^{18}$ O and  $^{16}$ O isotopologue pair is written as (Eq.6.7):

$$N^{18}O + N^{16}O^{16}O \leftrightarrow N^{16}O + N^{16}O^{18}O \tag{6.7}$$

The equilibrium constant for this isotope exchange reaction is written as (Eq. 6.8):

$${}^{18}K_{\text{NO}_2/\text{NO}} = {}^{18}\alpha_{\text{NO}_2/\text{NO}} = \frac{{}^{18}\beta_{\text{NO}_2}}{{}^{18}\beta_{\text{NO}}}$$
(6.8)

#### 6.2.2 Computational chemistry methods

Using ab initio methods to obtain  $\beta$  and  $\alpha_{A/B}$  values for use in geochemical systems is a relatively recent advance [134,135,137,138,141,144,169,225]. In this study, the Becke-3 parameter-Lee-Yang-Parr (B3LYP) hybrid density function theory (DFT) method [146,147] and Dunning correlation-consistent polarized valence triple  $\zeta$  (cc-pVTZ) basis set (Dunning, 1989) were used to calculate geometries (bond angles

and bond lengths) and harmonic frequencies for the following molecules: NO, NO<sub>2</sub>, HONO, HNO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, nitrate (NO<sub>3</sub><sup>-</sup>), chlorine nitrate (ClONO<sub>2</sub>), nitrite (NO<sub>2</sub><sup>-</sup>), dinitrogen tetroxide (N<sub>2</sub>O<sub>4</sub>), nitryl bromide (BrNO<sub>2</sub>), nitryl chloride (ClNO<sub>2</sub>), bromine nitrate  $(BrONO_2)$ ,  $\bullet OH$ , and  $H_2O$ . We also calculated the geometry and vibrational frequencies of NO<sub>3</sub> using the EDF2 DFT method [148] with the cc-pVTZ basis set (EDF2/cc-pVTZ) and the QCISD method with the cc-pVDZ basis set (QCISD/ccpVDZ). These additional methods were used to compare the calculated vibrational frequencies of NO<sub>3</sub> due to the difficulties this molecule presents for computational studies related to it being a polyatomic doublet radical with multireference character [172, 173]. Calculations involving the B3LYP/cc-pVTZ and QCISD/cc-pVDZ methods were performed using the Gaussian program package revision D.01 [226] on the Purdue Radon cluster. The EDF2/cc-pVTZ calculations were performed using the QChem 4.2 program package [145] on an IBM personal computer. The masses of the most abundant isotopes of each element ( $^{1}H$ ,  $^{12}C$ ,  $^{14}N$ ,  $^{16}O$ ,  $^{35}Cl$ ,  $^{79}Br$ ) were used to calculate harmonic frequencies, and isotope effects were subsequently determined via single-atom substitutions of either <sup>17</sup>O or <sup>18</sup>O for each <sup>16</sup>O position. Systematic model errors and anharmonic corrections to the ZPE were accounted for by applying a constant scale factor to the calculated harmonic ZPE, as recommended by ref. [144]. We used a constant scale factor of 0.9787, recommended by ref. [227] to correct calculated harmonic ZPE using B3LYP/cc-pVTZ to match experimental ZPEs. This scale factor was also used to correct the ZPE of NO<sub>3</sub> calculated using EDF2/cc-pVTZ. The ZPE of NO<sub>3</sub> calculated from QCISD/cc-pVDZ was scaled by 0.9776, based on a recommended value for QCISD/6-31G(d) [228].

#### 6.3 Results

#### 6.3.1 Calculated ${}^{\mathbf{x}}\beta$ values

The NO<sub>3</sub> vibrational frequencies calculated using B3LYP/cc-pVTZ, EDF2/cc-pVTZ, QCISD/cc-pVDZ, and EOM-CCSD/aug-cc-pVDZ [101] were compared (Ta-

ble 6.1) with experimental data [229–231]. Generally, it is found the most accurate NO<sub>3</sub> vibrational frequencies are from the EDF2/cc-pVTZ method (Table 6.1). Using calculated frequencies,  ${}^{x}\beta$  values were calculated for each molecule over a temperature range from 150 to 450 K using Eq. 6.4. For the molecules that had non-equivalent O sites (i.e. HNO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, ClONO<sub>2</sub>, HONO, and BrONO<sub>2</sub>), two  ${}^{x}\beta$  values were calculated. These are designated as "c" to refer to the substitution of  ${}^{x}$ O in the central bridging O atom position (e.g. HONO<sup>c</sup> = H ${}^{x}$ ONO) and "t" to refer to the substitution of  ${}^{x}$ O in the terminal O atom position (e.g. HONO<sup>t</sup> = HON ${}^{x}$ O). For molecules with more than one terminal O atom, but with slight break in symmetry (e.g. HNO<sub>3</sub>, BrONO<sub>2</sub>, and ClONO<sub>2</sub>), the geometric mean  ${}^{x}\beta$  at all terminal sites is reported. These terminal sites are nearly equivalent as their O  ${}^{x}\beta$  values were generally within 0.001.

Regression fit parameters calculated for  $^{18}\beta$  as a function of temperature, and  $^{18}\beta$  values at 298 K, are reported in Table 6.2. At 298 K,  $^{18}\beta$  ranged from 1.0258 to 1.1110, increasing in the following order:  $\bullet$ OH < H2O < BrONO<sub>2</sub><sup>c</sup> < ClONO<sub>2</sub><sup>c</sup> < NO<sub>3</sub><sup>c</sup> < NO<sub>3</sub><sup>c</sup> < NO<sub>3</sub><sup>c</sup> < NO<sub>2</sub> < NO<sub>2</sub><sup>r</sup> < NO < N2O<sub>4</sub> < BrNO<sub>2</sub> < ClNO<sub>2</sub> < HNO<sub>3</sub><sup>t</sup> < HONO<sup>t</sup> < BrONO<sub>2</sub><sup>t</sup> < ClONO<sub>2</sub><sup>t</sup> < N2O<sub>5</sub><sup>t</sup> (Table 6.2). The magnitude of  $^{18}\beta$  appears to be related to the number of bonds and the electronegativity of the oxygen bond-partner and increased in the following order: 1 hydrogen < 2 hydrogen < 1 halogen + 1 nitrogen < 2 nitrogen < 1 hydrogen + 1 nitrogen < 1 nitrogen (Table 6.2). This ordering of atoms that O is directly bonded to may provide a rough a priori way to arrange  $_{18}\beta$  values based solely on molecular structure.

Fig. 6.1 compares our calculated  $^{18}\beta$  values for NO, NO<sub>2</sub>, H<sub>2</sub>O, and HNO<sub>3</sub><sup>c</sup> with those previously reported [71,133,144] as well as calculated using experimental data [29,202]. Overall, our calculated  $^{18}\beta$  values agree with those previously reported (Fig. 6.1). For example, at 300 K, we calculated  $^{18}\beta$ (NO) to be 1.0995, which is close to previously calculated values of 1.0973 [71] and 1.0963 [133]. Additionally, our calculated  $^{18}\beta$ (NO<sub>2</sub>) at 300 K is 1.0909, which is close to the value of 1.0899 previously calculated by ref. [133] and the value of 1.0867 calculated from empirically determined

Table 6.1: Comparison of calculated NO<sub>3</sub>  $\nu_{\rm i}$  (cm<sup>-1</sup>) from different computational methods and experimental determined values. Values in parentheses represent the absolute difference between the calculated and experimental frequency for a particular vibrational mode.

	$\nu_1$ (asym	$\nu_2$ (asym	$\nu_3$ (um-	$\nu_4$ (sym	$\nu_5({\rm asym}$	$\nu_6(\text{asym})$	Average
	bend)	bend)	brella)	stretch)	stretch)	stretch)	Error*
B3LYP	204.93	206.64	809.25	1107.09	1108.04	1129.61	76.3
/cc-pVTZ <sup>a</sup>	(160.7)	(159.0)	(46.2)	(54.1)	(8.0)	(29.6)	10.5
EDF2	337.75	339.79	816.47	1142.11	1171.90	1174.35	57.1
/cc-pVTZ <sup>a</sup>	(27.9)	(25.8)	(53.4)	(89.1)	(71.9)	(74.3)	01.1
QCISD	201.82	203.80	813.92	1144.00	1168.86	1169.08	100.9
/cc-pVDZ <sup>a</sup>	(163.8)	(161.8)	(50.8)	(91.0)	(68.9)	(69.1)	100.9
EOM-CCSD	310.80	312.94	743.37	1103.92	1263.96	1264.34	84.4
/aug-cc-pVDZ <sup>b</sup>	(54.8)	(52.7)	(19.7)	(50.9)	(164.0)	(164.3)	04.4
Experiment	$365.6^{c}$	$365.6^{c}$	763.1 <sup>d</sup>	$1053.0^{\rm e}$	$1100.0^{c}$	1100.0°	

<sup>&</sup>lt;sup>a</sup> calculated from this work. <sup>b</sup> calculated previously from ref. [101]. <sup>c</sup> from ref. [231]. <sup>d</sup> from ref. [23]. <sup>e</sup> from ref. [230]. \* calculated as the average absolute deviation from experimental vibrational frequencies (i).

Table 6.2: Calculated  $^{18}\beta$  regression coefficients<sup>a</sup> as a function of temperature (150 K to 450 K) and  $^{18}\beta$  (298 K) values for NO<sub>y</sub> molecules,  $\bullet$ OH, and H<sub>2</sub>O.

	A	В	С	D	$^{18}\beta(298 \text{ K})$
•OH	1.1036	-1.9577	1.2889	0.51636	1.0258
H <sub>2</sub> O	3.2070	-5.7294	3.9525	1.1030	1.0639
BrONO <sub>2</sub> <sup>c</sup>	2.1489	-6.1744	8.3297	-0.22623	1.0656
$\text{ClONO}_2^{\text{c}}$	2.6464	-7.0231	8.6922	-0.22784	1.0671
$N_2O_5{}^c$	2.6369	-6.9058	8.6047	-0.20778	1.0672
HONOc	3.3651	-7.2949	7.0520	0.51606	1.0734
$\mathrm{NO_3}^*$	6.7853	-13.499	11.279	-0.08248	1.0818
HNO <sub>3</sub> <sup>c</sup>	3.9484	-8.8137	9.0903	0.38699	1.0871
$NO_3$	6.3617	-13.388	12.124	-0.10652	1.0904
$NO_2$	7.8475	-15.028	11.690	0.20838	1.0918
NO <sub>2</sub> -	7.8614	-15.049	11.700	0.20718	1.0918
NO	8.1294	-14.507	10.124	0.93160	1.1008
$N_2O_4$	7.7029	-14.916	12.695	0.21039	1.1034
$BrNO_2$	7.9945	-15.453	13.127	0.19084	1.1060
$ClNO_2$	8.0617	-15.674	13.396	0.19632	1.1084
HNO <sub>3</sub> <sup>t</sup>	7.9932	-15.922	13.776	0.10526	1.1086
HONOt	8.5480	-16.103	12.686	0.50745	1.1099
BrONO <sub>2</sub> <sup>t</sup>	8.0302	-15.747	13.703	0.14583	1.1099
ClONO <sub>2</sub> <sup>t</sup>	8.0808	-15.824	13.748	0.16979	1.1110
$N_2O_5^{t}$	8.1801	-15.985	13.792	0.17181	1.1110

<sup>a</sup>  $1000(\beta - 1) = \frac{A}{T^4} \times 10^{10} + \frac{B}{T^3} \times 10^8 + \frac{C}{T^2} \times 10^6 + \frac{D}{T} \times 10^4$  (typical misfit of the regression is 0.1%). <sup>c</sup> and <sup>t</sup> corresponds to the substitution of <sup>18</sup>O at the bridging or terminal O atom position, respectively for a particular molecule (e.g. HONO<sup>c</sup> = H<sup>18</sup>ONO, HONO<sup>t</sup> = HON<sup>18</sup>O). <sup>\*</sup> All <sup>18</sup> $\beta$  values calculated using B3LYP/cc-pVTZ, except for NO<sub>3</sub>, which was calculated using EDF2/cc-pVTZ

interatomic force constants [29] and experimental ZPEs [202]. For  $H_2O$ , we calculated  $^{18}\beta(H_2O)$  at 300 K to be 1.0635, which is close to values previously calculated of 1.0632 [144] and 1.0630 [71]. Finally, for  $HNO_3^c$ , we calculated  $^{18}\beta(HNO_3^c)$  to be 1.0862 at

300 K, which is close to the value of 1.0860 reported by ref. [133]. Fig. 6.2 compares the  $^{18}\beta$  calculated for NO<sub>3</sub> using B3LYP/cc-pVTZ, EDF2/cc-pVTZ, and QCISD/cc-pVDZ methods and indicates general agreement. For example, at 300 K,  $^{18}\beta$  for NO<sub>3</sub> is calculated to be 1.0775, 1.08135, and 1.0796 for B3LYP/cc-pVTZ, EDF2/cc-pVTZ, and QCISD/cc-pVDZ, respectively. However, since EDF2/cc-pVTZ calculated the most accurate NO<sub>3</sub> vibrational frequencies of the considered computational methods (Table 6.1), we used the EDF2/cc-pVTZ calculated  $^{18}\beta$  values for  $^{18}\alpha_{\rm A/B}$  calculations involving NO<sub>3</sub>.

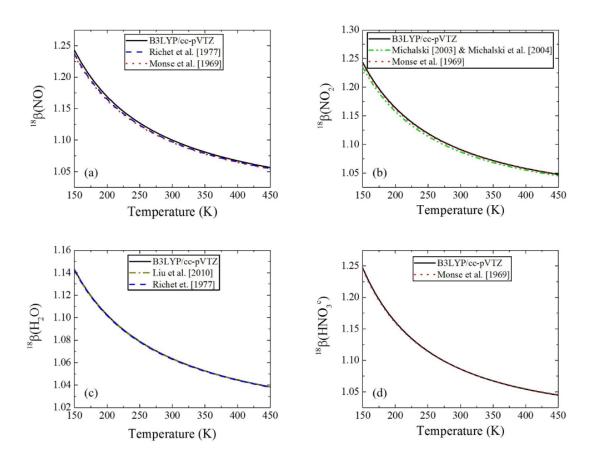


Fig. 6.1.: Comparison of calculated  $^{18}\beta$  values using B3LYP/cc-pVTZ with those computed in previous studies for (a) NO [71, 133], (b) NO<sub>2</sub> [29, 133, 202], (c) H<sub>2</sub>O [71, 144], and (d) HNO<sub>3</sub><sup>c</sup> [133]

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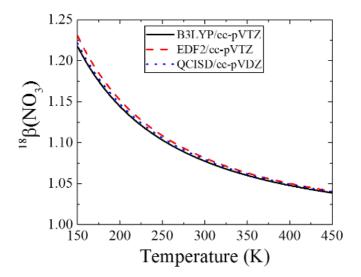


Fig. 6.2.: Comparison of calculated  $^{18}\beta$  for NO<sub>3</sub> using various computational methods that include B3LYP/cc-pVTZ, EDF2/cc-pVTZ, and QCISD/cc-pVDZ.

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# 6.3.2 Calculated $^{18}\alpha_{A/B}$ values

Using our calculated  $^{18}\beta$  values,  $^{18}\alpha_{A/B}$  were calculated for the following exchange reactions (A/B): NO/NO<sub>2</sub>, NO<sub>2</sub>/NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub><sup>geo</sup>/NO<sub>2</sub>, N<sub>2</sub>O<sub>4</sub>/NO<sub>2</sub>, BrNO<sub>2</sub>/NO<sub>2</sub>, ClNO<sub>2</sub>/NO<sub>2</sub>, BrONO<sub>2</sub><sup>t</sup>/NO<sub>2</sub>, ClONO<sub>2</sub><sup>t</sup>/NO<sub>2</sub>, NO<sub>3</sub><sup>-</sup>/H<sub>2</sub>O, and H<sub>2</sub>O/ $\bullet$ OH over the temperature range of 150 to 450 K, where "geo" refers to the geometric mean  $^{18}\beta$ . These exchange reactions were chosen due to their common molecular structure (e.g. NO, NO<sub>2</sub>, or OH subunits), and therefore, possibility that O isotopic exchange might occur at a rate comparable to the atmospheric lifetime of these compounds (1 week). Few studies have experimentally determined  $\alpha_{A/B}$  involving the considered molecules, limiting our ability to evaluate our calculated  $\alpha_{A/B}$  values. One study that we are aware of involves the O isotopic exchange between NO<sub>3</sub><sup>-</sup> and H<sub>2</sub>O [232], in which  $\alpha_{NO_3^-/H_2O}$  was determined to be 1.0215 and 1.0131 at 295 K and 373 K, respectively.

Table 6.3: Calculated  $^{18}\alpha_{A/B}$  regression coefficients<sup>a</sup> as a function of temperature (150 to 450 K) and  $^{18}\alpha_{A/B}$  (298 K) values for O isotopic equilibrium exchange reactions.

A/B	A	В	С	D	$^{18}\alpha_{A/B}(298K)$
$\mathrm{NO/NO_2}$	-0.04129	1.1605	-1.8829	0.74723	1.0082
$ m NO_2/NO_3$	1.03163	-1.38703	0.24875	0.3082	1.0092
$ m N_2O_5^{geo}/NO_2$	-0.54136	0.13073	1.2477	-0.1272	1.0096
$ m N_2O_4/NO_2$	-0.02333	-0.36888	1.0789	-0.00256	1.0106
${\rm BrNO_2/NO_2}$	0.29493	-0.96875	1.5039	-0.02007	1.0130
$\mathrm{ClNO_2/NO_2}$	0.41012	-1.2744	1.7759	-0.01414	1.0152
${ m BrONO_2^t/NO_2}$	0.43126	-1.4765	2.1167	-0.06681	1.0166
$\mathrm{ClONO_2^t/NO_2}$	0.48353	-1.5568	2.1511	-0.04177	1.0176
$\mathrm{NO_{3}}^{\text{-}}/\mathrm{H_{2}O}$	3.6280	-8.6540	8.2763	-1.1983	1.0249
$\mathrm{H_2O}/\bullet\mathrm{OH}$	2.1137	-3.8026	2.5653	0.59410	1.0371

These experimentally determined values agree reasonably well with our calculated values of 1.0256 and 1.0126 at 295 K and 373 K, respectively.

Table 6.3 presents the calculated  $^{18}\alpha_{A/B}$  regression fit coefficients for these exchange reactions sorted in order of increasing  $^{18}\alpha_{A/B}$  at 298 K. Overall, the calculated  $^{18}\alpha_{A/B}$  values ranged from 1.0082 to 1.0371 at 298 K (Table 6.3) and increased in the following order:  $NO/NO_2 < NO_2/NO_3 < N_2O_5^{\rm geo}/NO_2 < N_2O_4/NO_2 < BrNO_2/NO_2 < CloNO_2/NO_2 < RON_2/NO_2 < RON_2/NO_2 < RON_2/NO_2 < RON_2/NO_2 < RON_2/NO_2 < RON_3/H_2O < RON_2/OH (Table 6.3). This trend indicates that at the most exchangeable sites (i.e. terminal O atoms), <math>^{18}O$  will generally partition into  $NO_y$  molecules other than NO and  $NO_2$ . This is true for all considered equilibrium exchange reactions except for  $NO_2/NO_3$ , in which  $^{18}O$  is found to prefer  $NO_2$ . Additionally, our calculations indicate that the exchange between  $H_2O/\bullet OH$  will result in atmospheric  $\bullet OH$  depleted in  $^{18}O$  relative to local  $H_2O$  vapor by approximately -35% at 298 K.

Fig. 6.3 displays the isotopic equilibrium exchange enrichment factors ( $^{18}\epsilon_{A/B}$ ) =  $1000(^{18}\alpha_{A/B}$ -1)) for the following five isotopic equilibrium exchange reactions:  $NO/NO_2$ ,  $NO_2/NO_3$ ,  $N_2O_5^{geo}/NO_2$ ,  $ClNO_2/NO_2$ , and  $ClONO_2^t/NO_2$ . Generally, as

temperature increases, it is observed that  $^{18}\epsilon_{A/B}$  decreases towards zero as expected for equilibrium isotope effects in the high temperature limit. This was the observed trend for all of the considered isotopic exchange reactions except for NO/NO<sub>2</sub>, in which  $\alpha_{A/B}$  switches from less than 1 to greater than 1 at approximately 152.5 K. Fig. 6.3 also shows  $\Delta^{17}$ O arising from the considered isotopic equilibrium exchange reactions where  $\Delta^{17}$ O was calculated according to the following:

$$\Delta^{17}O(\%_0) = 1000ln(1 + (^{17}\alpha_{A/B} - 1)) - 0.52 \times 1000ln(1 + (^{18}\alpha_{A/B} - 1))$$
 (6.9)

For the considered exchange reactions,  $\Delta^{17}$ O was found to minimally deviate from  $0\%_0(\text{Fig. }6.3)$ , ranging from 0.02 to  $0.18\%_0(\text{Fig. }6.3)$  at 298 K.

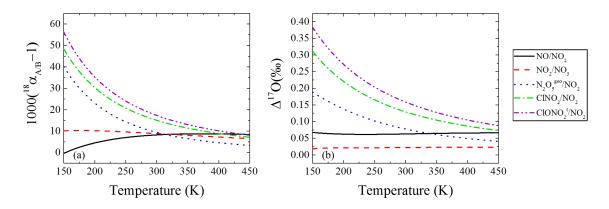


Fig. 6.3.: (a) Calculated O isotopic exchange enrichment factors  $(1000(^{18}\alpha_{\rm (A/B)} - 1))$  and (b) calculated O isotopic exchange induced mass-independence  $(\Delta^{17}O(\%_0) = 1000\ln(^{17}\alpha_{\rm (A/B)} - 1) - 0.52 \times 1000\ln(^{18}\alpha_{\rm (A/B)} - 1)$  for the following exchange reactions:  $NO/NO_2$ ,  $NO_2/NO_3$ ,  $N_2O_5^{\rm geo}/NO_2$ ,  $ClNO_2/NO_2$ , and  $ClONO_2^{\rm t}/NO_2$ .

#### 6.4 Discussion

Overall, our  $^{18}\alpha_{A/B}$  calculations show that if isotopic equilibrium is achieved, it will influence the partitioning of  $^{18}$ O between the considered molecules, without inducing a

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significant  $\Delta^{17}$ O signature, as expected for a MDFP (Fig. 6.3). Therefore, while  $\Delta^{17}$ O of NO<sub>y</sub> molecules should approximately represent the O mass-balance of precursor molecules (i.e. O<sub>3</sub>, H<sub>2</sub>O, O<sub>2</sub>),  $\delta^{18}$ O may reflect both O mass-balance and possible influences from MDFP such as isotopic equilibrium exchange. Thus,  $\delta^{18}$ O of NO<sub>y</sub> molecules may provide additional information about NO<sub>x</sub> oxidation pathways that is not observable in  $\Delta^{17}$ O alone. Taking into account N and O isotopic mass-balance and assuming daytime isotopic equilibrium between NO and NO<sub>2</sub> [46,52,216,233] and nighttime isotopic equilibrium between NO<sub>2</sub>, NO<sub>3</sub>, and N<sub>2</sub>O<sub>5</sub> [190,216,218],  $\delta^{18}$ O- $\delta^{15}$ N compositions may be estimated for various HNO<sub>3</sub> formation pathways.

# 6.4.1 Predicted daytime HNO<sub>3</sub> $\delta^{18}$ O- $\delta^{15}$ N compositions

During the daytime,  $NO_x$  exists in a photochemical steady state in which NO is oxidized to  $NO_2$ , which may photolyze back to NO leading to the production of  $O_3$  [6].

$$NO + O_3 \to NO_2 + O_2$$
 (6.10)

$$NO_2 + h\nu \to NO + O(^3P) \tag{6.11}$$

$$O(^{3}P) + O_{2} + M \to O_{3} + M$$
 (6.12)

The photochemical cycling between NO-NO<sub>2</sub>-O<sub>3</sub> is rapid, and prior experimental investigations of this cycling has suggested that O isotopic equilibrium is achieved between O<sub>3</sub> and NO<sub>x</sub> [55]. Based on a photochemical NO<sub>x</sub>-O<sub>3</sub> isotope equilibrium model,  $\delta^{18}$ O-NO<sub>x</sub> has been estimated to have a value of 117  $\pm$  5% relative to VS-MOW [55]. While  $\delta^{18}$ O-NO<sub>x</sub> is assumed to reflect its photochemical equilibration with O<sub>3</sub>,  $\delta^{15}$ N-NO<sub>x</sub> should be related to local NO<sub>x</sub> source emissions. Recently, a mass-balance  $\delta^{15}$ N-NO<sub>x</sub> model across the contiguous United States has been developed that suggests  $\delta^{15}$ N-NO<sub>x</sub> should typically range from -15 to 0% [208]. Exceptions include regions dominated by soil emissions (e.g. Great Plains during the summer) or coal-fired power plant emissions, in which  $\delta^{15}$ N-NO<sub>x</sub> is estimated to range from -31 to -21% and 5 to 9%, respectively [208].

While the isotopic composition of  $NO_x$  is approximately known, the isotopic composition of its components, NO and  $NO_2$ , may be altered relative to  $NO_x$  due to isotopic exchange. The rate of isotopic exchange between NO and  $NO_2$  is rapid (k =  $8.14 \times 10^{-14}$  cm<sup>3</sup>molecules<sup>-1</sup>s<sup>-1</sup> at 298 K; [192]) and may lead to the partitioning of <sup>18</sup>O between NO and  $NO_2$  similar to exchange previously observed for <sup>15</sup>N [46,216]. The resulting  $\delta^{18}O$  and  $\delta^{15}N$  of the NO and  $NO_2$  will depend on the fraction of NO and  $NO_2$  relative to the total  $NO_x$  (i.e.  $[NO]/[NO_x]$  and  $[NO_2]/[NO_x]$ ) and the temperature dependent equilibrium exchange fractionation factors [46,216]. The impact of this exchange on  $\delta^{15}N$  of NO and  $NO_2$  has been previously derived [46,216], which we have adapted to also include  $\delta^{18}O$ , as the following (Eq. 6.13-6.14):

$$\delta^{x} N - NO_{2}(\%_{0}) = 1000 \times \left( \frac{(^{x} \alpha_{\text{NO}_{2}/\text{NO}} - 1)(1 - f_{\text{NO}_{2}})}{(1 - f_{\text{NO}_{2}}) + (^{x} \alpha_{\text{NO}_{2}/\text{NO}} \times f_{\text{NO}_{2}})} \right) + \delta^{x} - NO_{x}$$
 (6.13)

$$\delta^{x}-NO(\%_{0}) = \frac{(\delta^{x}-NO_{x}(\%_{0})) - (f_{NO_{2}} \times \delta^{x}-NO_{2}(\%_{0}))}{(1-f_{NO_{2}})}$$
(6.14)

where x represents either <sup>18</sup>O or <sup>15</sup>N and  $f_{NO_2}$  is the fraction of NO<sub>2</sub> to the total NO<sub>x</sub>. During the daytime, near equal concentrations of NO and NO<sub>2</sub> can occur [52,216] due to the emission of NO [204] and NO<sub>2</sub> photolysis [6]. Therefore, during the daytime,  $\delta^{18}$ O and  $\delta^{15}$ N of NO and NO<sub>2</sub> will likely reflect a complex function of the  $\delta^{18}$ O and  $\delta^{15}$ N of NO<sub>x</sub> and the NO<sub>x</sub> equilibrium isotopic fractionation factors. If the isotopic composition of daytime NO<sub>2</sub> is altered relative to NO<sub>x</sub>, it would have important consequences for  $\delta^{18}$ O and  $\delta^{15}$ N of atmospheric nitrate produced during daylight hours, because it is primarily formed through the reaction between NO<sub>2</sub> and photochemically produced  $\bullet$ OH:

$$NO_2 + \bullet OH + M \to HNO_3 + M$$
 (6.15)

We will refer to HNO<sub>3</sub> produced through R4 as HNO<sub>3</sub>(1) in the following discussion. Assuming no kinetic isotope fractionation associated with 6.15 (this will be discussed in a forth coming paper), the  $\delta^{x}$  of HNO<sub>3</sub>(1) can be calculated using mass-balance as the following (Eq. 6.16 - 6.17):

$$\delta^{15}N - HNO_3(1)(\%_0) = \delta^{15}N - NO_2(\%_0) \tag{6.16}$$

$$\delta^{18}O - HNO_3(1)(\%_0) = \frac{2}{3}(\delta^{18}O - NO_2(\%_0)) + \frac{1}{3}(\delta^{18}O - \bullet OH(\%_0))$$
(6.17)

This model indicates that the N and O isotopic composition of  $HNO_3(1)$  can be approximately calculated if  $\delta^x$ -NO<sub>2</sub> and  $\delta^{18}O$ - $\bullet$ OH are known. Using this proposed model, we calculated daytime  $\delta^{15}$ N-NO<sub>2</sub> according to Eq. 6.13 assuming local  $\delta^{15}$ N-NO<sub>x</sub> to range from -15 to 0% [208] and using N isotopic exchange fractionation factors for NO<sub>2</sub>/NO from our previous study [101]. These NO<sub>2</sub>/NO exchange fractionation factors were adapted to include corrections for ZPE anharmonicity (adapted  $\beta$  and  $\alpha$  values can be found in the Appendix). Predicted daytime  $\delta^{18}O$ -NO<sub>2</sub> values, relative to VSMOW, were calculated from Eq. 6.13 assuming  $\delta^{18}O$ -NO<sub>x</sub> to range from 112 to 122% [55] and using O isotopic NO<sub>2</sub>/NO exchange fractionation factors calculated in this study. The  $\delta^{18}O$  of  $\bullet$ OH should to a first order approximation reflect the  $\delta^{18}O$  of local water vapor and the temperature dependent fractionation factor associated with equilibrium  $\bullet$ OH/H<sub>2</sub>O exchange, since the atmospheric concentration of  $\bullet$ OH is orders of magnitude lower than H<sub>2</sub>O. (Eq. 6.18):

$$\delta^{18}O - \bullet OH(\%_0 = \delta^{18}O - H_2O(\%_0 + 1000(\alpha_{\bullet OH/H_2O} - 1))$$
(6.18)

Therefore,  $\delta^{18}$ O of  $\bullet$ OH can be approximated using an estimated tropospheric water vapor  $\delta^{18}$ O range of -25 to 0% (typical for mid-latitudes) [30] and O isotopic  $\bullet$ OH/H<sub>2</sub>O exchange fractionation factors calculated in this study.

The predicted  $\delta^{18}\text{O}-\delta^{15}\text{N}$  ranges of NO, NO<sub>2</sub>, and HNO<sub>3</sub>(1) at 300 K with  $f_{\text{NO}_2}$  = 0.70 exhibit significant variation (Fig. 6.4). The  $\delta^{15}\text{N}$  of HNO<sub>3</sub>(1) and NO<sub>2</sub> are calculated to range from -4.2 to 10.8‰, while  $\delta^{15}\text{N}$  of NO is calculated to range from -40.2 to -25.2‰. The positive shift in  $\delta^{15}\text{N}$  of HNO<sub>3</sub>(1) and NO<sub>2</sub> and the negative shift in  $\delta^{15}\text{N}$  of NO relative to the assumed  $\delta^{15}\text{N}$ -NO<sub>x</sub> value (-15 to 0‰) is a direct result of the N isotopic exchange between NO and NO<sub>2</sub> that favors the partitioning of <sup>15</sup>N into NO<sub>2</sub> ( $^{15}\alpha_{\text{NO}_2/\text{NO}} = 1.0370$  at 300 K). The  $\delta^{18}\text{O}$  of NO, NO<sub>2</sub>, and HNO<sub>3</sub>(1) are estimated to range from 115.3 to 125.3‰, 109.5 to 119.5‰, and 52.8 to 67.8‰ at 300 K (Fig. 6.4). The positive shift in  $\delta^{18}\text{O}$ -NO<sub>x</sub> (112 to 122‰) is a result of their O isotopic

exchange that favors the formation of  $^{18}{\rm O}$  in NO ( $18\alpha_{\rm NO_2/NO}=0.992$  at 300 K). The estimated  $\delta^{18}{\rm O}$ -HNO<sub>3</sub>(1) shift of -56.7% relative to NO<sub>2</sub> is a result of the 1/3 O contribution from  $\bullet$ OH that is predicted to have a  $\delta^{18}{\rm O}$  range of -60.5 to -35% due to its equilibration with H<sub>2</sub>O at 300 K ( $^{18}\alpha_{\bullet {\rm OH/H2O}}=0.9645$  at 300 K).

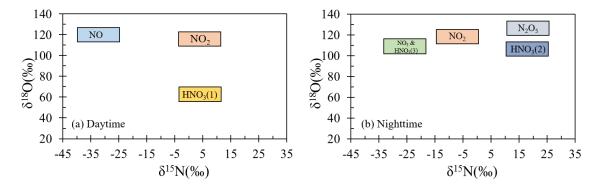


Fig. 6.4.: Estimated  $\delta^{18}\text{O-}\delta^{15}\text{N}$  compositions of several NO<sub>y</sub> molecules assuming (a) daytime isotopic equilibrium between NO/NO<sub>2</sub> with  $f_{\text{NO}_2} = 0.7$  and (b) nighttime isotopic equilibrium between NO<sub>2</sub>, NO<sub>3</sub>, and N<sub>2</sub>O<sub>5</sub>. Where HNO<sub>3</sub>(1), HNO<sub>3</sub>(2), and HNO<sub>3</sub>(3) represent various HNO<sub>3</sub> production pathways that include NO<sub>2</sub> +  $\bullet$ OH  $\rightarrow$  HNO<sub>3</sub>(1), N<sub>2</sub>O<sub>5</sub> + H2O + surface  $\rightarrow$  2HNO<sub>3</sub>(2), and NO<sub>3</sub> + R  $\rightarrow$  HNO<sub>3</sub>(3) + R $\bullet$ .

The impact of varying  $f_{NO_2}$  values on NO and NO<sub>2</sub> isotopic exchange and its influence on  $\delta^{15}N$  and  $\delta^{18}O$  of NO, NO<sub>2</sub>, and HNO<sub>3</sub>(1) are displayed in Table 6.4. Generally, it is observed that  $\delta_{15}N$  is highly sensitive to  $f_{NO_2}$ , but  $\delta^{18}O$  is not. For example, from  $f_{NO_2} = 1$  to  $f_{NO_2} = 0.55$ , the shift in  $\delta_{15}N$  of NO<sub>2</sub> and HNO<sub>3</sub>(1) is 16.3%, while the change in  $\delta^{18}O$  of NO<sub>2</sub> and HNO<sub>3</sub>(2) is -3.8% and -2.5%, respectively (Table 6.4). The degree of sensitivity of  $\delta^{15}N$  and  $\delta^{18}O$  in HNO<sub>3</sub>(1) to  $f_{NO_2}$  is a result of the magnitude of the NO<sub>2</sub>/NO equilibrium fractionation factors, in which N fractionation is fairly large ( $^{15}\alpha_{NO_2/NO} = 1.0370$  at 300 K), while O fractionation is relatively minor ( $^{18}\alpha_{NO_2/NO} = 0.992$  at 300 K). This isotope equilibrium mechanism predicts that  $\delta^{15}N$ -HNO<sub>3</sub>(1) will be sensitive to diurnal fluctuations in  $f_{NO_2}$ , which is a phenomenon that has been previously observed [52]. Additionally, this mechanism

Table 6.4: Shift in  $\delta^{15}N$  and  $\delta^{18}O$  of NO, NO<sub>2</sub>, and HNO<sub>3</sub>(1) relative to NO<sub>x</sub> as a function of  $f_{NO_2}$ .

ſ	$\delta^{15}$ N-	$\delta^{18}$ O-	$\delta^{15}$ N-	$\delta^{18}$ O-	$\delta^{15}$ N-	$\delta^{18}$ O-
$f_{NO_2}$	NO	NO	$NO_2$	$NO_2$	$HNO_3(1)$	$HNO_3(1)$
1	_	_	0	0	0	-57.5
0.85	-30.5	7.0	5.4	-1.2	5.4	-58.3
0.70	-25.3	5.8	10.8	-2.5	10.8	-59.2
0.55	-19.9	4.7	16.3	-3.8	16.3	-60.1

indicates that since O fractionation between NO and NO<sub>2</sub> is relatively minor,  $\delta^{18}$ O-HNO<sub>3</sub>(1) is approximately equal to the O isotope mass-balance between NO<sub>x</sub>-O<sub>3</sub> (in photochemical equilibrium) and  $\bullet$ OH.

In addition to  $f_{NO_2}$  dependence, the isotopic composition of HNO<sub>3</sub>(1) will also have a temperature dependence that is related to the temperature dependent  $\alpha_{NO_2/NO}$  and  $\alpha_{\bullet OH/H_2O}$  values. As temperature decreases,  $^{15}\alpha_{NO_2/NO}$  increases [101,216], and this will result in HNO<sub>3</sub>(1) having a higher  $\delta^{15}N$  value relative to the  $\delta^{15}N$ -NO<sub>x</sub> for a fixed  $f_{NO_2}$  value. Additionally, while  $^{18}\alpha_{NO_2/NO}$  is relatively insensitive to temperature (Fig. 6.2),  $^{18}\alpha_{\bullet OH/H_2O}$  values change significantly with temperature (i.e.  $^{18}\alpha_{\bullet OH/H_2O}$  = 0.9494 and 0.9682 at 220 K and 330 K, respectively). Therefore, the  $\delta^{18}O$ -HNO<sub>3</sub>(1) formed at lower temperatures will have a lower  $\delta^{18}O$  that is primarily the result of the temperature dependence of isotopic exchange between  $\bullet$ OH and H<sub>2</sub>O for a fixed  $\delta^{18}O$ -H<sub>2</sub>O. Overall, while it is difficult to predict the exact range of  $\delta^{18}O$ - $\delta^{15}N$  compositions of HNO<sub>3</sub>(1) due to its numerous dependences, the proposed mechanism predicts that  $\delta^{15}N$ -HNO<sub>3</sub>(1) should reflect  $\delta^{15}N$ -NO<sub>2</sub>, which should be slightly higher than  $\delta^{15}N$ -NO<sub>x</sub>; however,  $\delta^{18}O$ -HNO<sub>3</sub>(1) should be lower than  $\delta^{18}O$ -NO<sub>2</sub> as a result of the O isotopic mass-balance between NO<sub>2</sub> and  $\bullet$ OH.

# 6.4.2 Predicted nighttime HNO<sub>3</sub> $\delta^{18}$ O- $\delta^{15}$ N space

During the nighttime, higher N oxides form and new pathways of HNO<sub>3</sub> production become important due to the absence of photochemically produced  $\bullet$ OH. Under these conditions, NO<sub>2</sub> is oxidized by O<sub>3</sub> forming the NO<sub>3</sub> radical (6.19), which exists at thermal equilibrium with NO<sub>2</sub> and N<sub>2</sub>O<sub>5</sub> [234] (6.20). Subsequent hydrolysis of N<sub>2</sub>O<sub>5</sub> on a wetted surface forms HNO<sub>3</sub> (6.21).

$$NO_2 + O_3 \to NO_3 + O_2$$
 (6.19)

$$NO_2 + NO_3 \leftrightarrow N_2O_5$$
 (6.20)

$$N_2O_5 + H_2O + surface \rightarrow 2HNO_3$$
 (6.21)

This  $HNO_3$  formation pathway is typically most prevalent during the winter when  $N_2O_5$  is thermally stable [10,235]. Nighttime  $HNO_3$  may also form through hydrogen abstraction from organic compounds (R) by  $NO_3$ :

$$NO_{+}R \to HNO_3 + R \bullet$$
 (6.22)

Since photochemical cycling of  $NO_x$  shuts down during the night, nearly all  $NO_x$  exists as  $NO_2$ . Thus,  $\delta^x$ - $NO_x$  is approximately equal to  $\delta^x$ - $NO_2$  as isotopic exchange between NO and  $NO_2$  ceases [46,216]. However, isotopic equilibrium between  $NO_2$ ,  $NO_3$ , and  $N_2O_5$  is likely to be achieved mirroring its rapid chemical equilibrium rate (lifetime of 1 to 2 minutes; [190,218], which will have an impact on  $\delta^{18}O$  and  $\delta^{15}N$  of atmospheric nitrate produced during the night. Generally, the tropospheric concentrations of  $[NO_2] \gg [N_2O_5] \ge [NO_3]$ , as  $NO_2$  is typically on the order of ppb while  $N_2O_5$  and  $NO_3$  are on the order of ppt (e.g. [235]). Therefore, in most cases the isotopic composition of  $N_2O_5$  and  $NO_3$  should reflect the exchange  $\alpha$ 's with respect to  $NO_2$  (i.e.  $\alpha_{N_2O_5/NO_2}$  and  $\alpha_{NO_3/NO_2}$ ) and can be determined from the following:

$$\delta^{x}-N_{2}O_{5}(\%_{0}) = \delta^{x}-NO_{2}(\%_{0}) + 1000 \times ({}^{x}\alpha_{N_{2}O_{5}/NO_{2}} - 1)$$
(6.23)

$$\delta^{x}-NO_{3}(\%_{0}) = \delta^{x}-NO_{2}(\%_{0}) + 1000 \times ({}^{x}\alpha_{NO_{3}/NO_{2}} - 1)$$
(6.24)

Assuming a negligible kinetic isotopic fractionation associated with 6.21, the isotopic composition of atmospheric nitrate produced through  $N_2O_5$  hydrolysis, which we will refer to as  $HNO_3(2)$ , can be estimated from  $\delta^x$ - $N_2O_5$  and O isotopic mass-balance, our calculated exchange fractionation factors, and using an estimated  $\delta^{18}O$ - $H_2O$  range from -25 to 0%.

$$\delta^{15}N - HNO_3(2)(\%_0) = \delta^{15}N - N_2O_5(\%_0) \tag{6.25}$$

$$\delta^{18}O - HNO_3(2)(\%_0) = \frac{5}{6}(\delta^{18}O - N_2O_5(\%_0)) + \frac{1}{6}(\delta^{18}O - H_2O(\%_0))$$
 (6.26)

Assuming this model,  $N_2O_5$  and  $HNO_3(2)$  have an estimated  $\delta^{15}N$  range of 10.5 to 25.5% (Fig. 6.4), which is approximately 25.5% higher than  $NO_x$  as a result of the  $N_2O_5/NO_2$  exchange ( $^{15}\alpha_{N_2O_5/NO_2} = 1.0255$  at 300 K).  $\delta^{18}O-N_2O_5$  is estimated to range from 121.4 to 131.4% (Fig. 6.4), as a result of the O isotopic exchange between  $N_2O_5/NO_2$  ( $^{18}\alpha_{N_2O_5/NO_2} = 1.0094$  at 300 K). Assuming O mass-balance between  $N_2O_5$  and  $H_2O$  (Eq. 18),  $\delta^{18}O-HNO_3(2)$  is predicted to range from 97.0 to 109.5 % at 300 K. As temperatures decrease, the N and O fractionation from the  $N_2O_5/NO_2$  isotopic exchange will increase, and this should result in higher  $\delta^x-N_2O_5$  relative to the local  $NO_x$ .

Nitrate produced at night formed through the NO<sub>3</sub> hydrogen abstraction pathway, which we will refer to as HNO<sub>3</sub>(3), will have an O and N isotopic composition that is equal to the isotopic composition of NO<sub>3</sub>, assuming isotopic mass-balance and neglecting any kinetic isotopic fractionation associated with 6.22:

$$\delta^{15}N - HNO_3(3)(\%_0) = \delta^{15}N - NO_3(\%_0) \tag{6.27}$$

$$\delta^{18}O - HNO_3(3)(\%_0) = \delta^{18}O - NO_3(\%_0))$$
(6.28)

Assuming this isotopic mechanism,  $\delta^{15}N$  of NO<sub>3</sub> and HNO<sub>3</sub>(3) are estimated to range from -33.1 to -18.1‰, which is approximately 18.4‰ lower than the  $\delta^{15}N$ -NO<sub>2</sub> (Fig. 6.4). Additionally,  $\delta^{18}O$  of NO<sub>3</sub> and HNO<sub>3</sub>(3) is estimated to range from 102.9 to 112.9‰, which is approximately 9.7‰lower than  $\delta^{18}O$ -NO<sub>2</sub> (Fig. 6.4). Both  $\delta^{15}N$ 

and  $\delta^{18}$ O are lower in HNO<sub>3</sub>(3) relative to NO<sub>2</sub> due to isotopic exchange between NO<sub>3</sub> and NO<sub>2</sub> that favors both <sup>15</sup>N and <sup>18</sup>O partitioning in NO<sub>2</sub> (<sup>15</sup> $\alpha_{\text{NO}_3/\text{NO}_2} = 0.9819$  and <sup>18</sup> $\alpha_{\text{NO}_3/\text{NO}_2} = 0.9901$  at 300 K). Our calculations indicate that as temperatures decrease, both the N and O fractionation resulting from the NO<sub>3</sub>/NO<sub>2</sub> isotopic exchange will increase, which will result in higher  $\delta^{\text{x}}$ -HNO<sub>3</sub>(3) values.

It is important to note the uncertainty in the calculated  $^{\times}\beta$  of NO<sub>3</sub> that is related to the computational difficulties of NO<sub>3</sub> as previously discussed [172,173]. B3LYP/cc-pVTZ, EDF2/cc-pVTZ, and QCISD/cc-pVDZ models yielded  $^{18}\beta$  within 3.8% at 300 K (Fig. 6.2) indicating general agreement. However, these methods found a wider range in  $^{15}\beta$ , from 1.0715 to 1.0864 at 300 K, indicating a disagreement as high as 14.9%. Despite the uncertainty in  $^{15}\beta$  of NO<sub>3</sub>, all of the considered computational methods indicate that the  $^{15}\beta$  values of NO<sub>3</sub> are less than those of NO<sub>2</sub> (i.e.  $^{15}\alpha$ NO<sub>3</sub>/NO<sub>2</sub> < 1). Therefore, while the actual  $^{15}\beta$  values of NO<sub>3</sub> are somewhat uncertain, our calculations indicate that NO<sub>3</sub> should have lower  $\delta$ <sup>15</sup>N relative to NO<sub>2</sub>. In our calculations, we have used NO<sub>3</sub>  $^{15}\beta$  and  $^{18}\beta$  values calculated using EDF2/cc-pVTZ, because vibrational frequencies calculated with this method are in closer agreement with experimental data [229–231] (Table 6.1).

## 6.4.3 Implications of $\delta^{18}\text{O-}\delta^{15}\text{N}$ compositions in HNO<sub>3</sub>

Assuming the same range of starting N and O isotopic compositions of  $NO_x$  ( $\delta^{15}N-NO_x$ : -15 to 0‰,  $\delta^{18}O-NO_x$ : 112 to 122‰), our calculations suggest that different HNO<sub>3</sub> formation pathways yield relatively distinctive  $\delta^{18}O-\delta^{15}N$  compositions (Fig. 6.5). Our calculations indicate that HNO<sub>3</sub>(1) should result in the lowest  $\delta^{18}O$  values and mid-ranged  $\delta^{15}N$  values. Our model predicts that HNO<sub>3</sub>(2) and HNO<sub>3</sub>(3) should have high  $\delta^{18}O$  values that are similar. However, HNO<sub>3</sub>(2) has the highest predicted  $\delta^{15}N$  values, while HNO<sub>3</sub>(3) has the lowest  $\delta^{15}N$  values. This suggests that  $\delta^{18}O-\delta^{15}N$  arrays for different HNO<sub>3</sub> production pathways can be used as isotopic end-

members in isotope mixing models that might explain  $^{15}{\rm N}$  and  $^{18}{\rm O}$  values observed in atmospheric nitrate.

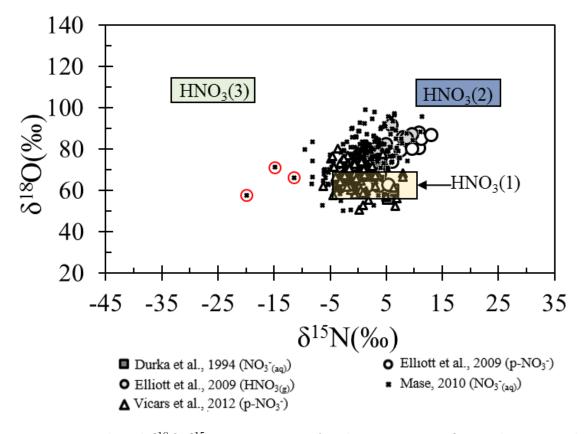


Fig. 6.5.: Predicted  $\delta^{18}\text{O-}\delta^{15}\text{N}$  compositions for three major HNO<sub>3</sub> production pathways that is compared with previous atmospheric nitrate measurements [28,49,51,52]. Where HNO<sub>3</sub>(1), HNO<sub>3</sub>(2), and HNO<sub>3</sub>(3) represent various HNO<sub>3</sub> production pathways that include NO<sub>2</sub> +  $\bullet$ OH  $\rightarrow$  HNO<sub>3</sub>(1), N<sub>2</sub>O<sub>5</sub> + H<sub>2</sub>O + surface  $\rightarrow$  2HNO<sub>3</sub>(2), and NO<sub>3</sub> + R  $\rightarrow$  HNO<sub>3</sub>(3) + R $\bullet$ . The data points within the red circles are outside of the predicted equilibrium  $\delta^{18}\text{O-}\delta^{15}\text{N}$  space, which may indicate a NO<sub>x</sub> source with an extremely low  $\delta^{15}\text{N}$  value such as soil denitrification.

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These predicted  $\delta^{15}$ N and  $^{18}$ O isotopic end-members were compared with measured  $\delta^{18}$ O- $\delta^{15}$ N values of atmospheric nitrates (Fig. 6.5), including HNO<sub>3(g)</sub> [28], p-NO<sub>3</sub><sup>-</sup> [28,49,52] and NO<sub>3</sub><sup>-</sup><sub>(aq)</sub> [51]. The measured  $\delta^{18}$ O- $\delta^{15}$ N values in atmospheric

nitrate plot between the two major HNO<sub>3</sub> formation pathways HNO<sub>3</sub>(1) and HNO<sub>3</sub>(2) predicted by this equilibrium model (Fig. 6.5). However, some of the reported  $\delta^{18}$ O- $\delta^{15}$ N values appear to represent a mixture of HNO<sub>3</sub>(1) and HNO<sub>3</sub>(3), which is most likely to occur for areas with high DMS/VOC concentrations such as marine and forest ecosystems [236]. Our predicted  $\delta^{18}$ O- $\delta^{15}$ N compositions may explain both the  $\delta^{18}$ O and  $\delta^{15}$ N seasonal cycling typically observed in atmospheric nitrate, in which  $\delta^{18}$ O and  $\delta^{15}$ N values are highest in the winter and lowest during the summer [28,31,49,50,190]. During winter, the HNO<sub>3</sub>(2) pathway is generally most prominent [10,235], and our calculations suggest that this pathway results in high  $\delta^{18}$ O and  $\delta^{15}$ N values. During summer, the HNO<sub>3</sub>(1) pathway is generally most prominent due to the higher concentrations of photochemically produced •OH [10], and our calculations suggest this pathway will result in  $\delta^{15}$ N and  $\delta^{18}$ O values lower than those for the HNO<sub>3</sub>(2) pathway.

While  $\delta^{18}{\rm O}$  and  $\Delta^{17}{\rm O}$  of atmospheric nitrate has been previously linked to  ${\rm NO_x}$ oxidation pathways [30,53,210], our calculations suggest that  $\delta^{15}N$  may also be linked to oxidation chemistry. However, seasonal changes in  $\delta^{15}N$  of atmospheric nitrate will also be impacted by changes in seasonal emissions of NO<sub>x</sub> by a variety of processes, particularly NO emitted by nitrification and denitrification occurring in soils. In areas where  $NO_x$  emissions should not change seasonally, such as areas dominated by fossilfuel combustion, any observed seasonal change in  $\delta^{15}N$  of atmospheric nitrate suggests seasonal changes in NO<sub>x</sub> oxidation chemistry. In areas in which NO<sub>x</sub> emissions exhibit a large seasonal change, such as agricultural regions, the  $\delta^{15}N$  of atmospheric nitrate will be a function of both the seasonal change in  $\delta^{15}$ N-NO<sub>x</sub> and in the NO<sub>x</sub> oxidation equilibrium isotope effect. The equilibrium model indicates that it may be difficult to partition NO<sub>x</sub> sources solely from  $\delta^{15}$ N-HNO<sub>3</sub> values, since the  $\delta^{15}$ N tends to be sensitive to equilibrium effects that may alter the original NO<sub>x</sub> source  $\delta^{15}$ N value as it is oxidized to HNO<sub>3</sub> (Fig. 6.4). However, evaluation of  $\delta^{15}$ N- $\delta^{18}$ O space of atmospheric nitrate may help elucidate NO<sub>x</sub> emission sources in some cases. Atmospheric nitrate outside of the calculated  $\delta^{18}\text{O-}\delta^{15}\text{N}$  compositional range might indicate its  $NO_x$  precursor had a distinctive  $\delta^{15}N$  outside of the typical range of -15 to 0%. For example, soil denitrification events, which are estimated to have a low  $\delta^{15}N$ - $NO_x$  (approximately between -50 to -20%; [39, 41, 42] may be trackable with atmospheric nitrate  $\delta^{18}O$ - $\delta^{15}N$  measurements. If emitted  $NO_x$  is oxidized through the  $HNO_3(1)$  pathway, it should have low  $\delta^{15}N$  and  $\delta^{18}O$ , which does not match the equilibrium predictions (Fig. 6.5). This sort of event may explain why a few of the atmospheric nitrate measurements from ref [49] is outside of the general predicted  $HNO_3$  formation  $\delta^{18}O$ - $\delta^{15}N$  range (Fig. 6.5). These rainwater nitrates were collected at N deposition sites after a storm saturated recently fertilized agricultural fields in the Midwestern U.S., suggesting NO produced by nitrification/denitrification.

While our predicted equilibrium  $\delta^{18}\text{O}-\delta^{15}\text{N}$  compositions generally agrees with atmospheric nitrate measurements [28, 49, 51, 52], it is important to point out the simplicity of our model. Primarily, we have ignored any kinetic isotope effects. If equilibrium between  $NO_2$ ,  $NO_3$ , and  $N_2O_5$  is achieved, kinetic isotope effects associated with the formation of  $NO_3$  and  $N_2O_5$  should be erased. However, kinetic isotopic effects will need to be considered for the photochemical cycling of NO<sub>x</sub>, that includes NO<sub>2</sub> photolysis and NO oxidation, as these MDFPs are predicted to have an impact on the N and O isotopic composition of NO<sub>2</sub> [216], which may then be propagated into NOy molecules. Additionally, we will need to determine kinetic isotope fractionation factors for the final step in forming atmospheric nitrate (i.e. 6.15, 6.21, 6.22), which may play an important role in its N and O isotopic composition. Our equilibrium model suggests that the isotopic composition of NO<sub>2</sub> drives the isotopic composition of atmospheric nitrate indicating the need for future in situ isotopic measurements of NO<sub>2</sub>. Determination of NO<sub>x</sub> photochemical cycling fractionation factors, isotopic in situ measurements of NO<sub>2</sub>, and kinetic isotopic modeling of NO<sub>x</sub> oxidation will be the subject for future research.

#### 6.5 Conclusions

Hybrid density functional theory calculations indicate that oxygen equilibrium isotopic exchange involving NO<sub>y</sub> molecules generally favors the formation <sup>18</sup>O in NO<sub>y</sub> molecules other than NO and NO<sub>2</sub> at the most likely O exchange sites. Therefore, equilibrium isotope exchange may play a role in the  $\delta^{18}$ O of atmospheric nitrate in addition to the O isotopic mass-balance of its precursor molecules and oxidants. A simple equilibrium and mass-balance model indicates that the three major HNO<sub>3</sub> formation pathways may have unique  $\delta^{18}$ O- $\delta^{15}$ N compositions. This model generally predicts a range of  $\delta^{18}$ O- $\delta^{15}$ N values consistent with HNO<sub>3</sub> measurements and suggests that  $\delta^{18}$ O- $\delta^{15}$ N space of atmospheric nitrate may provide useful information about the conditions of NO<sub>x</sub> oxidation pathways. This model may explain the seasonal changes typically observed in both  $\delta^{18}$ O and  $\delta^{15}$ N of atmospheric nitrate.

# 7. AB INITIO STUDY OF NITROGEN AND POSITION-SPECIFIC OXYGEN KINETIC ISOTOPE EFFECTS IN THE NO + O $_3$ REACTION

The following chapter is a reprint from an article currently in press (Walters, W. W.; Michalski, G. *Ab initio* study of nitrogen and position-specific oxygen kinetic isotope effects in the NO +  $O_3$  reaction, *J. Chem. Phy.*).

#### 7.1 Introduction

Nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>) are important trace gases that influence the concentrations of atmospheric oxidants that drive tropospheric and stratospheric chemistry [1–3,6]. During the daytime, NO<sub>x</sub> exists in a closed photochemical cycle between NO-O<sub>2</sub>-O<sub>3</sub>-NO<sub>2</sub> in the atmosphere, known as the Leighton Cycle [6,7]. This cycle is initiated when NO<sub>2</sub> is photolyzed by UV-visible light in the blue region of the spectrum (< 400 nm) yielding O( $^3$ P) the ground state of the oxygen atom. This liberated oxygen atom can combine with O<sub>2</sub> to form O<sub>3</sub>, which then oxidizes NO back to NO<sub>2</sub> [6,7] (7.1-7.4):

$$NO + h\nu \to NO + O(^{3}P) \tag{7.1}$$

$$O(^{3}P) + O_{2} \to O_{3}^{*}$$
 (7.2)

$$O_3^* + NO \to NO_2 + O_2$$
 (7.3)

$$O_3 + NO \to NO_2 + O_2 \tag{7.4}$$

The analysis of the oxygen and nitrogen stable isotopes of  $NO_x$  and its oxidation product, atmospheric nitrate, may help in our understanding of this photochemical cycling [30,53–56] and sources of  $NO_x$  [33,45,208]. Variations in oxygen and nitrogen isotope compositions are reported using  $\delta(\%_0)$  notation where  $\delta^x O(\%_0)$  and  $\delta^{15} N(\%_0)$ 

=  $(R_{sample}/R_{ref} - 1) \times 1000$ , where  $R_{sample}$  and  $R_{ref}$  denote the  $^{x}O/^{16}O$  (x = 17 or 18) or  $^{15}N/^{14}N$  in the sample or reference, respectively. The oxygen isotopic reference is Vienna Standard Mean Ocean Water (VSMOW) and the nitrogen isotopic reference is atmospheric air. However,  $\delta^{18}O$  and  $\delta^{15}N$  of  $NO_{x}$  may also be influenced by isotopic fractionation processes associated with the Leighton cycle, [46, 216], which may be propagated into atmospheric nitrate [216], yet few of these fractionation factors have been determined.

The photochemical cycling of  $NO_x$  is rapid, and prior experimental investigations of this cycling has suggested that isotopic equilibrium is achieved between  $O_3$  and  $NO_x$ , erasing any original O isotopic  $NO_x$  signatures [55]. Several studies have shown that atmospheric  $O_3$  has an elevated  $\delta^{18}O$  and a strong mass-independent component that is quantified by  $\Delta^{17}O$  notation [48,57,58,212,213,237]:

$$\Delta^{17}O(\%) = 1000 ln \left( 1 + \frac{\delta^{17}O}{1000} \right) - 0.52 \times 1000 ln \left( 1 + \frac{\delta^{18}O}{1000} \right)$$
 (7.5)

In Eq. 7.5 the  $\lambda$  is mass-dependent coefficient, which may be approximated as 0.52 [238]. During the photochemical cycling of NO<sub>x</sub>, these elevated  $\delta^{18}$ O and  $\Delta^{17}$ O signatures of O<sub>3</sub> are transferred to NO<sub>x</sub> as a result of 7.4 [55, 56, 209]. While  $\Delta^{17}$ O of the transferred O atom from O<sub>3</sub> to NO<sub>2</sub> should be minimally impacted,  $\delta^{18}$ O may be significantly altered as a result of the mass-dependent fractionation associated with the kinetic isotope effect of 7.4. Thus, the kinetic isotope effect associated with 7.4 may play an important role on the  $\delta^{18}$ O of NO<sub>x</sub>, which may be propagated into atmospheric nitrate, yet this fractionation factor is relatively unknown.

The kinetic isotope effect associated with R4 may also play an important role on the  $\delta^{15}{\rm N}$  of NO<sub>2</sub>. Previously,  $\delta^{15}{\rm N}$  of NO<sub>x</sub> and atmospheric nitrate has been suggested to provide information about NO<sub>x</sub> sources [27, 28, 32, 190]. Numerous studies have quantified  $\delta^{15}{\rm N}$  from various NO<sub>x</sub> sources, and these results indicate that soil emission (denitrification), transportation related sectors, and coal-fired power plants have relatively distinctive  $\delta^{15}{\rm N}$  values [32, 33, 35, 39–43, 45, 100, 208]. These works have motivated several  $\delta^{15}{\rm N}$  studies of atmospheric nitrate as a way to partition NO<sub>x</sub>

sources to evaluate local/regional changes in NO<sub>x</sub> source budgets [27, 28, 112]. However, the isotopic fractionation processes associated with the photochemical cycling of NO<sub>x</sub> such as 7.4, NO<sub>2</sub> photolysis, and NO<sub>x</sub> isotopic exchange may alter the N isotopic composition of NO and NO<sub>2</sub> relative to total NO<sub>x</sub> [216]; however, except for NO<sub>x</sub> isotope exchange, these fractionation processes impact on  $\delta^{15}$ N is relatively unknown [216]. If these fractionation processes are significant, daytime  $\delta^{15}$ N-NO<sub>2</sub> may not equal  $\delta^{15}$ N-NO<sub>x</sub> [216,217], which has important implications for atmospheric nitrate formed during the daytime, because it is primarily formed through the reaction between NO<sub>2</sub> and photochemically produced  $\bullet$ OH [10]. Therefore, it is important to understand the kinetic isotope effect associated with 7.4 and its implications for  $\delta^{15}$ N of daytime produced atmospheric nitrate.

Bigeleisen demonstrated kinetic isotope effects (KIEs) could be approximated for reactions such as 7.4 using transition state theory if the vibrational frequencies of the reactants and transition state are known [239]. Unfortunately, transition state frequencies for many isotopologues in  $NO_x$  related reactions, such as 7.4, are unknown. Previously, ab initio methods have been used to calculate the reaction mechanism, thermochemistry, and vibrational frequencies of [240], but only for the main isotopologues. The present study builds on Ref. [240] by employing ab initio methods to calculate nitrogen and position-specific oxygen KIEs associated with 7.4. This will allow for an understanding of the impact 7.4 has on the  $\delta^{18}O$  value of the transferred O atom from  $O_3$  to NO and on  $\delta^{15}N$  as NO is oxidized to  $NO_2$ .

#### 7.2 Methods

The assumed reaction mechanism of 7.4 is shown in Fig. 7.1, which is based on the results from Ref. [240]. In this previous study, geometries and vibrational frequencies for all stationary points along the PES have been calculated by UHF, UMP2 and UMP4 methods with the 6-31G(d), 6-311G(d), and 6-311G(d,f) basis sets [240]. Unfortunately the UMP2 and UMP4 levels predicted anomalous vibra-

tional frequencies for the radicals NO and  $NO_2$  due to spin contamination and for  $O_3$ due to its multirefernce character [240]. These inaccurate vibrational frequencies will have a significant impact on the accuracy of the calculated KIEs. Therefore, we have recalculated the geometries for a portion of the potential energy surface (PES) that included the reactants, products, and rate-determining transition state, which has been previously determined to be the NO radical approach to  $O_3$  (TS1, Fig. 7.1) [240], using the high-level quantum mechanical method CCSD(T) with the 6-31G(d) and 6-311G(d) basis sets. The reactants and products geometry optimizations were carried out using default convergence criteria, while TS1 was optimized from calculated force constants at the previously reported UMP2(full)/6-31G(d) geometry [240]. Vibrational frequency analysis was performed to confirm that the obtained structures are stationary points along the PES that correspond to either a local minimum (3n-6 or 3n-5 real normal modes of vibration) or a transition state (exactly one imaginary frequency). Systematic model errors in the calculated harmonic frequencies have been accounted for by applying a constant scale factor of 0.9899 and 0.9542 for CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively. All calculations were performed with the Gaussian09 program package revision D.01 [226] on the Purdue Radon cluster.

Nitrogen and position-specific oxygen KIEs were calculated in accordance with the Born-Oppenheimer, rigid-rotor and harmonic approximations, using the complete Bigeleisen equations [239] as implemented in the ISOEFF program [241] at 220, 250, 273, 298, and 320 K. Both the Bigelesien equations and the ISOEFF program define the calculated KIE as the ratio of reaction coefficients of the light to heavy isotope. However, since we are interested in the relative rate of the heavy to light isotope, we report all calculated KIEs as the ratio of the heavy to the light isotope (Eq. 7.6):

$$KIE = \alpha = \frac{k_{\rm H}}{k_{\rm I}} \tag{7.6}$$

where  $\alpha$  is the calculated KIE, which is also referred to as the isotopic fractionation factor, and H and L refer to the heavy and light isotope of a particular isotopologue pair, respectively. Corrections for tunneling and anharmonicity were neglected as these isotope effects tend to be small [242] and tend to cancel each other out for

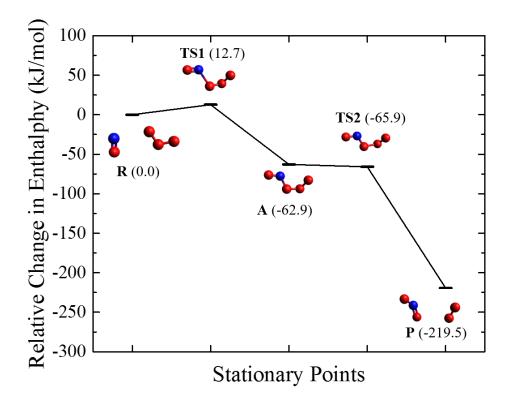


Fig. 7.1.: Schematic diagram of the assumed potential energy curve for the reaction NO +  $O_3 \rightarrow NO_2 + O_2$  based on prior calculations using QCISD(T)/6-311G(2d)//UMP2(full)/6-31G(d) [240] where **R**, **TS1**, **A**, **TS2**, and **P** refer to the reactants, transition state 1, intermediate, transition state 2, and products, respectively. Relative energies with respect to the reactants NO +  $O_3$  are given in kJ/mol and includes ZPE and thermal corrections (298 K).

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heavy atoms [243]. Neglect of tunnel effects may be validated due to the involved heavy atoms and the observed low frequency modes along the reaction coordinate. Previously, errors in the frequencies and neglect of anharmonicity of the vibrational modes particularly the low-frequency modes associated with a floppy transition state have been reported to cause little error in the calculated KIE using the complete Bigelesien equations in the harmonic and rigid-rotor approximations (Beno et al., 1996). Thus, we expect these effects to minimally impact the calculated KIE.

#### 7.3 Results and Discussion

## 7.3.1 Calculated geometries and vibrational frequencies

Table 7.1 compares the geometries and harmonic frequencies for the most abundant isotopologues of NO,  $O_3$ ,  $NO_2$ , and  $O_2$  calculated by CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d) with experimental data [72,79,198,199,244–247]. Overall, the calculated geometries for the reactants and products are in excellent agreement with experimental data, as calculated bond lengths and bond angles are within 0.023 Å and 0.4 degrees of experimental data [72,245,247] (Table 7.1). Additionally, the calculated harmonic frequencies (scaled) are within a maximum difference of 92.2 cm<sup>-1</sup> and an average error of 29.9 cm<sup>-1</sup> and 43.9 cm<sup>-1</sup> relative to experimental data [79,198,199,244] at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively. Cartesian coordinates of the optimized geometries and the scaled harmonic frequencies calculated for the major isotopologue of NO,  $O_3$ ,  $NO_2$ , and  $O_2$  from CCSD(T)-631G(d) and CCSD(T)/6-311G(d) computed force constants are available in the Appendix.

An important point in accurately calculating KIEs, is accounting for relative changes in vibrational energies due to substitution of a heavier isotope. Table 7.2 compares the differences in calculated vibrational zero point energies (ZPE =  $\frac{1}{2}$ h $\nu$ ) for various reactant and product  $^{15}$ N and  $^{18}$ O isotopologues relative to the major isotopologues for CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d) with available experimental data [198, 202, 244, 246]. The difference in vibrational energies for each minor isotopologues relative to the most abundant are shown in parentheses in Table 7.2. Our calculated relative difference in ZPE due to substitution of a heavier isotope is within 1.2 cm<sup>-1</sup> of experimental data and an average relative difference of 0.34 and 0.61 cm<sup>-1</sup> for CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively (Table 7.2).

Table 7.1: Calculated and experimental geometries and harmonic frequencies (cm-1) of reactants and products calculated at CCSD(T)/6-31G(d) and CCSD(T)/6-31G(d). Bonds and angles are given in degrees and angstroms, respectively.

parameter	CCSD(T)/6-31G(d)	CCSD(T)/6-31G(d)	Exp
NO			
r(N-O)	1.169	1.154	1.154 <sup>a</sup>
$\omega_1$	1947.7	1996.3	1904.1 <sup>b</sup>
$O_3$			
r(O-O)	1.296	1.276	$1.278^{c}$
<(O-O-O)	116.5	116.9	116.8 <sup>c</sup>
$\omega_1$	1106.8	1095.5	1103.2 <sup>d</sup>
$\omega_2$	679.3	687.0	701.4 <sup>d</sup>
$\omega_3$	984.3	988.2	1042.1 <sup>d</sup>
$NO_2$			
r(N-O)	1.216	1.202	$1.193^{c}$
<(O-N-O)	133.7	134.0	134.1 <sup>c</sup>
$\omega_1$	1333.4	1317.5	$1355.9^{e}$
$\omega_2$	723.1	697.3	$756.8^{e}$
$\omega_3$	1701.6	1697.7	1663.5 <sup>e</sup>
$O_2$			
r(O-O)	1.229	1.210	$1.208^{\rm f}$
$\omega_1$	1562.7	1528.9	1580.4 <sup>g</sup>

 $<sup>^{\</sup>rm a}$  ref. [247]  $^{\rm b}$  ref. [198]  $^{\rm c}$  ref. [245]  $^{\rm d}$  ref. [79]  $^{\rm e}$  ref. [199]  $^{\rm f}$  ref. [72]  $^{\rm g}$  ref. [244]

Table 7.2: Comparison of calculated ZPE (cm<sup>-1</sup>) using fundamental frequencies derived from experimental data, and calculated using scaled CCSD(T)/6-31G(d), and CCSD(T)/6-311G(d) frequencies. The relative difference in the ZPE (ZPE) for the minor isotopologues relative to the most abundant is shown in parentheses (cm<sup>-1</sup>).

		ZPE	
	Exp	CCSD(T)/6-31G(d)	CCSD(T)/6-311G(d)
$^{-14}N^{16}O$	$953.9^{a}$	975.8	1000.1
$^{15}{\rm N}^{16}{\rm O}$	$936.9 (17.1)^{a}$	958.4 (17.4)	982.3(17.9)
$^{14}{\rm N}^{18}{\rm O}$	$928.8 (25.1)^{a}$	$950.1\ (25.7)$	973.8 (26.3)
$^{16}{\rm O}^{16}{\rm O}^{16}{\rm O}$	$1425.9^{\rm b}$	1388	1388.2
$^{18}{\rm O}^{16}{\rm O}^{16}{\rm O}$	$1404.3 (21.6)^{b}$	1366.2 (21.7)	1366.5 (21.7)
$^{16}{\rm O}^{18}{\rm O}^{16}{\rm O}$	$1390.8 (35.1)^{\rm b}$	1352.9 (35.1)	1352.9 (35.2)
$^{14}{\rm N}^{16}{\rm O}^{16}{\rm O}$	$1871.3^{c}$	1882.8	1860
$^{15}{\rm N}^{16}{\rm O}^{16}{\rm O}$	$1841.3 (30.0)^{c}$	1852.2 (30.6)	1830.3 (29.7)
$^{14}{\rm N}^{18}{\rm O}^{16}{\rm O}$	$1844.0 (27.3)^{c}$	1855.3 (27.5)	1830.3 (29.7)
$^{16}{\rm O}^{16}{\rm O}$	$791.8^{\rm d}$	782.9	766
$^{18}{\rm O}^{16}{\rm O}$	$769.2 (22.5)^{d}$	760.8(22.1)	744.4 (21.6)

<sup>&</sup>lt;sup>a</sup> ref. [198] <sup>b</sup> ref. [246] <sup>c</sup> ref. [202] <sup>d</sup> ref. [244]

This comparison shows the ability of our chosen level of theories to accurately reproduce changes in ZPE due to isotopic substitution. Therefore, CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d) should be sufficient methods to calculate the relative changes in thermodynamic properties of the N and O isotopologues necessary to determine the KIEs of 7.4.

The calculated geometries of **TS1** and atom labeling scheme is displayed in Fig. 7.2. As expected for an exothermic reaction, **TS1** shows very small perturbations of geometrical parameters relative to the reactants [248]. Except for  $O_4$ , the atoms of TS1 are nearly planar as evident from the dihedral angle  $<(O_1-N_1-O_2-O_3)$  of -

169.6° and -171.3° at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively (Fig. 2), which is a similar finding to geometry of TS1 calculated at the UMP2/6-31(d) level of theory [240]. The imaginary frequency corresponding to the reaction coordinate is calculated to be 337.5i and 335.1i for CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively, which is in close agreement with the previous calculated value of 352.9i at UMP2/6-31G(d) [240]. Cartesian coordinates of the optimized geometries and the scaled harmonic frequencies calculated for the major isotopologues of TS1 from CCSD(T)-631G(d) and CCSD(T)/6-311G(d) computed force constants are available in the Appendix.

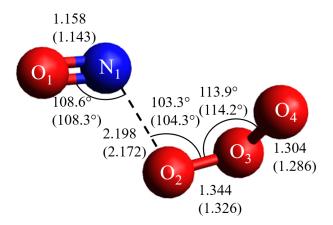


Fig. 7.2.: Optimized geometry of TS1 at CCSD(T)/6-31G(d) and CCSD(T)/6-31IG(d) (given in parentheses). Bond lengths are in Angstroms and angles are in degrees. The dihedral angles of  $TS1 < (O_1-N_1-O_2-O_3)$  and  $< (N_1-O_2-O_3-O_4)$  are -  $169.6^{\circ}(-171.3^{\circ})$  and  $77.8^{\circ}(78.6^{\circ})$ , respectively.

Table 7.3: Calculated isotopic enrichment factors ( $\epsilon$ ) at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d) expressed in units of per mil (%) at 298 K.

Reaction	CCSD(T)/6-31G(d)	CCSD(T)/6-31G(d)
$\boxed{ \text{ON} + {}^{18}\text{OOO} \rightarrow \text{ON}{}^{18}\text{O} + \text{OO} }$	-43.9	-44.7
$ON + {}^{17}OOO \rightarrow ON^{17}O + OO$	-23.3	-23.7
$ON + O^{18}OO \rightarrow ONO + {}^{18}OO$	-12.7	-14.1
$O^{15}N + OOO \rightarrow O^{15}NO + OO$	-7.7	-6.7
$ON + O^{17}OO \rightarrow ONO + {}^{17}OO$	-6.7	-7.4
$17ON + OOO \rightarrow 17ONO + OO$	0.2	-0.6
$18ON + OOO \rightarrow 18ONO + OO$	0.3	-1.3
$ON + OO^{17}O \rightarrow ONO + O^{17}O$	0.4	-0.2
$ON + OO^{18}O \rightarrow ONO + O^{18}O$	0.8	-0.3

## 7.3.2 Calculated kinetic isotope effects

Calculated nitrogen and position-specific oxygen  $\alpha$  values at 298 K are reported in Table 7.3 as isotopic enrichment factors in units of per mil (%<sub>0</sub>) (7.7):

$$\epsilon(\%_0) = 1000(\alpha - 1) \tag{7.7}$$

Both the CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d) methods calculate similar  $\epsilon(\%_0)$  values that differed by no more than 1.6% (Table 7.3). The largest KIE is observed to occur for the substitution of <sup>18</sup>O along the reaction coordinate (the ON + <sup>18</sup>OOO  $\rightarrow$  ON<sup>18</sup>O + OO) that is calculated to be -43.9 and -44.7% using CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d) calculated frequencies, respectively (Table 7.3). The magnitude of the KIE is observed to decrease as the isotopic substitution position is further away for the reaction coordinate (i.e. secondary KIEs) as expected (Table 3). Isotopic enrichment factors have also been calculated at 220, 250, 273, and 320 K and are provided in the Appendix. These values will be useful for future isotopic modeling of NO<sub>x</sub> chemistry.

Oxygen mass-dependent relationships  $(\ln(^{17}\alpha)/\ln(^{18}\alpha))$  [238] for position-specific oxygen isotopic substitution are displayed in Table 4. Generally,  $(\ln(^{17}\alpha)/\ln(^{18}\alpha))$  is

Table 7.4:	Calculated oxygen	n mass-dependence	relationships	$(\ln(^{17}\alpha)/\ln(^{18}\alpha))$	and
mass-indep	endence values ( $\Delta$	<sup>17</sup> O) at 298 K.			

	$\ln(^{17}lpha)/\ln(^{18}lpha)$		$\Delta^{17}\mathrm{O}(\%_0)$	
Reaction	$\overline{\text{CCSD}(T)}/$	CCSD(T)/	$\overline{\mathrm{CCSD}(\mathrm{T})/}$	CCSD(T)
Reaction	6 - 31G(d)	6 - 311G(d)	6-31G(d)	/6-311G(d)
$^{\mathrm{x}}\mathrm{ON} + \mathrm{OOO} \rightarrow ^{\mathrm{x}}\mathrm{ONO} + \mathrm{OO}$	0.707	0.482	-0.05	-0.05
$ON + {}^{x}OOO \rightarrow ON {}^{x}O + OO$	0.524	0.525	0.20	0.21
$ON + O^xOO \rightarrow ONO + {}^xOO$	0.527	0.527	0.08	0.10
$ON + OO^xO \rightarrow ONO + O^xO$	0.527	0.526	-0.01	0.02

 $\Delta^{17}O(\%_0)$  calculated from Eq. 7.8.

found to range between 0.5244 to 0.5270, which is close to generally accepted value of 0.520 [238]. However,  $(\ln(^{17}\alpha)/\ln(^{18}\alpha))$  is found to have an anonymously large deviation from 0.520 for the  $^{\times}$ ON + OOO  $\rightarrow$   $^{\times}$ ONO + O<sub>2</sub> reaction that is calculated to be 0.7072 and 0.4822 for CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively (Table 7.5), which occurs due to both  $^{18}\alpha$  and  $^{17}\alpha$  being close to unity [141]. Despite this deviation, this reaction will have a minimal impact on  $\Delta^{17}\alpha$  being close to unity, where  $\Delta^{17}$ O is calculated as:

$$\Delta^{17}O(\%_0) = 1000 ln \Big( 1 + (^{17}\alpha - 1) \Big) - \lambda \times 1000 ln \Big( 1 + (^{18}\alpha - 1) \Big)$$
 (7.8)

In Eq. 7.8,  $\lambda$  is assumed to be 0.52.

## 7.3.3 Comparison with experimental data

## KIE in Unreacted O<sub>3</sub>

Previously, Ref. [249] experimentally determined the kinetic isotopic fractionation associated with the NO + O<sub>3</sub>  $\rightarrow$  NO<sub>2</sub> + O<sub>2</sub> reaction by reacting NO with excess O<sub>3</sub> and measuring the O isotopic composition of the unreacted O<sub>3</sub> and reported an overall  $\epsilon(\%_0)$  of -30.5% for <sup>18</sup>O. This experimentally determined  $\epsilon(\%_0)$  value

does not correspond a singular KIE, rather it is roughly a statistical average for all the KIEs associated with the various  $^{18}$ O isotopomers of  $O_3$  and their reactions with NO. In order to quantitatively evaluate our calculated KIEs with respect to the results obtained by Ref. [249], we modeled the kinetics for reactions of the various  $O_3$  isotopomers with NO using a subset of a previously published  $NO_x$  cycle chemical kinetics model [55], utilizing *Kintecus*, a chemical kinetics compiler [73].

The NO<sub>x</sub> cycling kinetics model previously published by Ref. [55] contains numerous facets of the NO<sub>x</sub> cycle including NO<sub>2</sub> dissociation, oxygen isotope exchange, ozone formation, ozone dissociation, NO oxidation by O<sub>3</sub>, NO oxidation by O-atom, NO<sub>2</sub> reaction with O-atom, NO<sub>2</sub> exchange with O-atom, NO exchange with O-atom,  $NO_2$  exchange with NO, and NO oxidation by  $O_2$ . Here, we are only interested in the KIE associated with the reaction of the various isotopomers of  $O_3$  with NO, so only the reactions pertaining to this reaction was used in the present study, which are displayed in Table 7.5. In our model, we have only considered <sup>18</sup>O isotopic substitution due to this reaction being a mass-dependent fractionation process. Since we are interested in the relative change of the <sup>18</sup>O isotopic composition of O<sub>3</sub>, the starting composition is unimportant, so we specified a starting  $\delta^{18}O(O_3)$  of 0% that was assumed to be uniformly distributed within  $O_3$ . While there is experiment evidence that  $\delta^{18}$ O is not uniformly distributed within O<sub>3</sub> [250], this should have a minor impact on the relative change of bulk isotopic composition of  $\delta^{18}O$  in our model. The reaction of NO with the main isotopologue of  $O_3$  ( $^{16}O^{16}O^{16}O$ ) was set to 1.73  $\times$  10<sup>-14</sup> cm<sup>3</sup>molecules<sup>-1</sup>s<sup>-1</sup> [206], and the reactions of NO with the <sup>18</sup>O substituted  $O_3$  isotopologues/isotopomers were scaled by their position-specific oxygen  $\alpha$  value. Additionally, the reactions involving the asymmetric isotopic substituted  $O_3$  isotopologue (<sup>18</sup>OOO) were scaled by 0.5 to account for reaction channel symmetry (Table 7.5). Our model was initiated with a  $NO:O_3$  ratio of 0.95:1 so that  $O_3$  was slightly in excess as in the experiment conducted by Ref. [249].

Table 7.5: Adapted rate constants (k) at 298 K ( $10^{-14}$  cm<sup>3</sup>molecules<sup>-1</sup>s<sup>-1</sup>) of NO reactions with various O<sub>3</sub> isotopologues using KIEs calculated at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d).

Reaction	CCSD(T)/6-31G(d)	CCSD(T)/6-311G(d)
$16O^{14}N + 16O^{16}O^{16}O \rightarrow 16O^{14}N^{16}O + 16O^{16}O$	1.730	1.730
$ 16O^{14}N + 18O^{16}O^{16}O \rightarrow 16O^{14}N^{18}O + 16O^{16}O $	0.827	0.826
$16O^{14}N + 18O^{16}O^{16}O \rightarrow 16O^{14}N^{16}O + 18O^{16}O$	0.866	0.864
$16O^{14}N + 16O^{18}O^{16}O \rightarrow 16O^{14}N^{16}O + 18O^{16}O$	1.708	1.706

From the model output, a Rayleigh-type distillation curve of the  $\delta^{18}$ O of unreacted  $O_3$  was constructed in the form of:

$$ln(1 + 0.001 \times \delta_{\rm f}) = ln(1 + 0.001 \times \delta_{\rm 0}) + (\alpha - 1)ln(f)$$
(7.9)

Where  $\delta_f$  and  $\delta_0$  are the initial and final  $\delta^{18}O$  of  $O_3$  respectively and f is the fraction of unreacted  $O_3$ . Fig. 7.3 displays our calculated Rayleigh type distillation curve for  $O_3$ , where the slope of the line indicates an  $\epsilon(\%_0)$  value of -18.6\%0 and -19.6\%0 for CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively, which differs from the experimentally determined value of -30.5\% [249]. However, re-analysis of the experimental data from Ref. [249] indicates there might be a high-leverage, influential x data point at ln(f) = -3.047. Fig. 7.4 compares two linear regression models of the experimental data from Ref. [249] that includes all of the data points (Model A) and the omission of the data point at ln(f) = -3.047 (Model B). While the  $R^2$  values from the two models does not vary by much ( $R^2 = 0.966$  and 0.969), the slopes drastically change from  $-0.0305 \pm 0.003$  to  $-0.0209 \pm 0.003$  for Model A and Model B, respectively (Fig. 7.4). The calculated  $\epsilon(\%)$  from Model B is  $-20.9 \pm 0.003\%$ , which is in excellent agreement with our calculated and modeled value at both CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d). This might suggest that the data point at ln(f) = -3.047 might be influenced by other isotopic fractionation processes such as the formation of higher order nitrogen oxide species such as  $NO_3$  and  $N_2O_5$  that might have a different  $\alpha$ . Also, it is also important to point out the limitations in our calculated  $\alpha$  values from the Bigeleisen equations that are obtained within the conventional transition state theory with harmonic normal modes and rigid rotor approximations [239]. Additional uncertainties may be due to neglect of the  $\alpha$  associated with the NO + O<sub>3</sub> reaction pathway proceeding through the O extraction from the apex O atom position of O<sub>3</sub> that recent experimental data shows may have a branching ratio of  $8 \pm 5\%$  [251]. However, our calculated  $\alpha$  values tends to agree quite well with a significant portion of the experimental data.

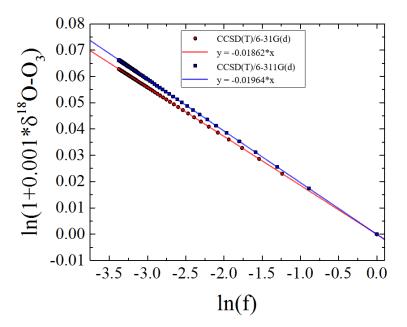


Fig. 7.3.: Rayleigh distillation model of unreacted  $O_3$  in the NO +  $O_3$  reaction calculated using KIEs at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d) within *Kintecus*. The slopes of the linear regression model indicate an overall  $\epsilon(\%)$  of -18.6% and -19.6% for unreacted  $O_3$  at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively.

## O Transfer KIE

Another important KIE to consider is the O transfer during the oxidation of NO by  $O_3$  to  $NO_2$ , which will be referred to as  $^{18}\epsilon$ (O-trans) (Eq. 7.10):

$$\epsilon_{\text{O-trans}}(\%_0) = \delta^{18} O(NO_{2_{\text{(O-trans)}}}(\%_0 - \delta^{18} O(aO_3)(\%_0))$$
 (7.10)

where  $\delta^{18}O(NO_2(O-trans))$  and  $\delta^{18}O(aO_3)$  are the  $\delta^{18}O$  values of the transferred O atom in NO<sub>2</sub> and asymmetric O<sub>3</sub>, respectively. Previously, Ref. [56] reacted NO with O<sub>3</sub> at a 1:1 ratio at room temperature and measured the  $\delta^{18}O$  of the transferred O atom in NO<sub>2</sub>. Using their experimental data, statistical models of previous studies of

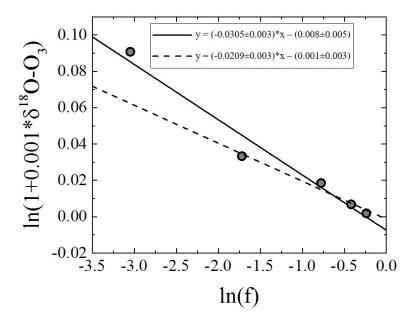


Fig. 7.4.: Rayleigh distillation model of unreacted  $O_3$  in the NO +  $O_3$  reaction calculated using prior experimental data [249]. Inclusion of all experimental data indicate a slope of -0.0305  $\pm$  0.003 that corresponds to an  $\epsilon(\%_0)$  of -30.5  $\pm$  0.003% (solid line,  $R^2 = 0.966$ ). Omission of the data point at  $\ln(f) = -3.04$  indicates a slope of -0.0209  $\pm$  0.003 that corresponds to an  $\epsilon(\%_0)$  of -20.9 Åś 0.003% (dashed line,  $R^2 = 0.969$ ).

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the intermolecular isotope distributions of  $O_3$  [252], and the enrichment of asymmetric and symmetric  $O_3$  isotopologues in the stratosphere as a function of altitude by [253],  $^{18}\epsilon_{(O-trans)}$  was estimated to be -23.9 and -20.8% [56]. Under the experimental conditions (i.e. 1:1 ratio of NO:O<sub>3</sub>), the products, NO<sub>2</sub> and O<sub>2</sub>, reflect the partitioning of  $^{18}O$  based on the position-specific  $\alpha$  values for the  $^{18}O$  isotopomers of O<sub>3</sub>, as there is a minimal isotopic fractionation impacting the residual O<sub>3</sub> since it nearly completely reacts.

Using our *Kintecus* model, we have estimated the  $^{18}\epsilon_{\text{(O-trans)}}$  using our  $O_3$  isotopologue dependent KIEs and a NO: $O_3$  ratio of 1:1. The  $^{18}O$  isotopic composition of

 $O_3$  was assumed to be uniformly distributed with a starting  $\delta^{18}O(O_3) = \delta^{18}O_{(aO_3)}$  = 0%0. From the output of our model, we estimate  $\delta^{18}O(NO_2(O-trans))$  and thus  $^{18}\epsilon_{(O-trans)}$  (Eq. 7.9) to be -22.8%0 for both CCSD(T)/6-31G(d) and CCSD(T)/6-31IG(d) calculated  $\alpha$  values, respectively. These values are in excellent agreement with the experimental determined values that are estimated to range between -23.9 and -20.8%0 [56].

#### 7.4 Conclusion

Ab initio calculations have been carried out to investigate the nitrogen and oxygen KIEs associated with NO reaction with  $O_3$ . The calculated KIEs were generally close to unity except for primary KIEs, in which relatively large enrichment factors were calculated to be -44.7 and -6.7% o for the  $^{16}{\rm O}^{14}{\rm N}$  +  $^{18}{\rm O}^{16}{\rm O}^{16}{\rm O}$  $\rightarrow$   $^{16}{\rm O}^{14}{\rm N}^{18}{\rm O}$  +  $^{16}\mathrm{O}^{16}\mathrm{O}$  and  $^{16}\mathrm{O}^{15}\mathrm{N}$  +  $^{16}\mathrm{O}^{16}\mathrm{O}^{16}\mathrm{O}$   $\rightarrow$   $^{16}\mathrm{O}^{16}\mathrm{N}^{16}\mathrm{O}$  +  $^{16}\mathrm{O}^{16}\mathrm{O}$  reactions, respectively at 298 K (CCSD(T)/6-311G(d)). Kinetic modeling of our calculated oxygen positionspecific KIEs indicate excellent agreement between our values and prior experimental measurements. Our calculations indicate the O mass-dependent relationship  $(\ln(^{17}\alpha)/$  $\ln(^{18}\alpha)$ ) is generally near the expected value of 0.52. Cases in which  $(\ln(^{17}\alpha)/\ln(^{18}\alpha))$ deviates from the expected value occurs for when the calculated KIEs are close to unity and thus have a minor impact on  $\Delta^{17}$ O, as expected for a mass-dependent fractionation process. This indicates that NO reaction with O<sub>3</sub> may play a significant role on the  $\delta^{15}$ N and  $\delta^{18}$ O values of NO and NO<sub>2</sub>, without altering  $\Delta^{17}$ O. This has important implications for utilizing  $\delta^{15}N$  and  $\delta^{18}O$  as tools for  $NO_x$  source partitioning and for understanding NO<sub>x</sub> photochemical cycling. The calculated KIEs will be useful for future work aimed at modeling NO<sub>x</sub> isotope chemistry and will help guide future ambient  $NO_x$  isotopic measurements.

# 8. SUMMERTIME DIURNAL VARIATIONS IN THE ISOTOPIC COMPOSITION OF ATMOSPHERIC NITROGEN DIOXIDE AT A SMALL MIDWESTERN CITY

## 8.1 Introduction

Nitrogen oxides (NO<sub>x</sub> = nitric oxide (NO) + nitrogen dioxide (NO<sub>2</sub>)) play a key role in controlling the concentrations of atmospheric oxidants that drive tropospheric chemistry [1,3,6]. During the daytime, NO<sub>x</sub> exists in a photochemical steady state known as the Leighton Cycle [6,7], in which emitted NO is converted to NO<sub>2</sub> via oxidation by either ozone (O<sub>3</sub>) (R8.1) or peroxy radicals (R8.3). Peroxy radicals are produced when radical species such as the hydrogen radical ( $\bullet$ H), the methyl radical ( $\bullet$ CH<sub>3</sub>), and organic radicals ( $\bullet$ R) combine with atmospheric O<sub>2</sub> (R8.2) [8]:

$$O_3 + NO \to NO_2 + O2 \tag{8.1}$$

$$\bullet H(or \bullet R) + O_2 \to HOO \bullet (ROO \bullet) \tag{8.2}$$

$$NO + HOO \bullet (orROO \bullet) \to NO_2 + \bullet OH(orRO \bullet)$$
 (8.3)

The formed  $NO_2$  may subsequently photolyze back to NO (R8.4), which leads to the liberation of an O atom in the ground state that may react with  $O_2$  to form  $O_3$  (R8.5) [6,7]:

$$NO_2 + h\nu \to NO + O(^3P) \tag{8.4}$$

$$O(^{3}P) + O_{2} + M \to O_{3} + M$$
 (8.5)

Termination of this cycle occurs when  $NO_2$  is further oxidized, which occurs primarily through its reaction with photochemically produced hydroxyl radicals ( $\bullet$ OH) during the daytime leading to the formation of atmospheric nitrates (R6) [10].

$$NO_2 + \bullet OH + M \to HNO_3 + M$$
 (8.6)

During the nighttime,  $NO_x$  photochemical cycling ceases, and NO is completely oxidized to  $NO_2$ . Due to the absence of photochemically produced  $\bullet OH$ ,  $NO_2$  may further react with  $O_3$  (R8.7), leading to the formation of the nitrate radical ( $NO_3$ ), which exists at thermal equilibrium with  $NO_2$  and dinitrogen pentoxide ( $N_2O_5$ ) (R8.8) [234]. Subsequent hydrolysis of  $N_2O_5$  on a wetted surface forms  $HNO_3$  (R8.9).

$$NO + O_3 \to NO_3 + O_2 \tag{8.7}$$

$$NO_2 + NO_3 \leftrightarrow N_2O_5 \tag{8.8}$$

$$N_2O_5 + H_2O + surface \rightarrow 2HNO_3$$
 (8.9)

Nighttime  $HNO_3$  may also from following hydrogen abstraction from organic compounds (R) by  $NO_3$  (R8.10) [128]:

$$NO_3 + R \to HNO_3 + R \bullet$$
 (8.10)

Once  $\mathrm{HNO}_3$  is formed, it is subsequently removed via wet and/or dry deposition leading to degradation of drinking water, soil acidification, eutrophication, and biodiversity change in terrestrial ecosystems [4]. Thus, due to the importance of  $\mathrm{NO}_{\mathrm{x}}$  on tropospheric chemistry and its implications for the environment and human health, it is important to understand the relative importance of  $\mathrm{NO}_{\mathrm{x}}$  emission sources and the oxidation processes (R8.1-R8.6) responsible for its removal.

Sources of  $NO_x$  are both of natural (lightning, soil nitrification/denitrification, and wildfires) and anthropogenic (fossil fuel combustion, industry, agricultural) origin [4, 12, 13], but there are uncertainties in the temporal and spatial contributions of various emission sources. The nitrogen (N) stable isotope composition ( $\delta^{15}N$ ) of  $NO_x$  and atmospheric nitrate may provide a tool for partitioning local  $NO_x$  emission sources [27,28,34,45,216]. Typically, variations in terrestrial isotopic compositions are reported using delta notation where  $\delta(\%_0) = (R_{sample}/R_{ref} - 1) \times 1000$ , where  $R_{sample}$  and  $R_{ref}$  denote the atomic abundance ratio of a less abundant isotope to the most abundant isotope in a sample or reference, respectively. Atmospheric  $N_2$  (for  $^{15}N/^{14}N$ )

and Vienna Standard Mean Ocean Water (VSMOW; (for <sup>18</sup>O/<sup>16</sup>O) are used as reference materials for reported  $\delta(\%_0)$  values. Numerous studies have quantified the  $\delta^{15}$ N signatures ("fingerprints") of various NO<sub>x</sub> emission sources, which indicate relatively distinctive  $\delta^{15}N(NO_x)$  values for soil emissions (nitrification/denitrification), transportation sector, and coal-fired power plants [32, 33, 35, 36, 39–43, 45, 100, 208, 254]. While in situ  $\delta^{15}N(NO_x)$  may be a useful indicator for local  $NO_x$  source partitioning [45, 47], it is challenging to collect in situ NO<sub>x</sub> because it is highly reactive and typically has low mixing ratios (typically on the order of ppb<sub>v</sub>). Rather the  $\delta^{15}N(NO_x)$ values have been inferred from the <sup>15</sup>N of atmosphere nitrate, which is easier to collect and analyze for isotopic composition. However,  $NO_x$  source partitioning based on  $\delta^{15}$ N values of atmospheric nitrate are complicated due to possible kinetic [190], equilibrium [101, 217], and photolytic isotope effects that occur during NO<sub>x</sub> oxidization to atmospheric nitrate. For example, previous works have suggested isotopic exchange between NO and NO<sub>2</sub> [46,216] occurring during the NO<sub>x</sub> photochemical cycling might alter NO<sub>2</sub>  $\delta^{15}$ N values, which may then be propagated into the  $^{15}$ N value of atmospheric nitrate [188]. Previous  $\delta^{15}N(NO_2)$  measurements have indicated that seasonal and diurnal  $\delta^{15}N(NO_2)$  might be dictated by  $NO_x$  photolytic reactions [46]; however, this study was in an urban area dominated by a single NO<sub>x</sub> emission source (vehicle emissions). Thus, the role of multiple significant NO<sub>x</sub> emission sources with variable emission contributions to the total local NO<sub>x</sub> emission budget may also play a significant role on  $\delta^{15}N(NO_2)$ . Therefore, it is still unclear at locations with multiple significant  $NO_x$  emission sources if  $\delta^{15}N(NO_2)$  reflects  $NO_x$  emission sources, chemistry effects, or a combination of both. It is important to understand the influences on  $\delta^{15}$ N of NO<sub>2</sub> since it is precursor to atmospheric nitrate.

The analysis of the oxygen (O) stable isotope composition ( $\delta^{18}$ O) of NO<sub>x</sub> and atmospheric nitrate may allow for an understanding of NO<sub>x</sub> photochemical cycling and an evaluation of changing daytime and nighttime oxidation chemistry [30,53–55]. Prior experimental investigations suggest that O isotopic equilibrium is achieved between NO<sub>x</sub> and O<sub>3</sub>, [55] erasing any original NO<sub>x</sub> source O isotopic signature. Atmo-

spheric  $O_3$  has a characteristic elevated  $\delta^{18}O$  [48, 57, 58, 107, 212, 237]. The coupling between  $NO_x$  and  $O_3$  in the Leighton Cycle is believed to be the driver of high  $\delta^{18}O$ observed in atmospheric nitrates [53, 56, 255]. A recent NO-O<sub>2</sub>-O<sub>3</sub>-NO<sub>2</sub> cycle isotopic model under tropospheric conditions predicts  $\delta^{18}\text{O-NO}_x$  of 117% relative to VSMOW [55]. However, previous O isotopic measurements that have been performed using Ogawa passive diffusion collectors indicate lower than expected  $\delta^{18}$ O values for atmospheric  $NO_x$  [39,47]. For example,  $\delta^{18}O$  of  $NO_2$  at an urban location was found to be  $50.5 \pm 3.2\%$  during the summer and  $47.4 \pm 1.2\%$  during the winter [47], significantly lower than the model predictions. The apparent disagreement between measured and expected  $\delta^{18}{\rm O}$  of in situ NO<sub>2</sub> has been suggested to be the result of the modification of the O isotopic composition of atmospheric NO<sub>x</sub> during the capture and conversion to nitrite on the passive sampler [47]. Thus, accurate in situ measurements of the O isotopic composition of NO<sub>x</sub> are currently unavailable. Additionally, it is important to note that NO<sub>x</sub> will not achieve photochemical equilibrium with O<sub>3</sub> during the nighttime. Thus, nighttime NO<sub>x</sub> may have a significantly different O isotopic composition that will represent a combination of source effects and oxidation chemistry. Therefore, in order to improve our understanding of the O isotopic composition of NO<sub>x</sub> and atmospheric nitrate, daytime and nighttime O isotopic measurements of in situ  $NO_x$  are required. In this study, the diurnal variations in  $\delta^{15}N$ and  $\delta^{18}{\rm O}$  values of in situ NO<sub>2</sub> was determined using an active sampling technique and the data was evaluated in the context of source and chemical isotope effects.

#### 8.2 Methods

## 8.2.1 Sampling Location

NO<sub>2</sub> was collected from ambient air on the rooftop of a building (Hampton Hall of Civil Engineering) on the campus of Purdue University (40.426°N, 86.908°W) (Fig 8.1) [256]. The surrounding land-use (radius of 1.5 km) is urban/sub-urban where the sister cities of Lafayette-West Lafayette, IN. combined have population of roughly

150,000. The sampling location was approximately 12 m above ground and was directly above a loading dock with light daytime diesel truck and gasoline vehicle traffic and approximately 50 m from a regularly traveled road during the daytime that experiences moderate weekday rush hour traffic at approximately 7-8 am and 5-6 pm. The 2011 U.S. EPA emission inventory estimates the following NOx emission budget for the county of the sampling location (Tippecanoe): Mobile = 59.4%, Fuel Combustion = 35.3%, Biogenic = 4.2%, Industry = 0.7%, Waste = 0.3%, and Fire = 0.1%. However, the local  $NO_x$  emission budget should be dominated by anthropogenic  $NO_x$  emission particularly from vehicles. To the north and west of the sample location is mostly roads, a golf course, and agricultural fields (maize and soybean). Approximately 2 km south of the sampling site is an electrical-heat cogeneration plant that operates 3 natural gas boilers and one coal-fired boiler.



Fig. 8.1.: Location of sampling site (star) for in situ NO<sub>2</sub> collection. Image from Google Earth [256]

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## 8.2.2 NO<sub>2</sub> Collection

NO<sub>2</sub> was collected using an active sampling apparatus depicted in Fig. 8.2. Briefly, ambient air was drawn through a denuder tube (I.D. = 3 mm and length = 1 m) using a diaphragm pump and flow controlled to 2 L/min. Prior to the denuder tube a Millipore Fluoropore membrane filter (9 mm diameter) removed particulate matter > 1  $\mu$ m. The denuder tubes were coated with 0.5 mL of a 2.5 M potassium hydroxide, 25% by weight guaiacol (C<sub>7</sub>H<sub>8</sub>O<sub>2</sub>), and methanol and air dried. This coating selectively reacts with NO<sub>2</sub> to form nitrite (NO<sub>2</sub>-) [36, 41, 193]. A second denuder tube was connected in series with the first tube to check for NO<sub>2</sub> breakthrough. The denuder tubes were held vertically to prevent gravitational sedimentation of < 1  $\mu$ m particulate matter to the tube wall and heavier oxidized forms of N (i.e. HNO<sub>3(g)</sub> and N<sub>2</sub>O<sub>5</sub>) that generally have diffusion constants too small to allow diffusion to the sides of the denuder tube [194]. All connections between the various components of the sampling apparatus was made using 1/16" Teflon tubing and ultratorr fittings.

The sampling inlet (1/16" Teflon tubing) was mounted on the side of a building and sheltered from precipitation and direct sunlight. NO<sub>2</sub> was collected separately during the daytime and nighttime for a collection period of approximately 6 and 8 hours, respectively during the weekdays from July 7 to August 4, 2016. Daytime and nighttime collection typically occurred between 8:30 am to 4:00 pm and 9:30 pm to 5:30 am, respectively. NO-NO<sub>2</sub>-NO<sub>x</sub> concentration measurements of ambient air were simultaneously measured using a Thermo Scientific 42i NO<sub>x</sub> analyzer. After collection, the denuder tubes were separately rinsed with 3 mL of 18.2 M $\Omega$  Millipore Water. NO<sub>2</sub>- test strips (Aquacheck) were used to check for NO<sub>2</sub>- breakthrough in the eluntant of the second denuder tube, which did not occur occur. Thus, the elutant from the second denuder tube was discarded and the elutant from the first denuder tube was immediately placed in a freezer until isotopic analysis.

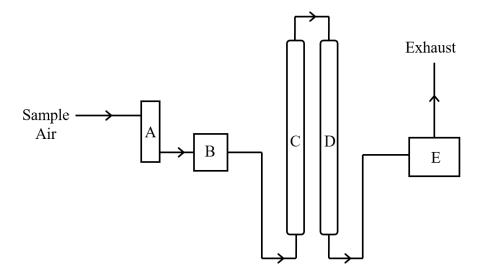


Fig. 8.2.: Sampling apparatus schematic for collection of atmospheric NO<sub>2</sub>, where A is the flow meter (2 L/min), B is aerosol filter, C is the NO<sub>2</sub> binding denuder tube, D is the NO<sub>2</sub> breakthrough denuder tube, and E is the peristaltic pump.

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## 8.2.3 Isotopic Characterization

Isotopic analysis ( $\delta^{18}$ O &  $\delta^{15}$ N) was performed on the product NO<sub>2</sub><sup>-</sup> in the elutant from the first tube. Samples were left out at room temperature to thaw approximately 2 hours before the analysis. The entire elutant of approximately 3 mL from each sample was converted into nitrous oxide (N<sub>2</sub>O) using sodium azide in an acetic acid buffer [195]. The product N<sub>2</sub>O was extracted and purified using an automated headspace gas chromatography system and analyzed by a Thermo Delta V Continuous Flow Isotope Ratio Mass Spectrometer for m/z 44, 45, and 46 at the Purdue Stable Isotopes Lab. Measured  $\delta^{15}$ N (relative to air N<sub>2</sub>) and  $\delta^{18}$ O (relative to VSMOW) was calibrated to KNO<sub>2</sub><sup>-</sup> salts that included RSIL-N7373, RSIL-N23, RSIL-10219 with the following ( $\delta^{15}$ N(‰),  $\delta^{18}$ O(‰)) values: (-79.6, 4.5), (3.7, 11.4), and (2.8, 88.5) [257]. Direct calibration of our NO<sub>2</sub><sup>-</sup> samples to NO<sub>2</sub><sup>-</sup> standards and the direct conversion of NO<sub>2</sub><sup>-</sup> to N<sub>2</sub>O using the sodium azide method [195], allows us

to ignore the uncertainty in correcting for isotopic fractionation of  $\delta^{18}$ O using typical nitrate isotopic reference materials [258].

Our NO<sub>2</sub><sup>-</sup> samples typically contained between 30 and 60 nmoles of NO<sub>2</sub><sup>-</sup> based on the product N<sub>2</sub>O yield. Linearity effects were corrected by using variable NO<sub>2</sub><sup>-</sup> amounts of the standards that ranged between 20 and 250 nmoles. We found our isotopic precision to be highly dependent on the amount of NO<sub>2</sub>- analyzed. Near our sample sizes of 25-60 nmoles, the average standard deviation between the residual values of the calibrated standards for  $\delta^{15}N$  and  $\delta^{18}O$  was 1.1\% and 0.6\%, respectively. This uncertainty is slightly higher than our usual isotopic precision for  $\delta^{15}N$ and  $\delta^{18}$ O of 0.6%, and 0.4% that is found at NO<sub>2</sub> amounts greater than 200 nmoles. Thus, we report  $\delta^{15} N(NO_2)$  and  $\delta^{18} O(NO_2)$  raw measurement errors of  $\pm 1.1\%$  and  $\pm 0.6\%$ <sub>0</sub>. Additionally, since our NO<sub>2</sub> samples are of atmospheric origin,  $\delta^{15}$ N was also corrected for  $N_2O$  isobaric influences from  $\Delta^{17}O$  [259]. We estimated a  $\Delta^{17}O$ of 35  $\pm 5\%$  during the day time and a  $\Delta^{17}{\rm O}$  of 17.5  $\pm$  2.5% during the night time. This typically resulted in a  $\delta^{15} N$  correction of -2% during the daytime and -1% during the nighttime. Since the  $\Delta^{17}O$  for these samples is somewhat unknown, we estimate an additional  $\delta^{15}N$  uncertainty of  $\pm 0.5\%_0$ . Thus, our reported  $\delta^{15}N(NO_2)$ measurements are reported with an error of  $\pm 1.6\%_0$ .

#### 8.2.4 Control Tests

Previous control tests demonstrated the robustness for characterizing  $\delta^{15}N(NO_2)$  [216] using the denuder tubes employed in this study. We have found this method results in an isotopic precision for  $\delta^{15}N(NO_2)$  of approximately  $\pm 0.7\%$ , with excellent isotopic accuracy [216]. Additionally, it was found that NO does not bind on the denuder tube that is specifically designed to bind  $NO_2$  [216]. Further control tests were conducted using an  $NO_2$  bulb and indicate a  $\delta^{18}O(NO_2)$  of -1.8  $\pm$  1.2% (n = 7), which indicates excellent precision; however, the  $\delta^{18}O$  value of the  $NO_2$  bulb is currently unknown. Since nearly 100% of  $NO_2$  binds on the denuder tube,  $\delta^{18}O$ 

fractionation due to incomplete NO<sub>2</sub> collection should not be of concern. Assuming that  $\delta^{18}O(NO_2)$  is conserved as it is bound as  $NO_2^-$ , the only other  $\delta^{18}O$  fractionation that needs to be addressed is the  $\delta^{18}O$  exchange of  $NO_2^-$  with water once eluted from the denuder tube. The elutant is extremely basic (pH > 12), so that  $\delta^{18}$ O exchange with water should be minimal [258]. This was tested by periodically injecting 20 to 50 nmoles of a standard KNO<sub>2</sub>- salt (RISL-N10219) salt into the eluted guaciaol/KOH mixture over a period of one month. The controls were immediately placed in a freezer until isotopic analysis. Our control tests indicate that O isotopic exchange between NO<sub>2</sub> and H<sub>2</sub>O does occur in our samples, reaching approximately 3.5% within the first three days, based on a laboratory water  $\delta^{18}$ O of -8% (Fig. 8.3). After this initial exchange, no further exchange in  $\delta^{18}$ O is observed, as all control samples that were kept in solution between 3 to 31 days prior to isotopic analysis indicated an average O exchange with water of  $2.7 \pm 2\%$  (Fig. 8.3). This consistent fraction of O exchange between  $NO_2$ - $\leftrightarrow H_2O$  water is hypothesized to be the result of the time it took for the samples to completely freeze and thereby slowing the exchange to a negligible rate. Our samples were analyzed between 3 and 20 days of collection and were corrected assuming an O isotopic exchange of  $2.7 \pm 2\%$  with water. The degree of uncertainty in the amount of  $\delta^{18}$ O exchange ( $\pm 2\%$ ) adds an uncertainty in our reported  $\delta^{18}$ O(NO<sub>2</sub>) of about 1.5\%. Therefore, the uncertainty in our  $\delta^{18}O(NO_2)$  measurements is reported as  $\pm 2.1\%$ , which is the error propagation of our raw  $\delta^{18}O(NO_2^-)$  measurement at 25 nmoles of  $NO_2^-$  and the uncertainty in  $\delta^{18}O$  resulting from  $NO_2^-$  exchange with water.

#### 8.3 Results

# 8.3.1 Measured $[NO_x]$ and $f(NO_2)$

The average measured  $NO_x$  concentrations and average fraction of  $NO_2$  relative to total  $NO_x$  ( $f(NO_2) = NO_2/NO_x$ ) for each hour during our collection period of July 7 to August 4, 2016 varied at our sampling location (Fig. 8.4). Daytime  $[NO_x]$ 

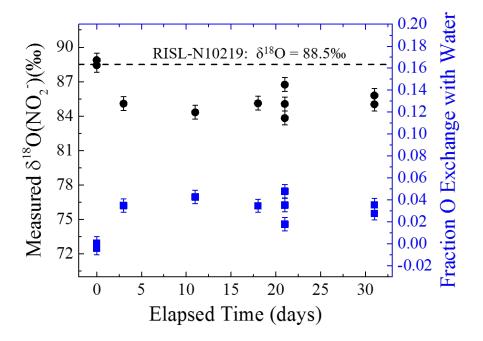


Fig. 8.3.: Impacts of  $\delta^{18}$ O exchange between NO<sub>2</sub><sup>-</sup> and water ( $\delta^{18}$ O = -8‰) contained within the KOH/guacoil elutant as a function of time contained within solution before isotopic analysis, where the black circles represent the measured  $\delta^{18}$ O(NO<sub>2</sub><sup>-</sup>) and the blue squares represent the estimated fraction of O exchange between NO<sub>2</sub><sup>-</sup> and water. The NO<sub>2</sub><sup>-</sup> standard (RISL-N10219) has a  $\delta^{18}$ O of 88.5‰. Solutions immediately analyzed show no impacts of isotopic exchange with water. However, after about 3 days, a constant isotopic exchange is observed of about 2 to 5% with an average exchange of 2.7  $\pm$  2%, lowering the measured  $\delta^{18}$ O of RISL-N10219 by about 2 to 4‰.

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measurements indicate a large early morning peak in  $[NO_x]$  between 6 and 10 am that reaches an average high of  $7.1 \pm 4.1$  ppb<sub>v</sub> at 8:00 am (Fig. 8.4). After this morning peak,  $[NO_x]$  steadily decreases throughout the day (Fig. 8.4) to a baseline  $[NO_x]$  of approximately  $2.5 \pm 0.4$  ppb<sub>v</sub> (Fig. 8.4). A smaller  $NO_x$  peak near 5 pm

is also observed on some of the collection days; however, this afternoon peak is not obvious in the 1 hour averaged [NO<sub>x</sub>] measurements that spans our entire collection period. These [NO<sub>x</sub>] profiles are typical of urban areas and result from the emission of NO from transportation sources during the early morning [204, 260, 261]. During our collection periods (daytime 8:30 am to 4:00 pm, nighttime 9:30 am to 5:30 am), average NO<sub>x</sub> concentrations ranged from 1.5 to 7.9 ppb<sub>v</sub>. The diurnal cycling of [NO<sub>x</sub>] was somewhat averaged out during our collection periods as daytime averages (4.3  $\pm$  1.8 ppb<sub>v</sub> ( $\bar{x} \pm 1\sigma$ ) were within 1 standard deviation of nighttime averages (3.6  $\pm$  1.4 ppb<sub>v</sub>).

A diurnal cycle in  $f(NO_2)$  is also observed with daytime values averaging 0.82  $\pm$  0.04 and nighttime values averaging 0.91  $\pm$  0.004. Additionally,  $f(NO_2)$  values are observed to be lowest when  $[NO_x]$  concentrations are highest (Fig. 8.4), which is related to the emission of transportation  $NO_x$  predominately as NO. During our collection periods, average  $f(NO_2)$  values ranged from 0.751 to 0.95. Daytime collection periods had an average lower  $f(NO_2)$  of 0.81  $\pm$  0.03 than did nighttime of 0.92  $\pm$  0.02.

# 8.3.2 $\delta^{15}$ N(NO<sub>2</sub>)

The measured  $\delta^{15}N(NO_2)$  values had large variations ranging from -29.7‰ to 8.1‰ (Fig. 8.4) and averaged -7.0  $\pm$  7.9‰ (n = 33). These  $\delta^{15}N(NO_2)$  values are outside the range previously reported of -3.6‰ to -9.0‰ in an urban location [47], but near the previously reported range of -24.6‰ to 7.3‰ from a roadside transect [37]. Additionally, our average  $\delta^{15}N(NO_2)$  value is close to the  $\delta^{15}N(NO_x)$  value predicted by isotope mass-balance for our sampling region during July to August period of approximately -9‰ [208]. Generally, the  $\delta^{15}N(NO_2)$  values tended to be negative as 27 out of the 33 collection periods including 13 of 18 daytime and 14 of 15 nighttime had  $\delta^{15}N(NO_2)$  that ranged from -29.7‰ to 0.7‰ and averaged -10.9  $\pm$  8.2‰(n = 15). Sample collected during the daytime had  $\delta^{15}N(NO_2)$  values that ranged from

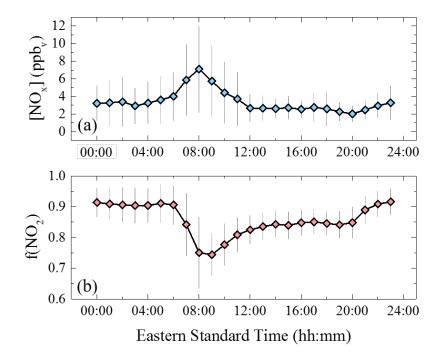


Fig. 8.4.: Averaged diurnal variations in  $[NO_x]$  (a) and  $f(NO_2)$  (b) at our sampling location during the collection period of July 7 to August 4, 2016. Diamonds represent averages for each hour taken from measurements at 30 second intervals each day and the gray lines represent  $\pm 1\sigma$  for each hour.

-19.0 to 8.1% and averaged -3.8  $\pm$  6.0% (n = 18). On average, daytime  $\delta^{15}N(NO_2)$  values were 7% higher than nighttime; however, the daytime and nighttime means are not statistical significantly different (two-sided t-test p > 0.05).

# 8.3.3 $\delta^{18}O(NO_2)$

There was large variation in  $\delta^{18}O(NO_2)$  as values (Fig. 8.5), ranging from 41.5% to 117.0% that averaged 75.6  $\pm$  21.9% (n = 33). The highest  $\delta^{18}O(NO_2)$  measurement of 117.0% is in excellent agreement with a prior NO-O<sub>2</sub>-O<sub>3</sub>-NO<sub>2</sub> cycle experiment that found  $\delta^{18}O(NO_x)$  value of 117.0  $\pm$  4% relative to VSMOW [55] for photochemically equilibrated NO<sub>x</sub>. The observed variation in  $\delta^{18}O(NO_2)$  value

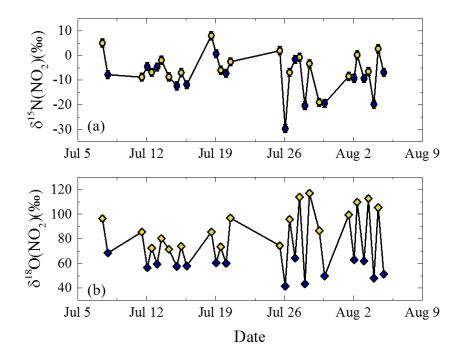


Fig. 8.5.: Measured (a)  $\delta^{15}N(NO_2)$  and (b)  $\delta^{18}O(NO_2)$  of in situ  $NO_x$  collected from July 7, 2016 to August 5, 2016 during the daytime (yellow) and nighttime (dark blue).

ues ( $\pm 21.9\%_0$ ) is significantly reduced when comparing samples collected during the daytime and nighttime separately. During the daytime,  $\delta^{18}O(NO_2)$  ranged from 71.5% to 117.0% and averaged 91.8  $\pm$  15.2% (n = 18), while during the nighttime,  $\delta^{18}O(NO_2)$  ranged from 41.5% to 68.5% and averaged 56.2  $\pm$  7.8% (n = 15). The daytime and nighttime means are statistically significantly different (two-side t-test p < 0.05). Our measured  $\delta^{18}O(NO_2)$  values are both more variable and larger than recently reported  $\delta^{18}O(NO_2)$  values at an urban location of 50.5  $\pm$  3.2% and 47.4  $\pm$  1.2% collected during the summer and winter, respectively using Ogawa diffusion samplers [47]. Additionally, our  $\delta^{18}O(NO_2)$  values are much larger than reported values of -12.6  $\pm$  3.1% and -2.0  $\pm$  5.0% inside and outside of a tunnel using Ogawa diffusion samplers [39].

## 8.4 Discussion

# 8.4.1 $\delta^{15}$ N(NO<sub>2</sub>)

# Nighttime $\delta^{15}N(NO_2)$

As a priori, our nighttime  $\delta^{15}N(NO_2)$  values are assumed to reflect  $\delta^{15}N(NO_x)$  [46, 216] as nearly all  $NO_x$  exists as  $NO_2$  (Fig. 8.4). During the nighttime  $NO_2$  collection,  $f(NO_2)$  averaged 0.918  $\pm$  0.02; thus,  $\delta^{15}N(NO_2)$  should be within 1 to 2‰ of  $\delta^{15}N(NO_x)$  assuming that  $NO_x$  isotopic exchange may elevate  $\delta^{15}N(NO_2)$  values relative to  $\delta^{15}N(NO_x)$  [216]. Therefore, the large variability observed in nighttime  $\delta^{15}N(NO_2)$  that was found to span 30.4‰ cannot be explained in terms of  $NO_x$  isotope effects alone and is likely the result of changing relative contributions of various  $NO_x$  emission sources at our sampling location.

At our sampling location, we would expect vehicle emissions to be the dominant  $NO_x$  emission source and this should be reflected in our measured  $\delta^{15}N(NO_2)$ . Previously, a mass-balance model of NO<sub>x</sub> emitted from gasoline-powered vehicles estimates  $\delta^{15} N(NO_x)$  to range between -5 to -1\%0, which reflects the balance of high NO<sub>x</sub> emission with lower  $\delta^{15}N(NO_x)$  values and lower  $NO_x$  emission with higher  $\delta^{15}N(NO_x)$ due to the catalytic reduction of NO<sub>x</sub> through three-way catalytic converters [100]. Diesel engines tend to emit  $NO_x$  with a  $\delta^{15}N$  lower than gasoline engines that is near -19\%owithout selective catalytic NO<sub>x</sub> reduction (SCR) [45,208], but subsequently increase as SCR technology reduces  $NO_x$  [208]. For Tippecanoe county, the 2011 EPA estimates that on-road mobile sector to be comprised of 40.0% diesel and 60.0% gasoline. Applying mass-balance based on the U.S. EPA on-road NO<sub>x</sub> emission estimate, we approximate the on-road  $\delta^{15}N(NO_x)$  signature to range between -11 to -4\%0. This estimated range of transportation related  $\delta^{15}N(NO_x)$  values tends to match 9 out of 15 of the measured nighttime  $\delta^{15}N(NO_2)$ . Samples within this range had an average  $\delta^{15}N(NO_2)$  of -8.2  $\pm$  2.6% (n = 9). These values are in close agreement with  $\delta^{15} N(NO_2)$  and  $\delta^{15} N(NO_x)$  values of -8.3  $\pm$  0.9% and -9.7  $\pm$  0.7% from a recent study at a sampling location dominated by vehicle emissions [47]. Assuming this on-road transportation  $\delta^{15} N(NO_x)$  signature, our  $\delta^{15} N(NO_2)$  measurements indicate that in general  $NO_x$  emitted from the transportation sector tends to compose most of the nighttime  $NO_x$  at our sampling site, as expected. Since the overall on-road transportation sector's  $\delta^{15} N(NO_x)$  signature is still somewhat unknown, future studies should aim to further constrain this value from roadside  $\delta^{15} N(NO_x)$  measurements.

From the measured nighttime  $\delta^{15}N(NO_2)$ , four of the collection periods have much lower  $\delta^{15}N(NO_2)$  than the expected  $\delta^{15}N(NO_x)$  range of the transportation sector (Fig. 8.5). These values correspond to collection periods on July 25 to July 26, July 27 to July 28, July 29 to Jul 30, and August 3 to August 4 that have  $\delta^{15}N(NO_2)$ of -29.7\%, -20.4\%, -19.4\%, and -19.8\%, respectively and are statistically significantly different from the rest of the nighttime  $\delta^{15}N(NO_2)$  measurements (two-side t-test, p < 0.05). Previous studies have indicated that biogenic NO<sub>x</sub> emissions have a low  $\delta^{15}N(NO_x)$  with values ranging between -48.6 to -19.9\% [41, 42], which is within our range of estimated  $\delta^{15}N(NO_2)$  from the observed "anomalous"  $NO_x$ . Thus, these collection periods  $\delta^{15}N(NO_2)$  may reflect possible influences from biogenic  $NO_x$ . Agricultural fields can be a significant source of NO<sub>x</sub> due to biogenic soil NO<sub>x</sub> emissions (nitrification and denitrification) [262–264]; thus, our lower  $\delta^{15}N(NO_2)$  during some nights might be reflective of nearby agricultural  $\delta^{15}N(NO_x)$  transported to our sampling location. However, this is probably unlikely because maize and soybean fertilization typically does not occur during the summer months, and the typical stagnant conditions during the night would limit the possibility of NO<sub>x</sub> transport from agricultural regions that are more than 5 km from our sampling site. Therefore, the lower  $\delta^{15}N(NO_2)$  values observed may be representative of an urban biogenic  $NO_x$ signature. We hypothesis that this urban biogenic NO<sub>x</sub> may have been the result of N fertilization of local landscapes and from the nearby golf course (less than 1.5 km from sampling site).

One of the collection periods (July 18 to July 19) had a  $\delta^{15}N(NO_2)$  values that was positive (0.7%) and slightly larger than the on-road transportation sector's

 $\delta^{15} N(NO_x)$  signature. It is interested to note that this collection period was characterized by stagnant conditions and the formation of early morning fog, which was reported to occur between July 19 3:30 am to July 19 5:30 am. The onset of fog formation coincides with an increase in  $[NO_x]$ , reaching as high as 10 ppb<sub>v</sub> (Fig. 8.6) relative to its baseline [NO<sub>x</sub>] of 2.5 ppb<sub>v</sub>. The increase in [NO<sub>x</sub>] during fog events has been previously observed and is suggested to occur due to the stagnant conditions during periods of fog formation [265]. We hypothesize that the increase in  $\delta^{15}N(NO_x)$ may be related to NO<sub>2</sub> reaction with wetted aerosols during the fog event that occurs faster for the lighter  $^{14}\mathrm{NO}_2$  than for  $^{15}\mathrm{NO}_2$ . This will result in an increase in  $\delta^{15} N(NO_2)$  and may explain the slightly higher  $\delta^{15} N(NO_2)$  values observed during this time period. However, this explanation is somewhat complicated by an increase in  $[NO_x]$  and a decrease in  $f(NO_2)$ , which may have made the  $NO_x$  isotopic exchange more influential on the partitioning of  $^{15}\mathrm{N}$  between NO and NO<sub>2</sub> and may have resulted in a higher  $\delta^{15}N(NO_2)$  [216]. Additionally, coal-fired emissions from the local utility and chiller plant may have contributed to the  $NO_x$  at our sampling location, which would have increased the  $\delta^{15} N(NO_2)$  as previous studies indicate an elevated  $\delta^{15}N(NO_x)$  coal-fired power plant signature that ranges from 6.0 to 19.8\% [35, 40]. Additionally, coal-fired power plant emissions might explain the somewhat higher  $\delta^{15} N(NO_2)$  value relative to the other nighttime measurements observed on July 26 to July 27 of  $-1.7\%_0$ .

# Daytime $\delta^{15}N(NO_2)$

The majority of daytime  $\delta^{15}N(NO_2)$  values appears to be within the range estimated for the transportation sector (9 out of 18 measurements;  $\delta^{15}N(NO_2) = -6.5 \pm 2.1\%$ ), indicating that at our sampling location daytime  $\delta^{15}N(NO_2)$  is approximately representative of local (on-road transportation)  $NO_x$  sources. There is also evidence for the emission of biogenic  $NO_x$  during the collection period on July 29 that had a much lower  $\delta^{15}N(NO_2)$  of -19.0% (statistically a daytime  $\delta^{15}N(NO_2)$  outlier; p <

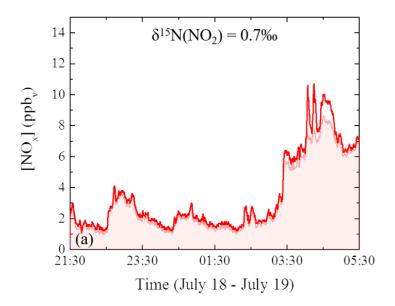


Fig. 8.6.: Measured [NO<sub>x</sub>] (red line) and [NO<sub>2</sub>] (shaded) concentrations for the July 18 to July 19 nighttime sampling periods that had a high  $\delta^{15}$ N(NO<sub>2</sub>). Fog formation was indicated to occur between July 19 3:30 am and July 19 5:30 am that coincides with an increase in [NO<sub>x</sub>].

0.05). In addition to source contributions,  $\delta^{15}N(NO_2)$  may have also been impacted by meteorological events during two of the collection periods (July 7 and July 18) that may have increased  $\delta^{15}N(NO_2)$ . On July 7, 2016, the formation of an early morning fog that persisted until approximately 10 am was reported. No other fog formation was reported during our daytime collection of  $NO_2$ . This fog event may have led to an increase in  $\delta^{15}N(NO_2)$  due to the reaction of  $NO_x$  on wetted aerosols, as we have previously suggested, and this may explain the relatively high  $\delta^{15}N(NO_2)$  for this day of 5.0%. On July 18, early morning rain and thunderstorms were reported to occur between 2 am and 7 am. This may have had an impact on  $\delta^{15}N(NO_2)$  for this collection period due to possible NO generation from lightning that has an estimated  $\delta^{15}N(NO_x)$  of -0.5 to 1.4% based on simulated laboratory sparks [43], as well as the

precipitation scavenging of NO<sub>2</sub> [266] that might favor the loss of  $^{14}$ NO<sub>2</sub> of in situ NO<sub>x</sub>. Both of these possibilities might explain the relatively high  $\delta^{15}$ N(NO<sub>2</sub>) of 8.1% for this day. NO<sub>x</sub> contributions from coal-fired power plants may explain the daytime  $\delta^{15}$ N(NO<sub>2</sub>) measurements between -1.9 to 2.8% that are higher than the estimated on-road transportation's  $\delta^{15}$ N(NO<sub>x</sub>) range, but occurred on days with a significant reported meteorological event.

# 8.4.2 $\delta^{18}O(NO_2)$

# Daytime $\delta^{18}O(NO_2)$

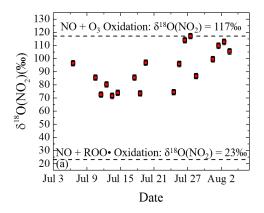
The measured  $\delta^{18}O(NO_2)$  was found to be the largest during the daytime with an average value of 91.8  $\pm$  15.2% (Fig. 8.5). During the daytime, the  $\delta^{18}O(NO_2)$  is expected to reflect the oxidants responsible for the conversion of NO to NO<sub>2</sub>, as the photochemical cycling of NO<sub>x</sub> is rapid and erases any original  $\delta^{18}O(NO_x)$  signature. For an urban area, we would expect O<sub>3</sub> to dominate the conversion of NO to NO<sub>2</sub> and  $\delta^{18}O(NO_2)$  to reflect its photochemical equilibrium with  $\delta^{18}O(O_3)$  [48,53, 211]. Previously, tropospheric O<sub>3</sub> has been reported to have elevated  $\delta^{18}O(O_3)$  values ranging from 95 to 130% [48,57,58], and a prior experimental investigation found that the photochemical cycling of NO-O<sub>2</sub>-O<sub>3</sub>-NO<sub>2</sub> to result in  $\delta^{15}N(NO_2)$  of 117% [55]. This experimental value is in excellent agreement with our highest measured daytime  $\delta^{18}O(NO_2)$  values (Fig. 8.7), which might suggest that photochemical cycling of NO with O<sub>3</sub> as the primary oxidant results in  $\delta^{18}O$  of in situ NO<sub>x</sub> near 117%. However, there is large variability in daytime  $\delta^{18}O(NO_2)$  that spans 45.5% with collection periods that had  $\delta^{18}O(NO_2)$  values as low as 71.5%, much lower than the experimental value and the previously measured  $\delta^{18}O$  of tropospheric O<sub>3</sub>.

NO may also be oxidized by peroxy radicals and this may be reflected in the  $\delta^{18}O(NO_2)$ . Peroxy radicals are estimated to have an oxygen isotopic composition that reflects tropospheric  $O_2$  [30] that is approximately 23% [60]. Thus, the lower observed daytime  $\delta^{18}O(NO_2)$  may be the result of NO oxidation by peroxy radicals.

Using an isotope mass-balance between the measured  $\delta^{18}O(NO_2)$  and estimated  $\delta^{18}O$  of  $NO_2$  resulting from NO oxidation with  $O_3$  and peroxy radicals of 117‰ and 23‰, respectively, the NO oxidation branching ratio can be estimated:

$$\delta^{18}O(NO_2) = x \times (\delta^{18}O(O_3)) + (1 - x) \times (\delta^{18}O(ROO \bullet or HOO \bullet))$$
(8.11)

Overall, we estimate the branching ratio of NO oxidation by  $O_3$  to be  $0.732 \pm 0.161$ , signifying that  $O_3$  was generally the dominate daytime oxidant at our sampling location as expected. However, NO oxidation through peroxy radicals seems to have played a significant role and reached a branching ratio as high as 0.484 during our sampling period (Fig. 8.7). This is important since NO oxidation through peroxy radicals occurs without the loss of an  $O_3$  providing a pathway for the buildup of tropospheric  $O_3$ . Thus, the isotopic composition of daytime  $NO_2$  may provide a useful way to assess  $VOC-NO_x-O_3$  chemistry which will can incorporated into tropospheric chemical models for estimating the amount of tropospheric  $O_3$  generated.



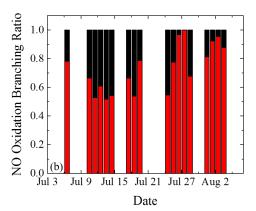


Fig. 8.7.: (a) Daytime  $\delta^{18}O(NO_2)(\%_0)$  shown between previous  $\delta^{18}O(NO_x)$  estimation of 117% resulting from NO-O<sub>3</sub>-NO<sub>2</sub>-O<sub>2</sub> photochemical cycling [55] and the estimated  $\delta^{18}O(ROO_{\bullet})$  of 23% [30] and (b) estimated NO oxidation branching ratio between O<sub>3</sub> (red) and ROO $_{\bullet}$ (black).

# Nighttime $\delta^{18}O(NO_2)$

The measured nighttime  $\delta^{18}O(NO_2)$  was much lower than the daytime averaging  $56.2 \pm 7.8\%$ . During the nighttime, the photochemical cycling of  $NO_x$  ceases, and nighttime  $\delta^{18}O(NO_2)$  should reflect the mass-balance between  $\delta^{18}O$  of the emitted NO and the  $\delta^{18}O$  of the O atom transferred from the responsible oxidant:

$$\delta^{18}O(NO_{2_{\text{night}}}) = \frac{1}{2}(\delta^{18}O(NO_{\text{source}})) + \frac{1}{2}(\delta^{18}O(O_{\text{oxidant}}))$$
(8.12)

Where  $\delta^{18}O_{(oxidant)}$  can be approximated to be the daytime  $\delta^{18}O(NO_2)$  average of 91.8  $\pm$  15.2%. Thus, nighttime  $\delta^{18}O(NO_2)$  should have a source component, and this is supported in a plot of nighttime  $\delta^{18}O(NO_2)$  vs  $\delta^{15}N(NO_2)$  that indicates a correlation (R<sup>2</sup> = 0.617) (Fig. 8.8). Nighttime NO<sub>x</sub> sources were shown to be a mixture of soil, vehicle NO<sub>x</sub> and influenced by fog formation based on their  $\delta^{15}N(NO_2)$ . For the collection samples that indicated the collected NO<sub>2</sub> originated mainly from the transportation sector ( $\delta^{15}N(NO_2)$ ) within the range of -11% to -3%, we estimate the  $\delta^{18}O(NO_{source})$  from the transportation sector to be 7.1  $\pm$  2.7% based on Eq. 8.12.

NO emitted from vehicles is produced "thermally" originating by the reaction between nitrogen and oxygen in the intake air known as the Zeldovich Mechanism [70]:

$$N_2 + N \to NO + O \tag{8.13}$$

$$N + O_2 \to NO + O \tag{8.14}$$

$$N + OH \to NO + H \tag{8.15}$$

These reactions indicate that the O atom in thermally produced NO originates from air  $O_2$ . In order to form NO, air  $O_2$  must break apart which will likely induce an isotope effect as observe for  $\delta^{15}N(NO)$  for thermally produced  $NO_x$  [100]. We can estimate this isotope effect of the breaking of a diatomic bond using the Arrehenius equation as the following:

$$\alpha_{\rm H/L} = \frac{k_{\rm H}}{k_{\rm L}} = exp\left(\frac{h(\nu_{\rm H} - \nu_{\rm L})}{2k_{\rm B}T}\right) \tag{8.16}$$

where  $\alpha$  is the isotopic fractionation factors, H and L refer to the heavy ( $^{18}O^{16}O$ ) and light ( $^{16}O^{16}O$ )  $O_2$  isotopologue respectively, h is Planck's constant,  $\nu$  is vibrational frequency,  $k_b$  is Boltzmann's constant, and T is temperature (K). Using  $\nu$  of 1580.36 cm<sup>-1</sup> and 1535.73 cm<sup>-1</sup> for  $^{16}O^{16}O$  and  $^{18}O^{16}O$ , respectively [244], and assuming a combustion temperature of 2200 K, we estimate  $\alpha_{H/L}$  to be 0.986. Assuming no other isotope effects during the formation of thermal NO, Eq. 8.16 approximates that  $\delta^{18}O$  of thermally produced NO should be roughly -14.4% (calculated from  $1000^*(\alpha_{H/L}$  -1)) relative to air  $O_2$ . Using an air  $O_2$   $\delta^{18}O$  value of 23% [60], this suggests a thermal  $\delta^{18}O(NO)$  signature of 8.6%. This estimated value is within the error of our derived value of 7.1  $\pm$  2.7%. No other  $\delta^{18}O_{(NOsource)}$  such as soil can be predicted from our dataset due to the mix of NO sources present during periods soil NO was important. However, collection periods in which soil emissions were expected to contribute to the NO<sub>x</sub> budget ( $\delta^{15}N < -11\%_0$ ), indicate that  $\delta^{18}O(NO)$  is lower than our estimated value for vehicle  $\delta^{18}O(NO)$  (Fig. 8.8).

## 8.5 Conclusion

NO<sub>2</sub> has been collected during the daytime and nighttime separately at a small Midwestern city (West Lafayette, IN, USA) from ambient air during the summertime and measured for  $\delta^{15}$ N and  $\delta^{18}$ O. A wide range of  $\delta^{15}$ N(NO<sub>2</sub>) values are found ranging from -29.7‰ to 8.1‰ and averaging -7.0  $\pm$  7.9‰ (n = 33). On average,  $\delta^{15}$ N(NO<sub>2</sub>) tends to be higher during the daytime (-3.8  $\pm$  6.0‰) than during the nighttime (-10.9  $\pm$  8.2‰). The large variations observed in  $\delta^{15}$ N(NO<sub>2</sub>) is suspected to be largely the result of changing influences of NO<sub>x</sub> emissions. Generally, we find that our collection site,  $\delta^{15}$ N(NO<sub>2</sub>) appears to be largely reflective of vehicle emissions. We also find evidence for influences from biogenic soil emissions from nearby agricultural fields, and possibly from lightning and coal-fired power plants. Meteorological events such as fog and rain are also hypothesized to alter the measured  $\delta^{15}$ N(NO<sub>2</sub>). Overall, this study provides evidence that  $\delta^{15}$ N(NO<sub>2</sub>) tends to reflect NO<sub>x</sub> sources when f(NO<sub>2</sub>)

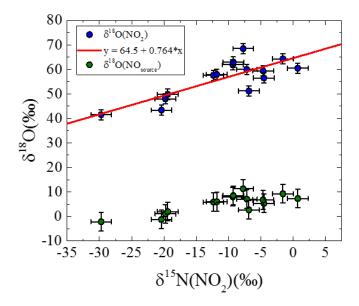


Fig. 8.8.: Linear least squares regression model between  $\delta^{18}O(NO_2)$  (blue) and  $\delta^{15}N(NO_2)$  during the nighttime indicates a correlation (R<sup>2</sup> = 0.617) which indicates that nighttime  $\delta^{18}O(NO_2)$  is influenced by  $\delta^{18}O(NO)$  emission signatures. Applying a mass-balance model, the  $\delta^{18}O(NO)$  source has been estimated (green).

values are high and may possibly be used as a proxy for  $NO_x$  source partitioning. Future  $\delta^{15}N(NO_x)$  studies need to better constrain  $\delta^{15}N(NO_x)$  signatures and its time evolution for soil emissions as well as explore the possible meteorological effects on  $\delta^{15}N(NO_2)$ .

Measured  $\delta^{18}O(NO_2)$  values were found to range from 41.5% to 117.0% and averaged 75.6  $\pm$  21.9% (n = 33). During the daytime,  $\delta^{18}O(NO_2)$  ranged from 71.5 to 117.0% and averaged 91.8  $\pm$  15.2% (n = 18), while during the nighttime,  $\delta^{18}O(NO_2)$  ranged from 41.5 to 68.5% and averaged 56.2  $\pm$  7.8% (n = 15). The diurnal variation in  $\delta^{18}O(NO_2)$  is a direct result of the photochemical cycling of  $NO_x$ . We estimate that NO oxidation by  $O_3$  results in a  $\delta^{18}O(NO_2)$  of 117%, while NO oxidation by peroxy radicals results in  $\delta^{18}O(NO_2)$  of 23%. From a mass-balance model, we estimate the branching ratio of daytime NO oxidation by  $O_3$  to be 0.732

 $\pm$  0.161. During the nighttime,  $\delta^{18}O(NO_2)$  is impacted by the  $\delta^{18}O(NO)$  signature of NO emission sources resulting in a lowering of  $\delta^{18}O(NO_2)$  during the nighttime. We estimate vehicles to emit  $\delta^{18}O(NO)$  of approximately 8.6% based on a simple model, which tends to agree with our estimated value of 7.1  $\pm$  2.7% based on back calculation from our nighttime  $\delta^{18}O(NO_2)$ . Our sampling method provides some of the first elevated  $\delta^{18}O(NO_2)$  measurements and has the potential to be used to characterize  $NO_x$  cycling and track tropospheric  $O_3$  production. Future work should aim toward fine-tuning our method to develop collection techniques that can be used to characterize  $\delta^{15}N$ ,  $\delta^{18}O$ , and  $\Delta^{17}O$  at much finer time intervals. This will be the subject for future research. Additionally, new techniques need to be developed to understand the  $\delta^{18}O(NO_2)$  measurements.

# 9. CONCLUSION

# 9.1 $\delta^{15}N(NO_x)$ Characterization Studies

The  $\delta^{15}N$  signatures of  $NO_x$  from fossil-fuel combustion have been analyzed for vehicles, buses, trucks, lawn equipment, air planes, and natural gas-fired power plants. In each of the sources, NO<sub>x</sub> is produced thermally via high temperature reactions between  $N_2$  and  $O_2$  in the combustion chamber. The  $\delta^{15}N(NO_x)$  emitted from vehicles was observed to have a large range from -19.1 to 9.8\%0. This large range of values is a combination of thermally produced  $NO_x$  that tends to be depleted in  $^{15}N$  and subsequent reduction of NO<sub>x</sub> in the 3-way catalytic converter that kinetically favors the reduction of  $^{14}NO_x$ . This results in the emission of  $NO_x$  with a higher  $\delta^{15}N$  as a function of catalytic reduction efficiency. Using an isotope mass-balance model that utilized average commute times,  $\delta^{15}(\mathrm{NO_x})$  from gasoline vehicles across the United States was estimated and it indicated that vehicle  $\mathrm{NO_x}$  should have negative  $\delta^{15}\mathrm{N}$ values (-2.5 $\pm$ 1.5%<sub>0</sub>). Diesel vehicles tended to have a lower  $\delta$ <sup>15</sup>N(NO<sub>x</sub>) than gasoline vehicles resulting in lower  $\delta^{15}N(NO_x)$  for the overall transportation sector. The results in these two studies have helped determine the isotopic mechanism responsible for the  $\delta^{15}N(NO_x)$  signature in vehicle exhaust. However, the overall isotopic signature from vehicles is somewhat uncertain due to the large range of  $\delta^{15}N$  values observed. This indicates the need for future  $\delta^{15}N(NO_x)$  measurements from roadsides to help improve upon our constraint on the transportation sector's  $\delta^{15}N(NO_x)$  signature. Thermally produced  $NO_x$  tended to have  $\delta^{15}N(NO_x)$  values that are negative explains why natural gas burners and diesel engines tend to produce NO<sub>x</sub> with a lower  $\delta^{15} N(NO_x)$  of approximately -19 to -15% since they lack catalytic reduction. These  $\delta^{15}(NO_x)$  measurements, along with other literature values indicate that  $\delta^{15}N(NO_x)$ has been characterized for approximately 80% of the NO<sub>x</sub> emission budget in the

United States. While the number of studies is limited, they do indicate that there are distinctive values for soil NO<sub>x</sub> emission (denitrication/nitrification), thermal NO<sub>x</sub> from fossil-fuel combustion, and fuel source  $NO_x$  from fossil-fuel combustion (such as coal combustion). Using the 2011 US-EPA  $NO_x$  emission inventory, a mass-balance  $\delta^{15}N(NO_x)$  model was created to predicted bi-monthly  $\delta^{15}N(NO_x)$  values across the continental United States (isoscape). This model predicts spatial and temporal variations in  $\delta^{15}N(NO_x)$  assuming the  $NO_x$  emission and  $NO_x$  <sup>15</sup>N assumptions are correct. In most urban areas,  $\delta^{15}N(NO_x)$  is predicted to range between -13 to -4\%\_0, reflecting the larger contribution from transportation NO<sub>x</sub>. In regions dominated by soil emissions, such as the Great Plains,  $\delta^{15}N(NO_x)$  is predicted to range from -31 to -15%, while regions dominated by coal-fired power plant  $NO_x$  emissions have predicted  $\delta^{15}N(NO_x)$  values ranging between 1-9%. Future studies should aim to measure  $\delta^{15}N(NO_x)$  in some of these distinctive  $\delta^{15}N(NO_x)$  regions to test the validity of the model. Additionally, future studies should aim to quantify  $\delta^{15}N(NO_x)$  from industrial processes, marine vessels, oil, and biomass-burning fossil-fuel combustion, which represents 8.5%, 2.9%, 1.9%, and 0.8% of NO<sub>x</sub> emissions in the United States, but can be regionally even more important.

# 9.2 $\delta^{15}$ N and $\delta^{18}$ O Isotope Effects

The role equilibrium isotope effects has on  $\delta^{15}N$  and  $\delta^{18}O$  values of  $NO_x$  and its oxidation products (e.g.  $HNO_3$ , HONO) has been evaluated using a combination of theoretical computational chemistry calculations and experimental investigations. Overall, it was determined that equilibrium isotope effects tend be large for  $^{15}N$  and relatively small for  $^{18}O$ . For molecules at isotopic equilibrium generally it is observed that  $^{15}N$  prefers to partition into the more oxidized molecule, while  $^{18}O$  prefers to partitioning into O atom positions that are bonded to more electronegative atoms. Isotopic equilibrium fractionation factors are calculated in the harmonic and rigid-rotor approximations. However, in my experimental investigation of the  $^{15}N$  isotopic

exchange between NO and NO<sub>2</sub> found that inclusion of anharmonicity was essential for accurate calculation of equilibrium fractionation factors. Previously, N isotopic equilibrium between NO and NO<sub>2</sub> has been suggested to control the  $\delta^{15}$ N of atmospheric NO and  $NO_2$ . Using my experimentally determined isotopic equilibrium fractionation factor for this exchange reaction,  $\delta^{15}N$  of  $NO_2$  was modeled as a function of time. During the daytime, isotopic exchange between NO and NO<sub>2</sub> is most significant due to the co-existence of both NO and NO<sub>2</sub> that results from the photochemical cycling of  $NO_x$ . The highest  $\delta^{15}N(NO_2)$  are thus predicted to occur during the daytime. During the nighttime, the photochemical cycling of NO<sub>x</sub> ceases, and nearly all NO<sub>x</sub> exists as NO<sub>2</sub>. Under these conditions, the isotopic exchange between NO and  $NO_2$  nearly ceases and  $\delta^{15}N(NO_2)$  approximately equals the  $\delta^{15}N(NO_x)$ . The simple case in which isotopic equilibrium between NO and NO<sub>2</sub> controls the <sup>15</sup>N partitioning between these two molecules may not always be correct. The photochemical cycling of NO<sub>x</sub> may also be influenced by other isotope effects such as NO oxidation and NO<sub>2</sub> photolysis. I have used ab inito methods to calculate the kinetic isotope effect of NO oxidation by O<sub>3</sub>, which indicates that <sup>14</sup>NO reacts faster than <sup>15</sup>NO by approximately -7.2\% at 298 K. This isotope fractionation factor is in the opposite direction as the isotopic exchange between NO and NO<sub>2</sub>. If both of these factors are important on the  $^{15}{
m N}$  partitioning between NO and NO<sub>2</sub> in the atmosphere, then the actual  $\delta^{15}{
m N}$ value of NO<sub>2</sub> may reflect a mixture of these two fractionation factors. Future studies need to also determine the photolytic isotope effect associated with NO<sub>2</sub> photolysis and conduct isotopic modeling of the photochemical cycling of NO<sub>x</sub> to help elucidate the isotope effect controls on  $\delta^{15}N$  of NO and NO<sub>2</sub>.

Using the calculated isotope effects, a simple model was constructed that predicts  $\delta^{18}\text{O-}\delta^{15}\text{N}$  relationships between the major atmospheric nitrate formation pathways. During the daytime, atmospheric nitrate is primarily produced through the reaction between NO<sub>2</sub> and •OH. Assuming isotopic equilibrium between NO and NO<sub>2</sub> as well as assuming O isotopic mass-balance,  $\delta^{15}\text{N}$  and  $\delta^{18}\text{O}$  was predicted for this pathway of -4.2 to 10.8‰ and 52.8 to 67.8‰, respectively. During the nighttime,

atmospheric nitrate may be produced through either the hydrolysis of  $N_2O_5$  or the hydrogen abstraction by  $NO_3$ . Under nighttime conditions, isotopic equilibrium may be achieved between  $NO_2$ ,  $NO_3$  and  $N_2O_5$ . Using equilibrium isotope effects and massbalance,  $\delta^{15}N$  and  $\delta^{18}O$  was predicted for  $N_2O_5$  hydrolysis of 10.5 to 25.5% and 97.0 to 109.5%, respectively and for  $NO_3$  hydrogen abstraction of -33.1 to -18.1% and 102.9 to 112.9%, respectively. Thus, the three major pathways for atmospheric nitrate formation may have distinctive  $\delta^{18}O-\delta^{15}N$  arrays. In general, the predicted values tend to agree with the range of reported  $\delta^{18}O-\delta^{15}N$  values of atmospheric nitrate and may help explain the seasonal trend observed in both  $\delta^{15}N$  and  $\delta^{18}O$  in which lower values are observed during the summer (reflecting greater contribution of  $NO_2 + \bullet OH$  reaction) and higher values are observed during the winter (reflecting greater contribution of  $N_2O_5$  hydrolysis). The key driver in our isotope model is  $NO_2$  as this molecule is assumed to be in equilibrium with NO during the daytime and  $NO_3$  and  $N_2O_5$  during the nighttime. Thus, future studies need to accurately determine the diurnal isotopic composition of  $NO_2$ .

# 9.3 in situ $NO_x$ Isotopic Measurements

In order to evaluate the influences of  $NO_x$  emission sources and isotope effects on the influences of  $NO_2$ , which serves as precursor molecule to atmospheric nitrate, ambient  $NO_2$  was collected and analyzed for its isotopic composition.  $NO_2$  was collected from ambient air at a small Midwestern city (West Lafayette, IN, USA) during the daytime and nighttime separately between July 7 to August 5, 2016. A strong diurnal profile is observed in  $\delta^{18}O(NO_2)$  in which large values are observed during the daytime and low values are observed during the nighttime. This is the result from the photochemical cycling of  $NO_x$  that erases any initial  $\delta^{18}O(NO_x)$  signature form various emission sources. Our daytime  $\delta^{18}O(NO_2)$  values suggest that NO oxidation primarily occurred through  $O_3$  but on somedays NO oxidation by  $ROO \bullet$  may have been important. Thus,  $\delta^{18}O(NO_2)$  may serve as a useful proxy for assessing

the NO oxidation branching ratios. This could be an important way to track ROO• oxidation which has important implications since this reaction provides a pathway the formation of tropospheric  $O_3$ . Nighttime  $\delta^{18}O(NO_2)$  was hypothesized to have a source component; however,  $\delta^{18}O(NO_x)$  source values are relatively unknown due to the difficulty in preserving  $\delta^{18}O$  in  $NO_x$  collection devices from emission sources that primarily emit  $NO_x$  as NO. Future work should aim to develop techniques for identifying characterizing  $\delta^{18}O(NO)$  of  $NO_x$  sources.

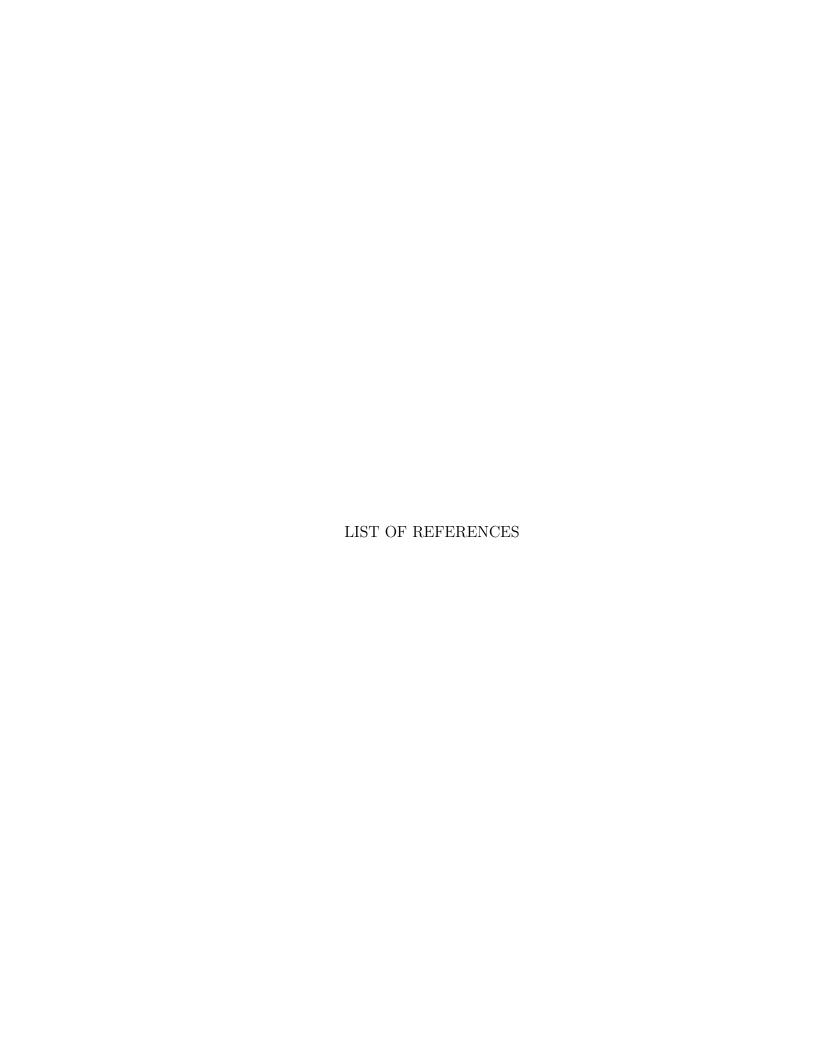
Nighttime  $\delta^{15} N(NO_2)$  is assumed to reflect  $NO_x$  emission sources as isotope effects that may partition  $^{15}N$  between NO and  $NO_x$  such be relatively unimportant since during the night NO<sub>x</sub> primarily exists as NO<sub>2</sub>. In general, it is found that nighttime  $\delta^{15}N(NO_2)$  tends to be negative and close to the range of estimated  $\delta^{15}N(NO_x)$  from the transportation sector. However, there are a few occasions in which nighttime  $\delta^{15}N(NO_2)$  is much lower than expected from the transportation sector. This indicates that soil emissions may have been an important source of  $NO_x$  on some occasions at the sampling location. Thus,  $\delta^{15}N(NO_2)$  may serve as a useful tracer for changing relative importance of NO<sub>x</sub> emission sources and an evaluation of the long-range transport of  $NO_x$  from agricultural fields into cities. Daytime  $\delta^{15}N(NO_2)$  tended to be on average larger than nighttime  $\delta^{15}N(NO_2)$ . Daytime  $\delta^{15}N(NO_2)$  is suspected to be a complex function of  $\delta^{15}N(NO_x)$  sources and isotope effects that may partition  $^{15}{\rm N}$  between NO and NO<sub>2</sub>. Thus, the slightly larger daytime  $\delta^{15}{\rm N}({\rm NO}_2)$  may reflect either greater contributions from  $NO_x$  sources with a higher  $\delta^{15}N(NO_x)$  signature or possible isotope effects. Using a previously derived daytime <sup>15</sup>N fractionation factor between NO and NO<sub>x</sub> I estimate daytime  $\delta^{15}N(NO_2)$  to be approximately 2 to 4%higher relative to total NO<sub>x</sub>. Accounting for these possible isotope effects suggests that  $\delta^{15} N(NO_2)$  primarily derives from vehicles as expected at this sampling location. The large variations in diurnal  $\delta^{15}N(NO_2)$  was not observed as previously predicted in an  $^{15}\mathrm{N}$  isotopic equilibrium model between NO and NO<sub>2</sub>. This may have been the result of the NO<sub>2</sub> sampling set-up in which NO<sub>2</sub> was sampled over a course of 8 hours and these large variations in  $\delta^{15}N(NO_2)$  thus may have been averaged out. Future studies should aim to sample ambient  $NO_2$  at fine intervals (1 to 2 hours) as well as characterize  $\Delta^{17}O$ .

#### 9.4 Future Outlook

This research suggests the potential to use the stable isotopes of NO<sub>x</sub> to partition  $NO_x$  emission sources and evaluate  $NO_x$  oxidation pathways. Future research can build off this work by improving and further characterizing NO<sub>x</sub> from various emission sources with a focus on soil emissions. As NO<sub>x</sub> emissions from fossil-fuel combustion continues to decrease due to advent of  $NO_x$  reduction technology, soil emitted NO<sub>x</sub> may increase in the future as the demand for food increases with increasing population. Soil NO<sub>x</sub> may become an extremely important source of NO<sub>x</sub> and better estimates of its contribution to the NO<sub>x</sub> emission budget need to be accurately known. The  $\delta^{15}N$  of ambient NO<sub>X</sub> may be a useful tracer for  $\delta^{15}N(NO_x)$  under conditions in which NO<sub>x</sub> primarily exists as NO<sub>x</sub>. Since NO<sub>2</sub> is generally easier to collect than NO, the isotope effects associated with the photochemical cycling of  $NO_x$ needs to be better addressed. I have presented research related to the equilibrium isotope effect between NO and NO<sub>2</sub> as well as NO oxidation by O<sub>3</sub>, but the photolysis of  $NO_2$  needs to be determined. Once these factors are known, they can be included in the next generation of atmospheric chemistry models to help predict the isotope effects of the photochemical cycle of  $\mathrm{NO}_{\mathrm{x}}$  under varying conditions. My results also suggest that  $\delta^{18}O(NO_x)$  signatures may play an important role on  $\delta^{18}O(NO_2)$  during the nighttime. Thus,  $\delta^{18}O(NO_x)$  signatures need to be determined in the future. Due to the difficulties in preserving  $\delta^{18}O(NO)$  using typical  $NO_x$  collection devices, this may require the development of an optical based system. This system could be extremely useful if it could measure  $\delta^{15}N$  and  $\delta^{18}O$  of both NO and NO<sub>2</sub>. Additionally, there may be additional useful information in the clumped isotope signatures of NO ( $^{15}N^{18}O$ ) and NO<sub>2</sub> ( $^{15}N^{18}O^{16}O$ ) that may provide an additional dimension for partition NO<sub>x</sub> sources and understanding atmospheric processes.

This research also suggests the potential to use  $\delta^{18}O(NO_x)$  to evaluate the oxidation pathways of NO. However, there are still several limitations in this approach. The  $\delta^{18}O$  values of ROO• needs to be determined via experimental and/or theoretical approaches, and the isotope effect associated with the reaction between ROO• and NO needs to be addressed as well. Modeling of these effects in the next generation of atmospheric chemistry models and comparing to field  $\delta^{18}O(NO_2)$  measurement will enable an evaluation of our chemistry models. Additionally, it will enable an estimate of the spatial and temporal variations in the generation of tropospheric  $O_3$  through NO reactions with ROO•.

 $NO_x$  represents a major Nr compound and future work should apply the same techniques used this research to ammonia (NH<sub>3</sub>). Combining isotopic fingerprint measurements of  $NO_x$  and NH<sub>3</sub> may enable the creation of "unmixxing" isotope models that may help better constrain emission sources and will help guide future regulations. Once NH<sub>3</sub> emission sources have accurately been characterized for  $\delta^{15}N$  signatures, trace gas collectors for isotopic analysis that can be readily deployed into the field need to be developed and implemented across the United States.



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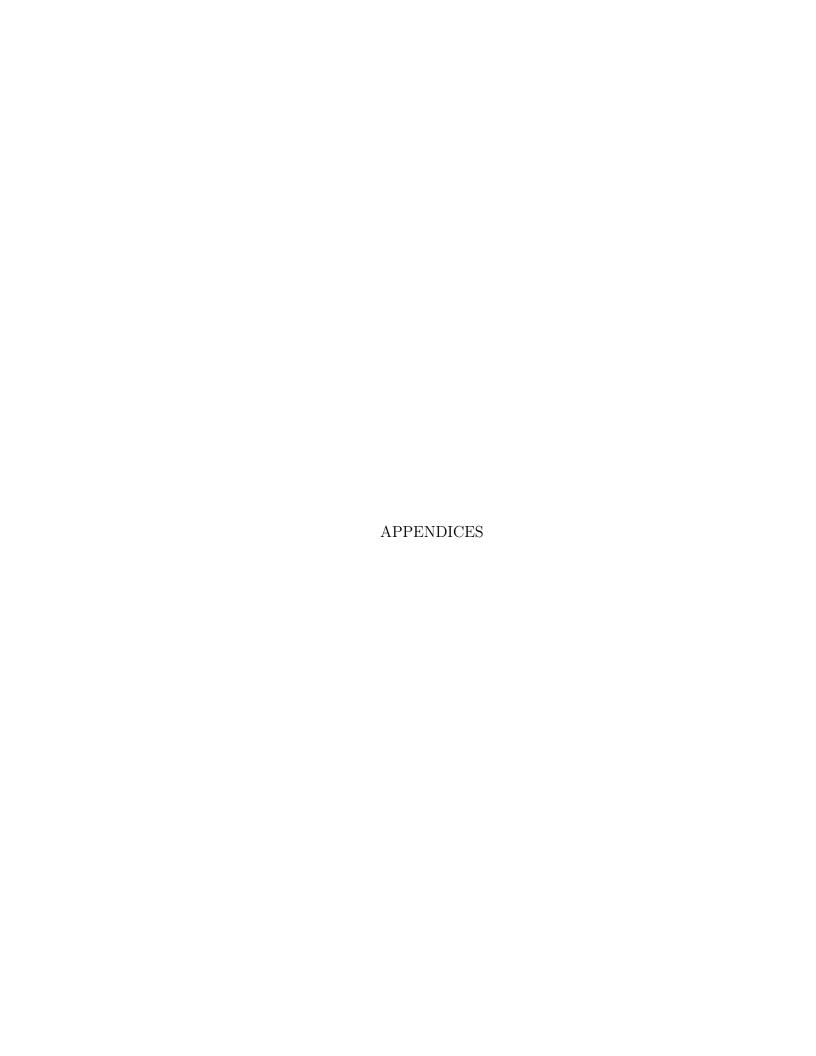
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# A. SUPPLEMENTARY DATA CHAPTER 6

Table A.1: Adapted  $^{15}\beta$  regression coefficients as a function of temperature (150 to 450 K) for NO, NO<sub>2</sub>, NO<sub>3</sub>, and N<sub>2</sub>O<sub>5</sub> that include corrections for zero point energy anharmonicity.

Molecule	A	В	С	D	$^{15}\beta(298K)$
NO	5.4801	-9.8232	6.7075	0.66049	1.0669
$NO_2$	9.1062	-16.973	12.850	0.45663	1.1064
$NO_3^*$	6.0862	-12.640	11.394	-0.03768	1.0870
$N_2O_5$	9.4789	-18.048	15.232	0.61362	1.1359

 $^{15}\beta$  values adapted from [101]. All  $^{15}\beta$  values were calculated using B3LYP/cc-pVTZ, except for NO<sub>3</sub>, which was calculated from EDF2/cc-pVTZ. Regression fit:  $1000(\beta - 1) = \frac{A}{T^4} \times 10^{10} + \frac{B}{T^3} \times 10^8 + \frac{C}{T^2} \times 10^6 + \frac{D}{T} \times 10^4$  (typical misfit of the regression is 0.1%). Typical misfit in the regression is < 0.01%0.

Table A.2: Adapted  $^{15}\alpha_{A/B}$  regression coefficients as a function of temperature (150 to 450 K) for N isotopic exchange reactions between NO, NO<sub>2</sub>, NO<sub>3</sub>, and N<sub>2</sub>O<sub>5</sub> that include corrections for zero point energy anharmonicity.

Exchange	A	В	С	D	$^{15}\alpha(300 \text{ K})$
$NO_3/NO_2$	-2.7193	3.6759	-0.92418	-0.54189	0.9819
$\mathrm{N_2O_5/NO_2}$	0.69398	-1.9859	2.3876	0.16308	1.0255
$\mathrm{NO_2/NO}$	3.8834	-7.7299	6.0101	-0.17928	1.0370

Regression fit:  $1000(\beta - 1) = \frac{A}{T^4} \times 10^{10} + \frac{B}{T^3} \times 10^8 + \frac{C}{T^2} \times 10^6 + \frac{D}{T} \times 10^4$  (typical misfit of the regression is 0.1%). Typical misfit in the regression is < 0.01%0.

# B. SUPPLEMENTARY DATA CHAPTER 7

Table B.1: Cartesian coordinates of the optimized geometries of reactants and products calculated at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d).

-		CCSD(T)	/6-31G(d)	(	CCSD(T)/6	6-311G(d)
	X	У	${f z}$	X	У	${f z}$
NO						
N	0.00000	0.00000	-0.62332	0.00000	0.00000	-0.61560
Ο	0.00000	0.00000	0.54541	0.00000	0.00000	0.53865
O3						
Ο	0.00000	-0.45458	0.00000	0.00000	0.44535	0.00000
Ο	1.10218	0.22727	0.00000	1.08739	-0.22288	0.00000
Ο	-1.10218	0.22730	0.00000	-1.08739	-0.22247	0.00000
NO2						
N	0.00000	-0.33239	0.00000	0.00000	0.32694	0.00000
Ο	1.11788	0.14525	0.00000	1.10624	-0.14302	0.00000
Ο	-1.11788	0.14559	0.00000	-1.10624	-0.14305	0.00000
O2						
Ο	0.00000	0.00000	0.61463	0.00000	0.00000	0.60512
O	0.00000	0.00000	-0.61463	0.00000	0.00000	-0.60512

Table B.2: Scaled harmonic frequencies for the major  $^{15}N$ ,  $^{17}O$ , and  $^{18}O$  isotopologues of the reactants and products calculated using the ISOEFF program from force constants computed at CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d).

	$\omega 1$	$\omega 2$	$\omega 3$		$\omega 1$	$\omega 2$	$\omega 3$
$^{15}{ m N}^{16}{ m O}$				$^{16}{\rm O}^{15}{\rm N}^{16}{\rm O}$			
A	1912.9			A	713.7	1319.4	1663.9
В	1960.6			В	689.3	1303.8	1660.3
$^{14}\mathrm{N}^{17}\mathrm{O}$				$^{17}{ m O}^{14}{ m N}^{16}{ m O}$			
A	1920.7			A	723.1	1333.4	1701.6
В	1968.5			В	692.1	1303.6	1689.5
$^{14}\mathrm{N}^{18}\mathrm{O}$				$^{18}{ m O}^{14}{ m N}^{16}{ m O}$			
A	1896.4			A	710.1	1306.9	1686.2
В	1943.7			В	686.5	1290.6	1682.7
$^{17}{\rm O}^{16}{\rm O}^{16}{\rm O}$				$^{17}{ m O}^{16}{ m O}$			
A	671.1	977.9	1098.5	A	1539.4		
В	678.6	981.7	1087.6	В	1506.2		
$^{18}{\rm O}^{16}{\rm O}^{16}{\rm O}$				$^{18}{\rm O}^{16}{\rm O}$			
A	663.5	971.6	1091.9	A	1518.6		
В	670.8	975.3	1081.4	В	1485.8		
$^{16}\mathrm{O}^{17}\mathrm{O}^{16}\mathrm{O}$							
A	675.2	966.9	1091.4				
В	683.1	970.8	1080.0				

where A and B refer to CCSD(T)/6-31G(d) and CCSD(T)/6-311G(d), respectively.

Table B.3: Cartesian coordinates of the optimized geometries of  $\mathbf{TS1}$  calculated at  $\mathrm{CCSD}(T)/6\text{-}31\mathrm{G}(d)$  and  $\mathrm{CCSD}(T)/6\text{-}311\mathrm{G}(d)$ .

	CCS	D(T)/6-31	G(d)	CCSD(T)/6-311G(d)			
	X	У	Z		X	У	Z
TS1							
N	-1.36421	-0.39236	0.38925		-1.36021	-0.40322	0.37377
Ο	-2.3008	-0.08826	-0.22076		-2.28425	-0.0766	-0.21452
Ο	0.31541	0.88806	-0.21946		0.30507	0.86292	-0.21217
Ο	1.37721	0.30378	0.36163		1.36118	0.30038	0.35934
Ο	1.80187	-0.76026	-0.26201		1.80818	-0.73388	-0.2597

Table B.4: Calculated isotope enrichment factors ( $\epsilon(\%_0)$ ) for the major heavy NO and O<sub>3</sub> isotopologues as a function of temperature using CCSD(T)/6-31G(d) frequencies.

Temp (K)	$^{16}{\rm O}^{15}{\rm N}$	$^{18}{\rm O}^{14}{\rm N}$	<sup>18</sup> O <sup>16</sup> O <sup>16</sup> O	<sup>16</sup> O <sup>18</sup> O <sup>16</sup> O	<sup>16</sup> O <sup>16</sup> O <sup>18</sup> O
220	-4.2	3.2	-50.7	-16.4	1.3
250	-5.9	1.8	-47.7	-14.9	1.0
273	-6.8	1.0	-45.7	-13.8	0.9
298	-7.7	0.3	-43.9	-12.7	0.8
320	-8.3	-0.2	-42.5	-11.8	0.7

Table B.5: Calculated isotope enrichment factors ( $\epsilon(\%_0)$ ) for the major heavy NO and O<sub>3</sub> isotopologues as a function of temperature using CCSD(T)/6-31G(d) frequencies.

Temp (K)	$^{16}{\rm O}^{15}{\rm N}$	$^{18}{\rm O}^{14}{\rm N}$	$^{18}{\rm O}^{16}{\rm O}^{16}{\rm O}$	$^{16}{\rm O}^{18}{\rm O}^{16}{\rm O}$	<sup>16</sup> O <sup>16</sup> O <sup>18</sup> O
220	-2.7	2.7	-51.8	-18.4	-0.3
250	-4.6	0.7	-48.6	-16.6	-0.3
273	-5.8	-0.4	-46.6	-15.3	-0.3
298	-6.7	-1.3	-44.7	-14.1	-0.3
320	-7.4	-1.9	-43.2	-13.0	-0.3



#### VITA

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#### EDUCATION AND RESEARCH

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#### University of Maryland, College Park, MD

Department of Chemistry and Biogeochemistry

- B. S., Chemistry (Scholars), May 2012
- Undergraduate research with Dr. Amy Mullin, Aug. 2010 July, 2012
- Project: "Construction of an OPO-based transient IR absorption spectrometer to study isotope effects in molecular collisions"

#### **PUBLICATIONS**

- Walters, W. W.; Michalski, G. Theoretical calculation of oxygen equilibrium isotope fractionation factors involving various NO <sub>y</sub> molecules, .OH, and H<sub>2</sub>O and its implications for isotope variations in atmospheric nitrate. Geochim. Cosmochim. Acta 2016,191, 89-101.
- Lyons, W. B.; Deuerling, K.; Welch, K. A.; Welch, S. A.; Michalski, G.; Walters, W. W.; Nielsen, U.; Wall, D. H.; Hogg, I.; Adams, B J. The soil geochemistry in the Beardmore Glacier Region, Antarctica: implications for terrestrial ecosystem history. Sci. Rep. 2016, 6, 26189.
- Walters, W. W.; Simonini, D. S.; Michalski, G. Nitrogen isotope exchange between NO and NO<sub>2</sub> and its implications for ?<sup>15</sup>N variations in tropospheric NO<sub>x</sub> and atmospheric nitrate. *Geophys. Res. Lett.* **2016**, 43(1), 440-448.
- Walters, W. W.; Tharp, B. D.; Fang, H.; Kozak, B. J.; Michalski, G. Nitrogen isotope composition of thermally produced NO<sub>x</sub> from various fossil-fuel combustion sources. *Environ. Sci. Techn.* **2015**, 49(19), 11363-11371.
- Walters, W. W.; Michalski, G. Theoretical calculation of nitrogen equilibrium isotope exchange fractionation factors for various NO<sub>y</sub> molecules. Geochim. Cosmochim. Acta 2015, 164, 284-297.
- Walters, W. W.; Goodwin, S. R.; Michalski, G. Nitrogen stable isotope composition ( $\delta^{15}$ N) of vehicle-emitted NO<sub>x</sub>. Environ. Sci. Techn. **2015**, 49(4), 2278-2285.
- Echebiri, G. O.; Smarte, M. D.; Walters, W. W.; Mullin, A. S. Performance of a high-resolution mid-IR optical-parametric-oscillator transient absorption spectrometer. *Optics Express* **2014**, *22*(12), 14885-14895.

#### Manuscripts in press:

• Walters, W. W.; Michalski, G. Ab initio study of nitrogen and position-specific oxygen kinetic isotope effects in the NO + O<sub>3</sub> reaction, J. Chem. Phy.

#### Manuscripts in prep:

- Walters, W. W.; Fang, H.; Michalski, G. Summertime diurnal variations in the isotopic composition of atmospheric nitrogen dioxide at a small Midwestern city.
- Walters, W. W.; Michalski, G. Isotope compositions of Antarctic nitrate and sulfate aerosols.
- Fang, H.; Michalski, G.; Walters, W. W. Incorporating nitrogen isotopes in the Regional Atmospheric Chemistry Mechanism Model (RCAM).

#### Honors

#### Awards

- Purdue Earth, Atmospheric, and Planetary Sciences Outstanding Graduate Student of the Year, 2016
- Henry Silver Environmental Science Graduate Student of the Year, 2015
- American Institute of Chemists Award, 2012
- Bruce Jarvis Scholarship Endowment, 2011
- Isidore and Annie Adler Chemistry Scholarship, 2010

#### Fellowships and Grants

- NSF Atmospheric Geological Sciences Postdoctoral Research Fellowship (NSF AGS-PRF, \$172,000), 2016
- P. F. Low Travel Grant (\$1,000), 2015
- Purdue Climate Change Research Center Travel Grant (\$600), 2015
- Geological Society of American Graduate Research Grant (\$900), 2014
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- Purdue Climate Change Research Center Travel Grant (\$2,000), 2013
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- Purdue Climate Change Research Center Graduate Committee, (2015-Present)
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- American Geophysical Union, Dec. 2014 (Poster)
- Indiana University, Crossroads Geology Conference, March 2014 (Talk)
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  - Prize: outstanding student presentation
- American Geophysical Union, Dec. 2013 (Talk)